

CHARACTERIZATION OF PROTOMODULAR VARIETIES OF UNIVERSAL ALGEBRAS

DOMINIQUE BOURN AND GEORGE JANELIDZE

ABSTRACT. Protomodular categories were introduced by the first author more than ten years ago. We show that a variety \mathcal{V} of universal algebras is protomodular if and only if it has 0-ary terms e_1, \dots, e_n , binary terms t_1, \dots, t_n , and $(n+1)$ -ary term t satisfying the identities $t(x, t_1(x, y), \dots, t_n(x, y)) = y$ and $t_i(x, x) = e_i$ for each $i = 1, \dots, n$.

1. Introduction

Protomodular categories were first introduced in [2]; their role in algebra, and various further developments are also described in [3]-[6]. Recall that if \mathbb{C} is a category and B is any object in it, then $\text{Pt}(B)$ denotes the *category of points* in the slice category \mathbb{C}/B , i.e. the category whose objects are the triples (A, α, β) in which $\alpha : A \rightarrow B$ and $\beta : B \rightarrow A$ are morphisms in \mathbb{C} with $\alpha.\beta = 1_B$, and whose morphisms are the commutative triangles between such points over B . When \mathbb{C} has finite limits, any morphism $p : E \rightarrow B$ in \mathbb{C} determines a pullback functor p^* :

$$p^* : \text{Pt}(B) \rightarrow \text{Pt}(E) \tag{1.1}$$

Then the category \mathbb{C} is said protomodular when, for every morphism p , the functor p^* is conservative, i.e. reflects isomorphisms. Whenever \mathbb{C} has an initial object 0 , it obviously suffices to require the functor (1.1) to reflect isomorphisms just for the initial object $E = 0$. And then, if \mathbb{C} is pointed (and so $0 = 1$ in \mathbb{C}), that requirement transforms into the so-called *Split Short Five Lemma*.

In particular, the category of groups is protomodular [2]. A simple means of producing new examples comes from the fact that every category that admits a pullback preserving conservative functor from it into a protomodular category, is protomodular itself. Therefore any variety of groups with additional algebraic structure (like rings and modules or algebras over rings, etc.) also is protomodular. Thanks to the Yoneda embedding, the same is true for the internal (such) structures in any category with finite limits (see [2]). Moreover any protomodular category being Maltsev [3], we have immediately the second part of the following string of inclusions, whose first part will be a consequence of our main theorem: $\mathcal{K}_1 \subset \mathcal{K}_2 \subset \mathcal{K}_3$, where:

The second author was partially supported by the Australian Research Council, Portuguese Foundation para Ciéncia e Tecnologia through Research Units Funding Program, and INTAS-97-31961

Received by the editors 2002-12-10 and, in revised form, 2003-04-12.

Transmitted by Walter Tholen. Published on 2003-04-24.

2000 Mathematics Subject Classification: 08B05, 18C10; secondary: 08C05, 18E10.

Key words and phrases: Maltsev and protomodular varieties, ideal determination.

© Dominique Bourn and George Janelidze, 2003. Permission to copy for private use granted.

1. \mathcal{K}_1 is the class of Varieties of algebras having 0-ary 1 and binary \circ and \backslash with $x \circ (x \backslash y) = y$ and $x \backslash x = 1$, see [8] and also [1].
2. \mathcal{K}_2 is the class of Protomodular varieties
3. \mathcal{K}_3 is the class of Varieties of algebras having a ternary p with $p(x, y, y) = x = p(y, y, x)$ (Maltsev varieties)

The main purpose of this paper is to show that protomodular varieties have itself a syntactical characterization, namely the following:

1.1. THEOREM. *A variety \mathcal{V} of universal algebras is protomodular if and only if it has 0-ary terms e_1, \dots, e_n , binary terms t_1, \dots, t_n , and $(n+1)$ -ary term t satisfying the identities $t(x, t_1(x, y), \dots, t_n(x, y)) = y$ and $t_i(x, x) = e_i$ for each $i = 1, \dots, n$.*

Intuitively one could think of t as a “generalized multiplication” having n “divisions” t_1, \dots, t_n and n corresponding “units” e_1, \dots, e_n . We do not exclude the case $n = 0$, with the variety $\mathcal{V} = \{0, 1\}$. Indeed, in this case, the identities of the theorem reduce to $t(x) = y$, whose non empty models are singletons.

