

# EXTENSIONS AND GLUEING IN DOUBLE CATEGORIES

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ABSTRACT. Let  $\mathbb{D}$  be a double category with an initial object. Any cotabulator  $\Gamma v$  of a vertical morphism  $v: X_0 \twoheadrightarrow X_1$  gives rise to an extension (i.e., short exact sequence)  $X_0 \twoheadrightarrow \Gamma v \twoheadrightarrow X_1$  in the vertical bicategory  $\mathcal{V}\mathbb{D}$ . If  $\mathbb{D}$  has “open cokernels,” then every extension is equivalent in  $\mathcal{V}\mathbb{D}$  to one of this form. Examples include the double categories  $\mathbb{L}oc$ ,  $\mathbb{T}opos$ ,  $\mathbb{P}os$ , and  $\mathbb{C}at$ , whose objects are locales, toposes, posets, and small categories, respectively, and  $\Gamma v$  is given by glueing along  $v$  in the first two cases, and by the collage of  $v$  in the others.

## 1. Introduction

In [FM20], Faul and Manuell characterize extensions in the category  $\mathbb{F}rm_{\wedge}$  whose objects are frames (i.e., locales) and morphisms are finite meet preserving maps. They show that

$$X_1 \xrightarrow{\pi_{1*}} \mathbb{G}l(v) \xrightarrow{\pi_0^*} X_0$$

is an extension of  $X_0$  by  $X_1$ , where  $\mathbb{G}l(v)$  denotes the frame obtained by Artin-Wraith glueing along  $v: X_0 \twoheadrightarrow X_1$  in  $\mathbb{F}rm_{\wedge}$  with  $\pi_0$  and  $\pi_1$  the usual projections, and every extension is isomorphic in  $\mathbb{F}rm_{\wedge}$  to one of this form. Thus,  $\mathbb{G}l(v)$  plays the role for frames that the semidirect product does for groups.

$\mathbb{F}rm_{\wedge}^{co}$  is the vertical bicategory of the double category  $\mathbb{L}oc$  of locales (c.f., [N12a]),

$$\begin{array}{ccc} X_0 & \xrightarrow{i_0} & \mathbb{G}l(v) \\ v \downarrow & \geq & \uparrow \\ X_1 & \xrightarrow{i_1} & \mathbb{G}l(v) \end{array}$$

is a cotabulator (in the sense of [P11]), and the characterization in [FM20] essentially uses the fact that  $\mathbb{L}oc$  is a double category  $\mathbb{D}$  satisfying

- $\mathbb{D}$  has a horizontal initial object (Definition 2.3)
- $\mathbb{D}$  has cotabulators which “restrict to the vertical bicategory” (Definition 3.5)
- $\mathbb{D}$  has “open” cokernels (Definition 4.1)

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With an appropriate definition of an extension in a bicategory, we will see that if  $\mathbb{D}$  is a pseudo double category satisfying the three bulleted conditions, then every extension in the vertical bicategory  $\mathcal{V}\mathbb{D}$  is equivalent to one arising from a cotabulator of a vertical morphism of  $\mathbb{D}$ . Moreover, these three properties hold in our four main examples  $\mathbb{L}oc$ ,  $\mathbb{T}opos$ ,  $\mathbb{P}os$ , and  $\mathbb{C}at$ ; and cotabulators are given by Artin-Wraith glueing in the first two and collages in the others. Thus, these constructions are analogous to the semidirect product vis a vis extensions.

We begin, in Section 2, by recalling the relevant properties of double categories and the four main examples of interest. The next two sections introduce the notion of extensions in  $\mathcal{V}\mathbb{D}$  and give their characterization in terms of cotabulators in  $\mathbb{D}$ . In Section 5, we show that the set  $\text{Ext}(X_0, X_1)$  of extensions of  $X_0$  by  $X_1$  defines a bifunctor  $(\mathcal{V}\mathbb{D})^{op} \times \mathcal{V}\mathbb{D} \rightarrow \text{Set}$ . We conclude, in Section 6, with the introduction and characterization of a category of adjoint extensions of  $X_0$  by  $X_1$  in  $\mathbb{D}$ .

This paper is dedicated to Bob Rosebrugh for his leadership in digital communication over the past thirty years, from the development of the category theory mailing list and home page to the conception and implementation of Theory and Applications of Categories, one of the first refereed electronic mathematics journals.

## 2. Double Categories and Cotabulators

Introduced by Ehresmann [E63] in the 1960's, double categories provided a setting to transfer results from one category to others that admit analogous structures. This section is a review of the definitions and examples used in this paper. For details and more information, we refer the reader to the work of Grandis and Paré [GP99, GP04, P11], as well as Shulman [Sh08].

2.1. DEFINITION. *A double category is an internal pseudo category*

$$\mathbb{D}_1 \times_{\mathbb{D}_0} \mathbb{D}_1 \xrightarrow{\circ} \mathbb{D}_1 \begin{array}{c} \xrightarrow{d_0} \\ \xleftarrow{\text{id}^\bullet} \\ \xrightarrow{d_1} \end{array} \mathbb{D}_0$$

in the 2-category  $\mathbf{CAT}$  of locally small categories.

The objects  $X$  and morphisms  $f: X \rightarrow Y$  of  $\mathbb{D}_0$  are called the *objects* and *horizontal morphisms* of  $\mathbb{D}$ . The objects of  $\mathbb{D}_1$  are called *vertical morphisms*, and denoted by  $v: X_0 \rightarrow X_1$ , and their morphisms

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y_0 \\ v \downarrow & \varphi & \downarrow w \\ X_1 & \xrightarrow{f_1} & Y_t \end{array} \tag{2.1}$$

are called *cells* of  $\mathbb{D}$ . Horizontal composition is denoted by  $\circ$  and vertical composition by  $\circledast$ , both of which are sometimes elided. Note that when  $w$  is the vertical identity  $\text{id}_Y^\bullet$  on

$Y$ , we often denote the cell (2.1) by

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y \\ v \downarrow & \varphi & \nearrow \\ X_1 & \xrightarrow{f_1} & \end{array}$$

2.2. DEFINITION. *The objects, morphisms, and special cells*

$$\begin{array}{ccc} X_0 & \xrightarrow{\text{id}_{X_0}} & X_0 \\ v \downarrow & \varphi & \downarrow w \\ X_1 & \xrightarrow{\text{id}_{X_1}} & X_1 \end{array}$$

form a bicategory  $\mathcal{VD}$ , called the vertical bicategory of  $\mathbb{D}$ . The horizontal 2-category  $\mathbf{HD}$  is defined dually.

2.3. DEFINITION. *A horizontal initial object of  $\mathbb{D}$  is an object  $O$  such that there is a unique horizontal morphism  $u_X: O \rightarrow X$ , for every object  $X$ , and a unique cell*

$$\begin{array}{ccc} O & \xrightarrow{u_{X_0}} & X_0 \\ \text{id}_O \downarrow & u_v & \downarrow v \\ O & \xrightarrow{u_{X_1}} & X_1 \end{array} \tag{2.3}$$

for every vertical morphism  $v$ .

2.4. DEFINITION. *A companion for a horizontal morphism  $f: X \rightarrow Y$  is a vertical morphism  $f_*: X \rightarrow Y$  together with cells*

$$\begin{array}{ccc} X & \xrightarrow{\text{id}_X} & X \\ \text{id}_X \downarrow & \alpha & \downarrow f_* \\ X & \xrightarrow{f} & Y \end{array} \qquad \begin{array}{ccc} X & \xrightarrow{f} & Y \\ f_* \downarrow & \beta & \downarrow \text{id}_Y \\ Y & \xrightarrow{\text{id}_Y} & Y \end{array}$$

whose horizontal and vertical compositions are identity cells. A conjoint for  $f$  is a vertical morphism  $f^*: Y \rightarrow X$  together with cells

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \text{id}_X \downarrow & \rho & \downarrow f^* \\ X & \xrightarrow{\text{id}_X} & X \end{array} \qquad \begin{array}{ccc} Y & \xrightarrow{\text{id}_Y} & Y \\ f^* \downarrow & \sigma & \downarrow \text{id}_Y \\ X & \xrightarrow{f} & Y \end{array}$$

whose horizontal and vertical compositions are identity cells.