Note also that we have:

$$t(x, t_1(y, y), \dots, t_n(y, y)) = t(x, e_1, \dots, e_n) = t(x, t_1(x, x), \dots, t_n(x, x)) = x \quad (1.2)$$

and so:

$$p(x, y, z) = t(x, t_1(y, z), \dots, t_n(y, z)) \quad (1.3)$$

is a Maltsev term.

While this paper was in preparation, *semiabelian categories* were introduced in [6]; we repeat from [6] that a variety of universal algebras is semiabelian if and only if it is pointed and protomodular—and that the Theorem 1.1 therefore also characterizes the semiabelian varieties with moreover $e_1 = \dots = e_n = 0$, since those are pointed.

After [6] has already appeared, the authors of [6] and of the present article have found several papers of A. Ursini and other universal algebraists from which we have learned the following:

- The terms and identities we are using are well known in universal algebra in the special case $e_1 = \dots = e_n = 0$ which contains the semi abelian case but not the non pointed protomodular case. The varieties having such terms were studied by A. Ursini in [9] under the name *BIT speciale* and in [10] under the name *classically ideal determined varieties*.
- E. Beutler has shown that the BIT speciale varieties are the same as the so-called *C-coherent varieties* (see [1], Proposition 2.3 (i) \Leftrightarrow (iii)), from which (in the pointed case, and once the concept of protomodular category is introduced !) our main result easily follows.

On the other hand, the referee suggested to us to mention also the related work of K. Fichtner [7].

2. Protomodularity in algebraic language

Let \mathcal{V} be a variety of universal algebras. Consider a diagram in \mathcal{V} of the form:

$$\begin{array}{ccccc}
 E \times_B A' & \xrightarrow{\quad} & A' & & \\
 \uparrow & \searrow^{p^*(f)} & \uparrow & \searrow^f & \\
 E \times_B A & \xrightarrow{\alpha'} & A & & \\
 \uparrow & \nearrow & \uparrow & \nearrow_{\alpha} & \\
 E & \xrightarrow{p} & B & & \\
 & & \downarrow & \nearrow_{\beta} & \\
 & & & & A
 \end{array} \tag{2.1}$$

where:

- $f : (A', \alpha', \beta') \rightarrow (A, \alpha, \beta)$ is a morphism in $\text{Pt}(B)$;
- $p : E \rightarrow B$ is any morphism in \mathcal{V} ;
- other horizontal arrows are the appropriate pullback projections;
- the left hand triangle represents the image $p^*(f)$ of f under the pullback functor (1.1).

The definition of protomodularity says: \mathcal{V} is protomodular if for each such diagram we have, for any map $f : A' \rightarrow A$:

$$p^*(f) \text{ is an isomorphism} \Rightarrow f \text{ is an isomorphism} \tag{2.2}$$

Let us begin by the following:

Observation: (a) Since the category \mathcal{V} is exact, it is sufficient to require (2.2) only when f is a monomorphism. Indeed, applying this weaker requirement first to the diagonal $A' \rightarrow A' \times_A A'$ and then to f itself, we obtain:

$$\begin{aligned}
 p^*(f) \text{ is an iso} &\Rightarrow p^*(A' \rightarrow A' \times_A A') \text{ is an iso} \Rightarrow (A' \rightarrow A' \times_A A') \text{ is an iso} \\
 &\Rightarrow f : A' \rightarrow A \text{ is a mono} \Rightarrow f \text{ is an iso}
 \end{aligned}$$

where the first implication holds because p^* preserves pullbacks.

(b) Again, since \mathcal{V} is exact, the implication (2.2) automatically holds when p is a regular epimorphism (= surjective map). Therefore requiring (2.2) we may also assume that p is a monomorphism.

(c) Since the free algebra $A[\emptyset]$ on the empty set is the initial object in \mathcal{V} , it is sufficient to require (2.2) for $E = A[\emptyset]$. Moreover, (b) tells us that we can replace $A[\emptyset]$ by its image C in B , which of course is the subalgebra in B generated by all constants.