2.5. **EXAMPLE.**  $\mathbb{L}oc$  has locales as objects, locale morphisms  $f: X \rightarrow Y$ , in the sense of [J82], as horizontal morphisms, and finite meet preserving maps  $v: X_0 \rightarrow X_1$  as vertical morphisms. There is a cell of the form (2.1) if and only if  $w \odot f_{0*} \leq f_{1*} \odot v$  or equivalently,  $f_1^* \odot w \leq v \odot f_0^*$ . The initial locale  $O$  is the horizontal initial object, and the companion and conjoint of  $f$  are the direct and inverse images  $f_*$  and  $f^*$ , respectively. The vertical bicategory  $\mathcal{V}\mathbb{L}oc$  is the partially ordered bicategory  $\text{Frm}_\wedge^{co}$ .

2.6. **EXAMPLE.**  $\mathbb{T}opos$  has (elementary) toposes  $\mathcal{X}$  as objects, geometric morphisms  $f: \mathcal{X} \rightarrow \mathcal{Y}$  (in the sense of [J77]) as horizontal morphisms, finite limit preserving functors  $v: \mathcal{X}_0 \rightarrow \mathcal{X}_1$  as vertical morphisms, natural transformations  $\varphi: w \odot f_{0*} \Rightarrow f_{1*} \odot v$  or equivalently,  $\hat{\varphi}: f_1^* \odot w \Rightarrow v \odot f_0^*$ , as cells of the form (2.1). The horizontal initial object  $O$  is the one object topos, and the companion and conjoint of  $f$  are the direct and inverse images  $f_*$  and  $f^*$ , respectively.

2.7. **EXAMPLE.**  $\mathbb{P}os$  has posets as objects, order-preserving maps  $f: X \rightarrow Y$  as horizontal morphisms and order ideals  $v: X_0 \rightarrow X_1$  (i.e., upward closed sets  $v \subseteq X_0^{op} \times X_1$ ) as vertical morphisms. There is a cell of the form (2.1) if and only if  $(f_0(x_0), f_1(x_1)) \in w$ , for all  $(x_0, x_1) \in v$ . The empty poset  $O$  is the horizontal initial object, and the companion  $f_*: X \rightarrow Y$  and conjoint  $f^*: Y \rightarrow X$  of  $f: X \rightarrow Y$  are defined by  $f_* = \{(x, y) \mid fx \leq y\}$  and  $f^* = \{(y, x) \mid y \leq fx\}$ , respectively. The vertical bicategory  $\mathcal{V}\mathbb{P}os$  is the partially ordered bicategory of posets and ideals.

2.8. **EXAMPLE.**  $\mathbb{C}at$  has small categories as objects, functors  $f: X \rightarrow Y$  as horizontal morphisms, profunctors  $v: X_0 \rightarrow X_1$  (i.e., functors  $v: X_0^{op} \times X_1 \rightarrow \mathbf{Set}$ ) as vertical morphisms, and natural transformations  $\varphi: f_{1*} \odot v \Rightarrow w \odot f_{0*}$  or equivalently,  $\hat{\varphi}: v \odot f_0^* \Rightarrow f_1^* \odot w$ , as cells of the form (2.1). The empty category  $O$  is the horizontal initial object, and the companion  $f_*: X \rightarrow Y$  and conjoint  $f^*: Y \rightarrow X$  of  $f: X \rightarrow Y$  are defined by  $f_*(x, y) = Y(fx, y)$  and  $f^*(y, x) = Y(y, fx)$ , respectively. The vertical bicategory  $\mathcal{V}\mathbb{C}at$  is isomorphic to  $\text{Prof}$ .

2.9. **DEFINITION.** A cotabulator of a vertical morphism  $v: X_0 \rightarrow X_1$  consists of an object  $\Gamma v$  and a cell

$$\begin{array}{ccc}
 X_0 & & \\
 \downarrow & \searrow^{i_0} & \\
 v \bullet & \eta_v & \Gamma v \\
 \downarrow & \nearrow_{i_1} & \\
 X_1 & & 
 \end{array} \tag{2.9}$$

such that for any cell

$$\begin{array}{ccc}
 X_0 & & \\
 \downarrow & \searrow^{f_0} & \\
 v \bullet & \varphi & Y \\
 \downarrow & \nearrow_{f_1} & \\
 X_1 & & 
 \end{array}$$

there exists a unique horizontal morphism  $f: \Gamma v \rightarrow Y$  such that  $f i_0 = f_0$ ,  $f i_1 = f_1$ , and  $\text{id}_f \bullet \eta_v = \varphi$ .

One can show that the cotabulator  $\Gamma v$  exists, for all  $v$ , if and only if  $\text{id}^\bullet: \mathbb{D}_0 \rightarrow \mathbb{D}_1$  has a left adjoint [P11].

2.10. EXAMPLE. Cotabulators in  $\mathbb{L}oc$  and  $\mathbb{T}opos$  are given by the glueing construction used in [N81] which is also know as Artin-Wraith glueing in the topos case [J77]. The topos  $\text{Gl}(v)$  obtained by glueing along  $v: \mathcal{X}_0 \rightarrow \mathcal{X}_1$  has objects  $(X_0, X_1, \alpha: X_1 \rightarrow vX_0)$ , where  $X_0 \in |\mathcal{X}_0|$  and  $X_1 \in |\mathcal{X}_1|$ , and morphisms pairs  $(f_0, f_1)$  such that

$$\begin{array}{ccc} X_1 & \xrightarrow{\alpha} & vX_0 \\ f_1 \downarrow & & \downarrow vf_0 \\ Y_1 & \xrightarrow{\beta} & vY_0 \end{array}$$

commutes, with  $i_0^*(X_0, X_1, \alpha) = X_0$ ,  $i_1^*(X_0, X_1, \alpha) = X_1$ ,  $i_{0*}(X_0) = (X_0, vX_0, \text{id}_{vX_0})$ ,  $i_{1*}(X_1) = (1, X_1, !)$ , and  $\eta_v: i_{0*} \Rightarrow i_{1*}v$  at  $X_0$  given by  $(!, \text{id}_{vX_0})$ . The cotabulator in  $\mathbb{L}oc$  is defined similarly as  $\text{Gl}(v) = \{(x_0, x_1) | x_1 \leq vx_0\}$  with  $i_{0*}(x_0) = (x_0, vx_0)$  and  $i_{1*}(x_1) = (\top, X_1)$ .

2.11. EXAMPLE. Cotabulators in  $\mathbb{C}at$  are given by ‘‘collages.’’ Recall that the *collage*  $\Gamma v$  of a profunctor  $v: X_0^{op} \times X_1 \rightarrow \mathbf{Set}$ , also denoted by  $X_0 \sqcup_v X_1$ , is constructed by taking the disjoint union of the categories  $X_0$  and  $X_1$  together with a set  $\Gamma v(x_0, x_1) = v(x_0, x_1)$  of morphisms, for each  $x_0 \in X_0$  and  $x_1 \in X_1$ . The functors  $i_0$  and  $i_1$  are given by the inclusion. Collages in  $\mathbb{P}os$  are defined similarly.

### 3. Extensions as Cotabulators

In this section, we introduce the notion of an extension in a bicategory  $\mathcal{B}$  and prove a general theorem relating cotabulators in  $\mathbb{D}$  to extensions in the vertical bicategory  $\mathcal{V}\mathbb{D}$ . Thus, relating Artin-Wraith glueing in  $\mathbb{T}opos$  and  $\mathbb{L}oc$ , and collages in  $\mathbb{C}at$  and  $\mathbb{P}os$ , to extensions in the corresponding vertical bicategories.

3.1. DEFINITION. We say  $\mathcal{B}$  has initial morphisms if each category  $\mathcal{B}(X, Y)$  has an initial object, denoted by  $0_{XY}$ , and the unique cells  $0_{WY} \Rightarrow 0_{XY}f$  and  $0_{XZ} \Rightarrow g0_{XY}$  are invertible, for all  $f: W \rightarrow X$  and  $g: Y \rightarrow Z$ .

3.2. PROPOSITION. If  $\mathbb{D}$  has a horizontal initial object  $O$  and the unique morphism  $u_X: O \rightarrow X$  has a companion  $u_{X^*}$  and conjoint  $u_X^*$ , for all  $X$ , then  $\mathcal{B}(X, Y)$  has an initial object, for all  $X, Y$  and  $0_{XY}$  is given by

$$X \xrightarrow{u_X^*} O \xrightarrow{u_{Y^*}} Y$$

PROOF. This follows from Definition 2.3, since for all  $v: X \twoheadrightarrow Y$ , there is a unique cell

$$\begin{array}{ccc} O & \xrightarrow{u_X} & X \\ \text{id}_O \bullet \downarrow & u_v & \downarrow v \\ O & \xrightarrow{u_Y} & Y \end{array}$$

■

Note that for the double categories  $\mathbb{D}$  in Examples 2.5–2.8, the unique cells  $0_{WY} \Rightarrow 0_{XY} f$  and  $0_{XZ} \Rightarrow g 0_{XY}$  are invertible, for all  $f: W \rightarrow X$  and  $g: Y \rightarrow Z$ , and so  $\mathcal{V}\mathbb{D}$  has initial morphisms.

3.3. DEFINITION. Suppose  $\mathcal{B}$  has initial morphisms. A kernel of a morphism  $f: X \rightarrow Y$  is an inverter (in the sense of [CJSV94]) of the unique cell  $u_f: 0_{XY} \Rightarrow f$ , i.e., a morphism  $k: K \rightarrow X$  such that, for all  $B$ , the functor  $\mathcal{B}(B, k): \mathcal{B}(B, K) \rightarrow \mathcal{B}(B, X)$  induces an equivalence of categories between  $\mathcal{B}(B, K)$  and the full subcategory of  $\mathcal{B}(B, X)$  consisting of morphisms  $b: B \rightarrow X$  such that  $u_f b: 0_{XY} b \Rightarrow f b$  is invertible. The dual of a kernel is called a cokernel.

Unpacking the definition of kernel, we see that  $u_f k$  is an invertible cell satisfying the following universal property. For all  $b: B \rightarrow X$  such that  $u_f b$  is invertible, there exists  $\bar{b}: B \rightarrow K$  and an invertible cell  $\theta: k\bar{b} \Rightarrow b$ , and for any  $\beta: b_1 \Rightarrow b_2$  with  $u_f b_1$  and  $u_f b_2$  invertible and invertible cells  $\theta_1: k\bar{b}_1 \Rightarrow b_1$  and  $\theta_2: k\bar{b}_2 \Rightarrow b_2$ , there exists a unique  $\bar{\beta}: \bar{b}_1 \Rightarrow \bar{b}_2$  such that

$$\begin{array}{ccc} k\bar{b}_1 & \xrightarrow{\theta_1} & b_1 \\ k\bar{\beta} \Downarrow & & \Downarrow \beta \\ k\bar{b}_2 & \xrightarrow{\theta_2} & b_2 \end{array}$$

commutes. Moreover, the uniqueness of  $\bar{\beta}$  implies that for any  $b$ , the pair  $(\bar{b}, \theta)$  is unique up to isomorphism.

Note that if  $\mathbb{D}$  is flat, in the sense of [GP99], and the only invertible cells are identities, then this agrees with the usual (strict) definition of kernel and cokernel in  $\mathcal{V}\mathbb{D}$ .

3.4. DEFINITION. A diagram  $K \xrightarrow{k} X \xrightarrow{e} Q$  is called an extension of  $Q$  by  $K$  in a bicategory  $\mathcal{B}$  if  $k$  is a kernel of  $e$  and  $e$  is a cokernel of  $k$ .

To show that cotabulators in  $\mathbb{D}$  give rise to extensions in  $\mathcal{V}\mathbb{D}$ , we use the following property of cotabulators in our main examples.

3.5. DEFINITION. A cotabulator

$$\begin{array}{ccc} X_0 & & \\ \downarrow v & \searrow i_0 & \\ & & X \\ & \nearrow i_1 & \\ X_1 & & \end{array}$$

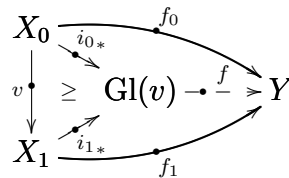
in  $\mathbb{D}$  restricts to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$  if  $i_0$  and  $i_1$  have companions and cotabulators, and



become a pseudo cotabulator and a pseudo tabulator in the bicategories  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$ , respectively.

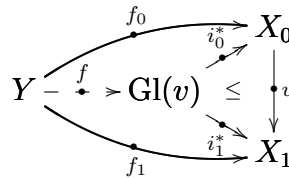
3.6. EXAMPLE. Cotabulators in  $\mathbb{L}oc$  restrict to  $\mathcal{V}\mathbb{L}oc$  and  $\mathcal{V}\mathbb{L}oc^{co}$ , as follows.

For  $\mathcal{V}\mathbb{L}oc$ , consider the diagram



where  $f_0 \leq f_1 v$ , and define  $f(x_0, x_1) = f_0(x_0) \wedge f_1(x_1)$ . Then  $f$  is a finite meet preserving map making the diagram commute, since  $f_0 \leq f_1 v$  implies  $f(i_{0*}(x_0)) = f(x_0, vx_0) = f_0(x_0) \wedge f_1(vx_0) = f_0(x_0)$  and  $f(i_{1*}(x_1)) = f(\top, x_1) = f_0(\top) \wedge f_1(x_1) = f_1(x_1)$ . Functoriality (and uniqueness) of  $f$  holds since  $(x_0, x_1) = (x_0, vx_0) \wedge (\top, x_1)$ , for all  $(x_0, x_1) \in \text{Gl}(v)$ .

For  $\mathcal{V}\mathbb{L}oc^{co}$ , consider the diagram



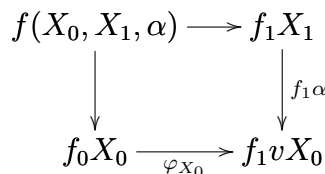
where  $f_1 \leq v f_0$ , and define  $f(y) = (f_0(y), f_1(y))$ . Then  $f(y) \in \text{Gl}(v)$ , since  $f_1 \leq v f_0$ , and  $f$  is the unique finite meet preserving map making the diagram commute, since  $i_0^*$  and  $i_1^*$  are the projections onto  $X_0$  and  $X_1$ , respectively.

3.7. EXAMPLE. Cotabulators in  $\mathbb{T}opos$  restrict to  $\mathcal{V}\mathbb{T}opos$  and  $\mathcal{V}\mathbb{T}opos^{co}$ , as follows.