Since monomorphisms in \mathcal{V} are nothing but subalgebra injections (up to isomorphism), we obtain the following proposition, in which (1) \Leftrightarrow (2) follows from our Observation while (2) \Leftrightarrow (3) is obvious:

2.1. PROPOSITION. *The following conditions on a variety \mathcal{V} of universal algebras are equivalent:*

1. \mathcal{V} is protomodular.
2. Let $B \subset A' \subset A$ be in \mathcal{V} (the inclusions are of course supposed to be homomorphisms), $\alpha : A \rightarrow B$ a homomorphism with $\alpha(b) = b$ for each $b \in B$, and K the inverse image under α of the subalgebra in B generated by all constants. If A' contains K , then $A' = A$.
3. Let A be in \mathcal{V} , B a subalgebra in A , $\alpha : A \rightarrow B$ a homomorphism with $\alpha(b) = b$ for each $b \in B$, and K the inverse image under α of the subalgebra in B generated by constants. Then A is generated by B and K .

3. Proof of Theorem 1.1.

Suppose there are $e_1, \dots, e_n, t_1, \dots, t_n, t$ as in the formulation of Theorem 1.1. In order to prove that \mathcal{V} is protomodular, we will prove 2.1(3)—essentially by repeating a simple argument, well known for groups. For an arbitrary element $a \in \mathcal{V}$, we have:

$$a = t(\alpha(a), t_1(\alpha(a), a), \dots, t_n(\alpha(a), a))$$

and since:

$$\alpha(t_i(\alpha(a), a)) = t_i(\alpha(a), \alpha(a)) = e_i,$$

the element $t_i(\alpha(a), a)$ is in K , for each $(i = 1, \dots, n)$. Therefore a belongs to the subalgebra generated by B and K , as desired.

Conversely, suppose \mathcal{V} satisfies the condition 2.1(3). We take:

- $A = A[x, y]$, the free algebra in \mathcal{V} on two generators x and y ;
- $B = A[x]$ = the subalgebra of A generated by x ;
- $\alpha : A \rightarrow B$ the homomorphism defined by $\alpha(x) = \alpha(y) = x$.

Then since the algebra A is generated by B and K , and B is generated by x , the element y can be presented in A as:

$$y = t(x, k_1, \dots, k_n)$$

for some k_1, \dots, k_n in K and $(n+1)$ -ary term t . Moreover, since K is a subalgebra in $A[x, y]$, there exist binary terms t_1, \dots, t_n with $k_i = t_i(x, y)$ for each $i = 1, \dots, n$. And furthermore, since all $t_i(x, x) = \alpha(t_i(x, y)) = \alpha(k_i)$ belong to the subalgebra in A generated by constants, there exist 0-ary terms e_1, \dots, e_n with $t_i(x, x) = e_i$ for each $i = 1, \dots, n$.

References

- [1] E. Beutler, An idealtheoretic characterization of varieties of abelian Ω -groups, *Algebra Univ.*, **8** (1978), 91-100.
- [2] D. Bourn, Normalization equivalence, kernel equivalence, and affine categories, *Lecture Notes in Mathematics* **1488**, Springer (1991), 43-62.
- [3] D. Bourn, Normal subobjects and abelian objects in protomodular categories, *Journal of Algebra*, **228** (2000), 143-164.
- [4] D. Bourn, 3x3 lemma and protomodularity, *Journal of Algebra*, **236** (2001), 778-795.
- [5] D. Bourn and G. Janelidze, Protomodularity, descent, and semidirect products, *Theory and Applications of Categories*, **4** (1998), 37-46.
- [6] G. Janelidze, L. Marki, and W. Tholen, Semiabelian categories, *Journal of Pure and Applied Algebra*, **168** (2002), 367-386.
- [7] K. Fichtner, Varieties with ideals, *Mat. Sb.*, **75 (117)** (1968), 445-453.
- [8] J. Slominski, On the determining form of congruences . . . , *Fund. Math.*, **48** (1960), 325-341.
- [9] A. Ursini, Osservazioni sulle varietà BIT, *Bolletino della Unione Matematica Italiana*, **7** (1983), 205-211.
- [10] A. Ursini, On subtractive varieties I, *Algebra Universalis*, **31** (1994), 204-222.