Consider the diagram



and  $\varphi: f_0 \Rightarrow f_1 v$ . Define  $f(X_0, X_1, \alpha)$  by the pullback



Then  $f$  preserves finite limits since  $f_0$  and  $f_1$  do, a straightforward argument shows that the diagram (3.7) commutes up to isomorphism, and functoriality of  $f$  follows since

$$\begin{array}{ccc} (X_0, X_1, \alpha) & \xrightarrow{(!, \text{id})} & (1, X_1, !) \\ (\text{id}, \alpha) \downarrow & & \downarrow (!, \text{id}) \\ (X_0, vX_0, \text{id}) & \xrightarrow{(!, \text{id})} & (1, vX_0, !) \end{array}$$

is a pullback for all  $(X_0, X_1, \alpha)$  in  $\text{Gl}(v)$ .

The proof for  $\mathcal{V}\text{Topos}^{\text{co}}$  is similar to that of  $\mathcal{V}\text{Loc}^{\text{co}}$ .

3.8. EXAMPLE. Cotabulators in  $\text{Pos}$  restrict to  $\mathcal{V}\text{Pos}$  and  $\mathcal{V}\text{Pos}^{\text{co}}$ , as follows.

For  $\mathcal{V}\text{Pos}$ , consider the diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y \\ \downarrow v & \begin{array}{c} \nearrow i_{0*} \\ \searrow i_{1*} \end{array} & X_0 \sqcup_v X_1 \xrightarrow{f} Y \\ X_1 & \xrightarrow{f_1} & Y \end{array}$$

where  $f_1 v \leq f_0$ , and let  $f$  denote the disjoint union of  $f_0$  and  $f_1$ . Then  $f$  is an ideal making the diagram commute, since  $f_0$  and  $f_1$  are ideals, and  $f_1 v \leq f_0$ . Functoriality (and uniqueness) easily follow.

For  $\mathcal{V}\text{Pos}^{\text{co}}$ , consider the diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & X_0 \\ \downarrow v & \begin{array}{c} \nearrow i_0^* \\ \searrow i_1^* \end{array} & X_0 \sqcup_v X_1 \xrightarrow{f} Y \\ X_1 & \xrightarrow{f_1} & X_1 \end{array}$$

where  $v f_0 \leq f_1$ , and let  $f$  denote the disjoint union of  $f_0$  and  $f_1$ . Then  $f$  is an ideal making the diagram commute, since  $f_0$  and  $f_1$  are ideals, and  $f_1 v \leq f_0$ . Functoriality (and uniqueness) easily follow.

3.9. EXAMPLE. Cotabulators in  $\text{Cat}$  restrict to  $\mathcal{V}\text{Cat}$  and  $\mathcal{V}\text{Cat}^{\text{co}}$ , as follows.

For  $\mathcal{V}\text{Cat}$ , consider the diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y \\ \downarrow v & \begin{array}{c} \nearrow i_{0*} \\ \searrow i_{1*} \end{array} & X_0 \sqcup_v X_1 \xrightarrow{f} Y \\ X_1 & \xrightarrow{f_1} & Y \end{array}$$

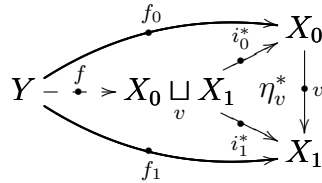
with  $\varphi: f_1 v \Rightarrow f_0$ . Define  $f$  by

$$f(x, y) = \begin{cases} f_0(x, y) & \text{if } x \in X_0 \\ f_1(x, y) & \text{if } x \in X_1 \end{cases}$$



Since there is a cell  $\varphi: f_1 v \Rightarrow f_0$ , we know  $f$  is a profunctor making the diagram commute up to an invertible cell, and functoriality (and uniqueness) easily follow.

For  $\mathcal{V}\text{Cat}^{\text{co}}$ , consider the diagram

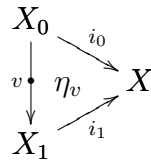


with  $\varphi: v f_0 \Rightarrow f_1$ . Define  $f$  by

$$f(y, x) = \begin{cases} f_0(y, x) & \text{if } x \in X_0 \\ f_1(y, x) & \text{if } x \in X_1 \end{cases}$$

Then  $f$  is a profunctor making the diagram commute up to an invertible cell, since there is a cell  $\varphi: v f_0 \Rightarrow f_1$ , and functoriality (and uniqueness) easily follow.

3.10. PROPOSITION. *If*

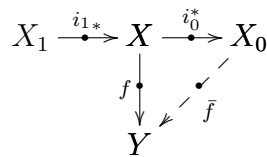


is a cotabulator in  $\mathbb{D}$  which restricts to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{\text{co}}$ , and  $u_{i_0^* i_1^*}: 0_{X_1 X_0} \Rightarrow i_0^* i_1^*$  is invertible, then

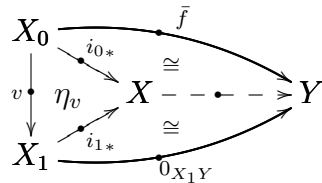
$$X_1 \xrightarrow{i_{1*}} X \xrightarrow{i_0^*} X_0$$

is an extension of  $X_0$  by  $X_1$  in  $\mathcal{V}\mathbb{D}$ .

PROOF. To see that  $i_0^*$  is a cokernel of  $i_{1*}$ , given  $f: X \rightarrow Y$  and an invertible cell  $0_{X_1 Y} \Rightarrow f i_{1*}$ , consider



where  $\bar{f} = f i_{0*}$ . Both  $\bar{f} i_0^*$  and  $f$  complete the diagram



since  $(\bar{f} i_0^*) i_{0*} \cong \bar{f}$  and  $(\bar{f} i_0^*) i_{1*} \cong 0_{X_1 Y}$ , and so there is an invertible cell  $\bar{f} i_0^* \cong f$ , compatible with the given cells.

Given  $\varphi: f_1 \Rightarrow f_2$  and invertible cells  $\theta_1: \bar{f}_1 i_0^* \Rightarrow f_1$  and  $\theta_2: \bar{f}_2 i_0^* \Rightarrow f_2$ , define  $\bar{\varphi}: \bar{f}_1 \Rightarrow \bar{f}_2$  by  $\bar{\varphi} = \varphi i_{0*}$ . Then

$$\begin{array}{ccc} \bar{f}_1 i_0^* & \xrightarrow{\theta_1} & f_1 \\ \bar{\varphi} i_0^* \downarrow & & \downarrow \varphi \\ \bar{f}_2 i_0^* & \xrightarrow{\theta_2} & f_2 \end{array}$$

commutes by uniqueness of the cell  $\alpha$  such that  $\alpha i_{0*} = \bar{\varphi}$  and  $\alpha i_{1*} = \text{id}_{0_{X_1 Y}}^\bullet$ . Therefore,  $i_0^*$  is the cokernel of  $i_{1*}$ .

The proof that  $i_{1*}$  is the kernel of  $i_0^*$  is dual. ■

Applying Proposition 3.10 to the examples, we get:

3.11. COROLLARY. *If  $v: X_0 \dashrightarrow X_1$  is a profunctor (respectively, order ideal), then*

$$X_1 \dashrightarrow^{i_{1*}} X_0 \sqcup_v X_1 \dashrightarrow^{i_0^*} X_0$$

*is an extension in  $\mathcal{V}\text{Cat}$  (respectively,  $\mathcal{V}\text{Pos}$ ).* ■

3.12. COROLLARY. (Faul and Manuell [FM20]) *If  $v: X_0 \dashrightarrow X_1$  is a finite meet preserving map of frames (i.e., locales), then*

$$X_1 \dashrightarrow^{i_{1*}} \text{Gl}(v) \dashrightarrow^{i_0^*} X_0$$

*is an extension in  $\text{Frm}_\wedge$  (i.e.,  $\mathcal{V}\text{Loc}$ ).* ■

3.13. COROLLARY. *If  $v: \mathcal{X}_0 \dashrightarrow \mathcal{X}_1$  is a finite limit preserving map of toposes, then*

$$\mathcal{X}_1 \dashrightarrow^{i_{1*}} \text{Gl}(v) \dashrightarrow^{i_0^*} \mathcal{X}_0$$

*is an extension in  $\mathcal{V}\text{Topos}$ .* ■

## 4. Extensions and Open Cokernels

In this section, we show that if cokernels exist in  $\mathcal{V}\mathbb{D}$  and satisfy a property shared by  $\text{Loc}$ ,  $\text{Topos}$ ,  $\text{Pos}$ , and  $\text{Cat}$ , then every extension is equivalent to one arising from a cotabulator as in Proposition 3.10.

To obtain such an equivalence in [FM20], the authors show that every morphism  $f: X \rightarrow Y$  in  $\text{Frm}_\wedge$  has a cokernel which they construct as  $i_o^*: X \rightarrow \downarrow u$ , where  $u = f(\perp)$  and  $i_o$  is the inclusion of the open sublocale  $\downarrow u$  of  $X$ , in the sense of [J82]. Since  $X$  can be reconstructed, up to isomorphism, from  $\downarrow u$  and its closed complement  $i_c: \uparrow u \rightarrow X$  via Artin-Wraith glueing along  $i_c^* i_{o*}$ , they show that every extension is isomorphic to one of the form

$$\uparrow u \dashrightarrow^{i_{c*}} \text{Gl}(i_c^* i_{o*}) \dashrightarrow^{i_o^*} \downarrow u$$

The notion of open/closed sublocales and this reconstruction is generalized to double categories called “glueing categories” in [N12a], [N12b] where examples include the double categories of interest here. Moreover, the open/closed subobjects agree with the definitions for  $\mathbb{L}oc_0$  [J82],  $\mathbb{T}opos_0$  [J77],  $\mathbb{C}at_0$  [BN00], and  $\mathbb{P}os_0$  [N01]. The assumption that  $\mathbb{D}$  is a glueing category is unnecessary here since we only use the following definition of open morphism in  $\mathcal{V}\mathbb{D}$ .

4.1. DEFINITION. *Suppose  $\mathcal{V}\mathbb{D}$  has initial morphisms. A vertical morphism  $e: X \twoheadrightarrow Q$  is called open in  $\mathbb{D}$  if  $e \cong i_0^*$ , for some cotabulator*

$$\begin{array}{ccc}
 Q & \xrightarrow{i_0} & X \\
 v \downarrow \eta_v & \nearrow & \\
 X_1 & \xrightarrow{i_1} & 
 \end{array}
 \tag{4.1}$$

in  $\mathbb{D}$  which restricts to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$  and satisfies  $u_{i_0^*i_1^*}: 0_{X_1Q} \Rightarrow i_0^*i_1^*$  and  $\hat{\eta}_v: v \Rightarrow i_1^*i_0^*$  are invertible. We say  $\mathbb{D}$  has open cokernels if every vertical morphism has a cokernel which is open in  $\mathbb{D}$ .

From [FM20], we know  $\mathbb{L}oc$  has open cokernels, and their construction generalizes to  $\mathbb{T}opos$  as follows.

4.2. PROPOSITION.  *$\mathbb{T}opos$  has open cokernels.*

PROOF. Given  $f: \mathcal{W} \twoheadrightarrow \mathcal{X}$ , let  $U = f(0)$ , and consider  $i_o: \mathcal{X}/U \rightarrow \mathcal{X}$ , where  $i_o^* = U^*$  and  $i_{o*} = \Pi_U$ . Then  $i_o^*f \cong 0_{\mathcal{W}\mathcal{X}/U}$ , the constant functor at the terminal object of  $\mathcal{X}/U$ , since  $f(W) \times U = f(W) \times f(0) \cong f(W \times 0) \cong f(0) = U$ , and so  $i_o^*f(W) \cong \text{id}_U$ .

Suppose  $g: \mathcal{X} \twoheadrightarrow \mathcal{Y}$  satisfies  $gf \cong 0_{\mathcal{W}\mathcal{Y}}$ , i.e.,  $(gf)(W) = 1$ , for all  $W$  in  $\mathcal{W}$ ,

$$\begin{array}{ccccc}
 \mathcal{W} & \xrightarrow{f} & \mathcal{X} & \xrightarrow{i_o^*} & \mathcal{X}/U \\
 & & \downarrow g & \nearrow \bar{g} & \\
 & & \mathcal{Y} & & 
 \end{array}$$

and define  $\bar{g} = gi_{o*}$ . To see that  $\bar{g}i_o^* \cong g$ , it suffices to show that both morphisms make the diagram

$$\begin{array}{ccccc}
 \mathcal{X}/U & \xrightarrow{\bar{g}} & \mathcal{Y} & & \\
 \downarrow v & \nearrow i_{o*} & \dashrightarrow & \dashrightarrow & \\
 \mathcal{X}_c & \xrightarrow{i_{c*}} & \mathcal{X} & \xrightarrow{\cong} & \mathcal{Y} \\
 & \nearrow \eta_v & \dashrightarrow & \dashrightarrow & \\
 & & 0_{\mathcal{X}_c\mathcal{Y}} & & 
 \end{array}$$

commute up to compatible isomorphisms, where  $v = i_c^*i_{o*}$  and  $i_c: \mathcal{X}_c \rightarrow \mathcal{X}$  is the inclusion of the closed complement of  $\mathcal{X}/U$  in  $\mathcal{X}$ . Since  $i_o^*i_{o*} \cong \text{id}_{\mathcal{X}/U}$ , we know  $\bar{g}i_o^*i_{o*} \cong \bar{g} = gi_{o*}$ . Also,  $\bar{g}i_o^*i_{c*} \cong \bar{g}0_{\mathcal{X}_c\mathcal{X}/U} \cong 0_{\mathcal{X}_c\mathcal{Y}}$ . To see that  $gi_{c*} \cong 0_{\mathcal{X}_c\mathcal{Y}}$ , recall [J77] that  $X \in \mathcal{X}_c$ , i.e., is a  $j_c$ -sheaf, if and only if  $X \times U \cong U$ . But,  $g(f(W)) = 1$ , for all  $W$ , and so

$g(X) \cong g(X) \times 1 \cong g(X) \times g(f(0)) \cong g(X \times f(0)) \cong g(X \times U) \cong g(U) \cong g(f(0)) \cong 1$ , and it follows that  $gi_{c*} \cong 0_{\mathcal{X}\mathcal{Y}}$ .

Given  $\varphi: g_1 \Rightarrow g_2$  and invertible cells  $\theta_1: \bar{g}_1 i_o^* \Rightarrow g_1$  and  $\theta_2: \bar{g}_2 i_o^* \Rightarrow g_2$ , define  $\bar{\varphi}: \bar{g}_1 \Rightarrow \bar{g}_2$  by  $\bar{\varphi} = \varphi i_{o*}$ . Then

$$\begin{array}{ccc} \bar{g}_1 i_o^* & \xrightarrow{\theta_1} & g_1 \\ \bar{\varphi} i_o^* \downarrow & & \downarrow \varphi \\ \bar{g}_2 i_o^* & \xrightarrow{\theta_2} & g_2 \end{array}$$

commutes by uniqueness of the cell  $\alpha$  such that  $\alpha i_{o*} = \bar{\varphi}$  and  $\alpha i_{c*} = \text{id}_{0_{\mathcal{X}\mathcal{Y}}}$ .

Therefore,  $i_o^*$  is the cokernel of  $i_{c*}$ . ■

By the description in [N12a] of cotabulators as collages in  $\mathbb{C}at$  (and  $\mathbb{P}os$ ), we know  $e: X \twoheadrightarrow X_0$  is open if and only if  $e \cong i_o^*$ , for some open inclusion  $i_o: X_0 \rightarrow X$ , i.e.,  $x_0 \in X_0$  and  $\alpha: x \rightarrow x_0$  in  $X$  implies  $x \in X_0$ .