*Université du Littoral, 50 rue F. Buisson, BP699
62228 Calais, France*

*Math. Institute of the Georgian Academy of Sciences, 1 Alexidze str.,
380093 Tbilisi, Georgia*

Email: `bourne@lmpa.univ-littoral.fr`, `gjanel@math.acnet.ge`

This article may be accessed via WWW at <http://www.tac.mta.ca/tac/> or by anonymous ftp at <ftp://ftp.tac.mta.ca/pub/tac/html/volumes/11/6/11-06.dvi,ps>

THEORY AND APPLICATIONS OF CATEGORIES (ISSN 1201-561X) will disseminate articles that significantly advance the study of categorical algebra or methods, or that make significant new contributions to mathematical science using categorical methods. The scope of the journal includes: all areas of pure category theory, including higher dimensional categories; applications of category theory to algebra, geometry and topology and other areas of mathematics; applications of category theory to computer science, physics and other mathematical sciences; contributions to scientific knowledge that make use of categorical methods.

Articles appearing in the journal have been carefully and critically refereed under the responsibility of members of the Editorial Board. Only papers judged to be both significant and excellent are accepted for publication.

The method of distribution of the journal is via the Internet tools `WWW/ftp`. The journal is archived electronically and in printed paper format.

SUBSCRIPTION INFORMATION. Individual subscribers receive (by e-mail) abstracts of articles as they are published. Full text of published articles is available in .dvi, Postscript and PDF. Details will be e-mailed to new subscribers. To subscribe, send e-mail to `tac@mta.ca` including a full name and postal address. For institutional subscription, send enquiries to the Managing Editor, Robert Rosebrugh, `rosebrugh@mta.ca`.

INFORMATION FOR AUTHORS. The typesetting language of the journal is \TeX , and \LaTeX is the preferred flavour. \TeX source of articles for publication should be submitted by e-mail directly to an appropriate Editor. They are listed below. Please obtain detailed information on submission format and style files from the journal's WWW server at <http://www.tac.mta.ca/tac/>. You may also write to `tac@mta.ca` to receive details by e-mail.

EDITORIAL BOARD.

John Baez, University of California, Riverside: `baez@math.ucr.edu`
Michael Barr, McGill University: `barr@barrs.org`, *Associate Managing Editor*
Lawrence Breen, Université Paris 13: `breen@math.univ-paris13.fr`
Ronald Brown, University of Wales Bangor: `r.brown@bangor.ac.uk`
Jean-Luc Brylinski, Pennsylvania State University: `jlb@math.psu.edu`
Aurelio Carboni, Università dell'Insubria: `aurelio.carboni@uninsubria.it`
Valeria de Paiva, Palo Alto Research Center: `paiva@parc.xerox.com`
Martin Hyland, University of Cambridge: `M.Hyland@dpms.cam.ac.uk`
P. T. Johnstone, University of Cambridge: `ptj@dpms.cam.ac.uk`
G. Max Kelly, University of Sydney: `maxk@maths.usyd.edu.au`
Anders Kock, University of Aarhus: `kock@imf.au.dk`
Stephen Lack, University of Western Sydney: `s.lack@uws.edu.au`
F. William Lawvere, State University of New York at Buffalo: `wlawvere@buffalo.edu`
Jean-Louis Loday, Université de Strasbourg: `loday@math.u-strasbg.fr`
Ieke Moerdijk, University of Utrecht: `moerdijk@math.uu.nl`
Susan Niefield, Union College: `niefiels@union.edu`
Robert Paré, Dalhousie University: `pare@mathstat.dal.ca`
Robert Rosebrugh, Mount Allison University: `rrosebrugh@mta.ca`, *Managing Editor*
Jiri Rosicky, Masaryk University: `rosicky@math.muni.cz`
James Stasheff, University of North Carolina: `jds@math.unc.edu`
Ross Street, Macquarie University: `street@math.mq.edu.au`
Walter Tholen, York University: `tholen@mathstat.yorku.ca`
Myles Tierney, Rutgers University: `tierney@math.rutgers.edu`
Robert F. C. Walters, University of Insubria: `robert.walters@uninsubria.it`
R. J. Wood, Dalhousie University: `rjwood@mathstat.dal.ca`