4.3. PROPOSITION. *Cat and Pos have open cokernels.*

PROOF. Given  $f: W \twoheadrightarrow X$ , let  $i_o: X_0 \rightarrow X$  denote the inclusion of the full subcategory consisting of objects  $x_o$  such that  $f(w, x_o) = \emptyset$ , for all  $w \in W$ . Then  $X_0$  is open, for if  $f(w, x_o) = \emptyset$  and  $\alpha: x \rightarrow x_o$ , then so is  $f(w, x)$ , since  $f(w, \alpha): f(w, x) \rightarrow f(w, x_o)$ .

Now,  $i_o^* f = 0_{WX_0}$ , since  $i_o^*(x, x_o) \times f(w, x) \rightarrow f(w, x_o)$ , for all  $x \in X$ , implies  $i_o^* f(w, x_o) = \emptyset$ , for all  $w \in W$  and  $x_o \in X_0$ . Thus, to see that  $i_o^*$  is a cokernel of  $f$ , suppose  $g: X \rightarrow Y$  satisfies  $gf \cong 0_{WY}$  in

$$\begin{array}{ccccc} W & \xrightarrow{f} & X & \xrightarrow{i_o^*} & X_0 \\ & & \downarrow g & \swarrow \bar{g} & \\ & & Y & & \end{array}$$

and define  $\bar{g} = gi_{o*}$ . For  $\bar{g}i_o^* \cong g$ , it suffices to show that both morphisms make the diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{\bar{g}} & Y \\ \downarrow i_o^* & \nearrow \cong & \downarrow \eta_v \\ X & \dashrightarrow & Y \\ \downarrow i_1^* i_{o*} & \nearrow \cong & \downarrow 0_{X_1 Y} \\ X_1 & \xrightarrow{0_{X_1 Y}} & Y \end{array}$$

commute up to compatible isomorphisms, where  $i_1: X_1 \rightarrow X$  is the inclusion of the closed complement of  $X_0$  in  $X$ . Note that  $X_1 = \{x \in X \mid f(w, x) \neq \emptyset, \text{ for some } w \in W\}$ . Thus, as in the proof of Proposition 4.2, we know that  $\bar{g}i_o^* i_{o*} \cong gi_{o*}$ , so it remains to show that  $(\bar{g}i_o^*)i_{1*} \cong gi_{1*}$ , or equivalently,  $gi_{1*} \cong 0_{X_1 Y}$ , since  $(\bar{g}i_o^*)i_{1*} \cong \bar{g}(i_o^* i_{1*}) \cong \bar{g}0_{X_1 X_0} \cong 0_{X_1 Y}$ .

Consider  $g(x, y) \times i_{1*}(x_1, x) \rightarrow g(x_1, y)$ . Now,  $gf \cong 0_{WY}$  implies  $g(x_1, y) \times f(w, x_1) = \emptyset$ , for all  $w \in W$ . Since  $f(w, x_1) \neq \emptyset$ , for some  $w \in W$ , by definition of  $X_1$ , it follows that  $g(x_1, y) = \emptyset$ . Thus,  $g(x, y) \times i_{1*}(x_1, x) = \emptyset$ , and so  $gi_{1*} \cong 0_{X_1 Y}$ , as desired.

Given  $\varphi: g_1 \Rightarrow g_2$  and invertible cells  $\theta_1: \bar{g}_1 i_0^* \Rightarrow g_1$  and  $\theta_2: \bar{g}_2 i_0^* \Rightarrow g_2$ , define  $\bar{\varphi}: \bar{g}_1 \Rightarrow \bar{g}_2$  by  $\bar{\varphi} = \varphi i_{0*}$ . Then

$$\begin{array}{ccc} \bar{g}_1 i_0^* & \xrightarrow{\theta_1} & g_1 \\ \bar{\varphi} i_0^* \downarrow & & \downarrow \varphi \\ \bar{g}_2 i_0^* & \xrightarrow{\theta_2} & g_2 \end{array}$$

commutes by uniqueness of the cell  $\alpha$  such that  $\alpha i_{0*} = \bar{\varphi}$  and  $\alpha i_{1*} = \text{id}_{0_{X_1 Y}}^\bullet$ . Therefore,  $i_0^*$  is the cokernel of  $i_{c*}$ .

The proof for  $\mathbb{P}\text{os}$  is analogous with ideals in place of profunctors. ■

4.4. DEFINITION. A morphism of extensions in a bicategory  $\mathcal{B}$  is a diagram

$$\begin{array}{ccccc} K_1 & \xrightarrow{k_1} & X_1 & \xrightarrow{e_1} & Q_1 \\ f \downarrow & \cong & \downarrow g & \cong & \downarrow h \\ K_2 & \xrightarrow{k_2} & X_2 & \xrightarrow{e_2} & Q_2 \end{array}$$

It is an equivalence of extensions if  $f, g,$  and  $h$  are equivalences in  $\mathcal{B}$ . The set of equivalence classes of extensions of  $Q$  by  $K$  is denoted by  $\text{Ext}(Q, K)$ .

4.5. THEOREM. Suppose  $\mathbb{D}$  has open cokernels. Then every extension  $K \xrightarrow{k} X \xrightarrow{e} Q$  is equivalent to:

(1) one of the form  $X_1 \xrightarrow{j_1*} X \xrightarrow{j_0^*} Q$ , for some cotabulator

$$\begin{array}{ccc} Q & & \\ & \searrow^{j_0} & \\ v \downarrow & \eta_v & X \\ & \nearrow_{j_1} & \\ X_1 & & \end{array} \tag{4.5}$$

(2) one of the form  $K \xrightarrow{i_1*} \Gamma(k^* e_*) \xrightarrow{i_0^*} Q$ , where  $k^*$  and  $e_*$  are right and left adjoint to  $k$  and  $e$ .

PROOF. Since  $\mathbb{D}$  has open cokernels, there is a cotabulator of the form (4.5) in  $\mathbb{D}$  which restricts to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$  and satisfies  $u_{j_0^* j_1*}: 0_{X_1 Q} \Rightarrow j_0^* j_1^*$  and  $\hat{\eta}_v: v \Rightarrow j_1^* j_0^*$  are invertible.

Consider the diagram

$$\begin{array}{ccccc} K & \xrightarrow{k} & X & \xrightarrow{e} & Q \\ f_1 \downarrow & \varphi_1 & \downarrow \text{id}_X & \varphi_0 & \downarrow f_0 \\ X_1 & \xrightarrow{j_1*} & X & \xrightarrow{j_0^*} & Q \end{array}$$

where  $(f_0, \varphi_0)$  exists and is an equivalence since both  $e$  and  $j_0^*$  are kernels of  $k$ , and then we get  $(f_1, \varphi_1)$ , since  $j_0^*k \cong 0_{KQ}$ . Now,  $f_0ej_{1*} \cong j_0^*j_{1*} \cong 0_{X_1Q}$  and  $f_0$  is an equivalence, and so  $ej_{1*} \cong 0_{X_1Q}$  and we get a pseudo inverse of  $(f_1, \varphi_1)$ , as desired, proving (1).

For (2), let  $g_0$  and  $g_1$  denote pseudo inverses of  $f_0$  and  $f_1$ , respectively, and consider

$$\begin{array}{ccccc}
 K & \xrightarrow{k} & X & \xrightarrow{e} & Q \\
 \downarrow f_1 & & \downarrow & & \downarrow f_0 \\
 \cong X_1 & \xrightarrow{j_{1*}} & X & \xrightarrow{j_0^*} & Q \cong \\
 \downarrow g_1 & & \downarrow h & & \downarrow g_0 \\
 K & \xrightarrow{i_{1*}} & \Gamma(k^*e_*) & \xrightarrow{i_0^*} & Q
 \end{array}$$

where  $k^* = g_1j_1^*$  and  $e_* = j_{0*}f_0$ , Now,  $k^*$  is a right pseudo adjoint for  $k$ , since  $kk^* \cong (j_{1*}f_1)(g_1j_1^*) \cong j_{1*}(f_1g_1)j_1^* \cong j_{1*}j_1^* \Rightarrow \text{id}_X$  and  $\text{id}_K \cong g_1f_1 \Rightarrow g_1(j_1^*j_{1*})f_1 \cong (g_1j_1^*)(j_{1*}f_1) \cong k^*k$ ; and  $e_*$  is a left pseudo adjoint for  $e$  via  $e_*e \cong (j_{0*}f_0)(g_0j_0^*) \cong j_{0*}(f_0g_0)j_0^* \cong j_{0*}j_0^* \Rightarrow \text{id}_X$  and  $\text{id}_Q \cong g_0f_0 \Rightarrow g_0(j_0^*j_{0*})f_0 \cong (g_0j_0^*)(j_{0*}f_0) \cong ee_*$ . One can show that there is an equivalence  $h: X \rightarrow \Gamma(k^*e_*)$  making the bottom two squares commute up to invertible cells, since  $k^*e_* \cong (g_1j_1^*)(j_{0*}f_0) \cong g_1(j_1^*j_{0*})f_0$ ,  $X \simeq \Gamma(j_1^*j_{0*})$ , and  $g_1$  and  $f_0$  are equivalences, and so (2) follows. ■

Thus, we get:

4.6. COROLLARY. Every extension  $\mathcal{K} \xrightarrow{k} \mathcal{X} \xrightarrow{e} \mathcal{Q}$  in  $\mathcal{V}\text{Topos}$  is equivalent to one of the form  $\mathcal{K} \xrightarrow{i_{1*}} \text{Gl}(k^*e_*) \xrightarrow{i_0^*} \mathcal{Q}$ , for some left adjoint  $k^*$  of  $k$  and right adjoint  $e_*$  of  $e$ . ■

4.7. COROLLARY. Every extension  $K \xrightarrow{k} X \xrightarrow{e} Q$  in  $\mathcal{V}\text{Cat}$  is equivalent to one of the form  $K \xrightarrow{i_{1*}} Q \sqcup_{k^*e_*} K \xrightarrow{i_0^*} Q$ , for some right adjoint  $k^*$  of  $k$  and left adjoint  $e_*$  of  $e$ . ■

Note that equivalences in  $\mathcal{V}\text{Loc}$  and  $\mathcal{V}\text{Pos}$  are isomorphisms in  $\text{Loc}$  and  $\text{Pos}$ , since both double categories are flat and the only invertible cells are identities.

4.8. COROLLARY. If  $K \xrightarrow{k} X \xrightarrow{e} Q$  is an extension in  $\text{Frm}_\wedge$ , then  $k$  has a left adjoint  $k^*$  and  $e$  has a right adjoint  $e_*$  such that the diagram

$$\begin{array}{ccccc}
 K & \xrightarrow{k} & X & \xrightarrow{e} & Q \\
 \downarrow \text{id}_K & & \downarrow f_* & & \downarrow \text{id}_Q \\
 K & \xrightarrow{i_{1*}} & \text{Gl}(k^*e_*) & \xrightarrow{i_0^*} & Q
 \end{array}$$

commutes in  $\text{Frm}_\wedge$ , for some isomorphism  $f$  in  $\text{Frm}$ .

PROOF. Since cells in  $\mathbb{L}oc$  are of the form

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y_0 \\ v \downarrow & \geq & \downarrow w \\ X_1 & \xrightarrow{f_1} & Y_1 \end{array}$$

this follows from Theorem 4.5. ■

4.9. COROLLARY. *If  $K \xrightarrow{k} X \xrightarrow{e} Q$  is an extension in  $\mathcal{V}Pos$ , then  $k$  has a right adjoint  $k^*$  and  $e$  has a left adjoint  $e_*$  such that the diagram*

$$\begin{array}{ccccc} K & \xrightarrow{k} & X & \xrightarrow{e} & Q \\ \text{id}_K^* \downarrow & & \downarrow f_* & & \downarrow \text{id}_Q^* \\ K & \xrightarrow{i_{1*}} & Q \sqcup K & \xrightarrow{i_0^*} & Q \\ & & k^* e_* & & \end{array}$$

*commutes in  $\mathcal{V}Pos$ , for some isomorphism  $f$  in  $Pos$ .*

PROOF. Since cells in  $Pos$  are of the form

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y_0 \\ v \downarrow & \leq & \downarrow w \\ X_1 & \xrightarrow{f_1} & Y_1 \end{array}$$

this follows from Theorem 4.5. ■

For the other two examples, one can ask whether the equivalences in  $\mathcal{V}Topos$  and  $\mathcal{V}Cat$  are equivalences in the 2-categories  $HTopos$  and  $HCat$ . We will see that they are in the first case but not necessarily in the second.

4.10. PROPOSITION. *If  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is an equivalence in  $\mathcal{V}Topos$ , then it is the right adjoint of an equivalence in  $\mathcal{V}Topos$ , and so the equivalence  $\mathcal{X} \simeq Gl(k^*e_*)$  in Corollary 4.6 is an equivalence in  $HTopos$ .*

PROOF. It suffices to show that  $f$  has a finite limit preserving left adjoint. Suppose  $g: \mathcal{Y} \rightarrow \mathcal{X}$  is a pseudo inverse of  $f$  in  $\mathcal{V}Topos$ , and consider  $f^* = gfg$ . Then  $f^*$  is a left adjoint pseudoinverse of  $f$  [M71], and  $f^*$  preserves finite limits, since  $f \cong g$  and  $g$  does. ■

The following example shows that there is not an analogous proposition for  $\mathbb{C}at$ .

4.11. **EXAMPLE.** Suppose  $Y$  is a non-Cauchy complete category, in the sense of [W81], and  $f: X \rightarrow Y$  is its Cauchy completion (for example, a one object category  $Y$  with a single non-identity morphism  $e$  such that  $e^2 = e$  and its two object Cauchy completion  $X$ ). Then there is an equivalence of extensions

$$\begin{array}{ccccc}
 X & \xrightarrow{\langle \text{id}, 1 \rangle_*} & X \times 2 & \xrightarrow{\langle \text{id}, 0 \rangle^*} & X \\
 \downarrow f_* & \cong & \downarrow (f \times \text{id})_* & \cong & \downarrow f_* \\
 Y & \xrightarrow{\langle \text{id}, 1 \rangle_*} & Y \times 2 & \xrightarrow{\langle \text{id}, 0 \rangle^*} & Y
 \end{array}$$

but  $X$  and  $Y$  are not equivalent in  $\mathbb{H}Cat$ .

### 5. Functoriality

In [FM20], they show that  $(X_0, X_1) \mapsto \text{Ext}(X_0, X_1)$  defines a functor  $\text{Frm}_\wedge^{op} \times \text{Frm}_\wedge \rightarrow \text{Set}$  defined by pullback in  $X_0$  and pushout in  $X_1$ . To do so, they show that  $W_0 \xrightarrow{u} X_0 \xrightarrow{v} X_1 \xrightarrow{w} Y_1$  gives rise to pushout and pullback diagrams

$$\begin{array}{ccc}
 X_1 & \xrightarrow{i_{1*}} & \text{Gl}(v) \\
 w \downarrow & & \downarrow \\
 Y_1 & \xrightarrow{j_{1*}} & \text{Gl}(wv)
 \end{array}
 \qquad
 \begin{array}{ccc}
 \text{Gl}(vu) & \xrightarrow{j_0^*} & W_0 \\
 \downarrow & & \downarrow u \\
 \text{Gl}(v) & \xrightarrow{i_0^*} & X_0
 \end{array}$$

in  $\text{Frm}_\wedge$ . We will see that the latter extends to  $\mathbb{P}os$ ,  $\mathbb{C}at$ , and  $\mathbb{T}opos$  for pseudo pushouts and pullbacks, and so we get a pseudo functor  $\mathcal{V}\mathbb{D}^{op} \times \mathcal{V}\mathbb{D} \rightarrow \text{Set}$ .

5.1. **LEMMA.** *Suppose  $\mathbb{D}$  has cotabulators that restrict to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$ .*

(1) *Given  $X_0 \xrightarrow{v} X_1 \xrightarrow{w} Y_1$  in  $\mathbb{D}$ , the diagram*

$$\begin{array}{ccc}
 X_1 & \xrightarrow{i_{1*}} & \Gamma(v) \\
 w \downarrow & \cong & \downarrow \bar{w} \\
 Y_1 & \xrightarrow{j_{1*}} & \Gamma(wv)
 \end{array}$$

*is a pseudo pushout in  $\mathcal{V}\mathbb{D}$ , where  $\bar{w}$  is induced by  $\eta_{wv}: j_{1*}(wv) \Rightarrow j_{0*}$ .*

(2) *Given  $W_0 \xrightarrow{u} X_0 \xrightarrow{v} X_1$  in  $\mathbb{D}$ , the diagram*

$$\begin{array}{ccc}
 \Gamma(vu) & \xrightarrow{j_0^*} & W_0 \\
 \bar{u} \downarrow & \cong & \downarrow u \\
 \Gamma(v) & \xrightarrow{i_0^*} & X_0
 \end{array}$$



is a pseudo pullback in  $\mathcal{V}\mathbb{D}$ , where  $\bar{u}$  is induced by  $\eta_{vu}: (vu)j_0^* \Rightarrow j_1^*$ .

PROOF. For (1), note that the cell  $\eta_{wv}: j_{1*}(wv) \Rightarrow j_{0*}$  factors as

$$\begin{array}{ccccc}
 X_0 & & & & \\
 \downarrow v & \nearrow i_{0*} & & \searrow j_{0*} & \\
 \Gamma v & \xrightarrow{\bar{w}} & \Gamma(wv) & & \\
 \downarrow \eta_v & \cong & \cong & \nearrow j_{1*} & \\
 X_1 & \xrightarrow{w} & Y_1 & & 
 \end{array}$$

Given  $f$  and  $g$  such that  $gw \cong fi_{1*}$ ,

$$\begin{array}{ccccc}
 X_1 & \xrightarrow{i_{1*}} & \Gamma(v) & & \\
 \downarrow w & \cong & \downarrow \bar{w} & \searrow f & \\
 Y_1 & \xrightarrow{j_{1*}} & \Gamma(wv) & \xrightarrow{h} & Z \\
 & \cong & \cong & \nearrow g & \\
 & & & & 
 \end{array}$$

Since  $f\eta_v: f(i_{1*}v) \Rightarrow fi_{0*}$  and  $g(wv) \cong (gw)v \cong (fi_{1*})v \cong f(i_{1*}v)$ , we get a cell  $g(wv) \Rightarrow fi_{0*}$ , and so for the universal property of  $\Gamma(wv)$ , there exists  $h: \Gamma(wv) \rightarrow Z$  with invertible cells  $hj_{1*} \cong g$  and  $hj_{0*} \cong fi_{0*}$ . Since  $fi_{0*} \cong hj_{0*} \cong h(\bar{w}i_{0*}) \cong (h\bar{w})i_{0*}$  and  $fi_{1*} \cong gw \cong (hj_{1*})w \cong h(j_{1*}w) \cong h(\bar{w}i_{1*}) \cong (h\bar{w})i_{1*}$ , the universal property of  $\Gamma v$  implies that  $f \cong h\bar{w}$ . Moreover, these are all compatible cells since they arise from the universal property of cotabulators.

As in Proposition 3.10, given cells from a pair of  $f$ 's to  $g$ 's, there exists a cell from the induced  $h$ 's which is compatible with the invertible cells.

The proof of (2) is dual. ■

Recall that  $\text{Ext}(Q, K)$  denotes the set of equivalence classes of extensions of  $Q$  by  $K$ , introduced in Definition 4.4.

5.2. THEOREM. *Suppose  $\mathbb{D}$  has open cokernels and cotabulators which restrict to  $\mathcal{V}\mathbb{D}$  and  $\mathcal{V}\mathbb{D}^{co}$ . If  $u: Y_0 \twoheadrightarrow X_0$  and  $w: X_1 \twoheadrightarrow Y_1$  are vertical morphisms in  $\mathbb{D}$ , then pseudo pushout along  $w$  and pseudo pullback along  $v$  induce a function  $\text{Ext}(X_0, X_1) \rightarrow \text{Ext}(Y_0, Y_1)$ , and hence, a functor  $\text{Ext}: \mathcal{V}\mathbb{D}^{op} \times \mathcal{V}\mathbb{D} \rightarrow \text{Set}$ .*

PROOF. By Theorem 4.5, every extension of  $X_0$  by  $X_1$  in  $\mathcal{V}\mathbb{D}$  is equivalent to one of the form  $X_0 \xrightarrow{k} \Gamma(v) \xrightarrow{e} X_1$ , for some  $v: X_0 \twoheadrightarrow X_1$ .

Given  $w: X_1 \twoheadrightarrow Y_1$ , consider the diagram

$$\begin{array}{ccccc}
 X_1 & \xrightarrow{k} & \Gamma(v) & \xrightarrow{e} & X_0 \\
 \downarrow w & \cong & \downarrow \bar{w} & \cong & \downarrow \text{id}_{X_0} \\
 Y_1 & \xrightarrow{i_{1*}} & \Gamma(wv) & \xrightarrow{i_0^*} & X_0
 \end{array}$$

where the left square is a pseudo pushout by Lemma 5.1, and there is an invertible cell in the right square, since  $e$  is a cokernel of  $k$  and  $(i_0^* \bar{w})k \cong 0_{X_1 X_0}$ . Thus, we get a function  $\text{Ext}(X_0, w): \text{Ext}(X_0, X_1) \rightarrow \text{Ext}(X_0, Y_1)$ , and hence, a functor  $\text{Ext}(X_0, -): \mathcal{V}\mathbb{D} \rightarrow \text{Set}$ , since this  $\text{Ext}(X_0, w)$  is defined by universal properties.

Dually, pseudo pullback in the first variable induces a functor  $\text{Ext}(-, X_1): \mathcal{V}\mathbb{D}^{op} \rightarrow \text{Set}$ . ■

5.3. EXAMPLES. There is a functor  $\text{Ext}: \mathcal{V}\mathbb{D}^{op} \times \mathcal{V}\mathbb{D} \rightarrow \text{Set}$  defined by pullback in the first variable and pushout in the second, for  $\mathbb{D} = \text{Loc}, \text{Topos}, \text{Pos}, \text{Cat}$ .

### 6. Adjoint Extensions

We conclude with a characterization of a category of adjoint extension analogous to that of  $\overline{\text{Adj}}$  in [FM20].

6.1. DEFINITION. *An adjoint extension of  $Q$  by  $K$  is one of the form  $K \xrightarrow{k_*} X \xrightarrow{e^*} Q$  for some cotabulator of the form*

$$\begin{array}{ccc}
 Q & & \\
 \downarrow k^*e_* & \searrow e & \\
 \bullet & \eta_{k^*e_*} & X \\
 \downarrow & \nearrow k & \\
 K & & 
 \end{array}$$

in  $\mathbb{D}$ . Let  $\text{Adj}(Q, K)$  denote the category whose objects are adjoint extensions of  $Q$  by  $K$  and morphisms are diagrams of the form

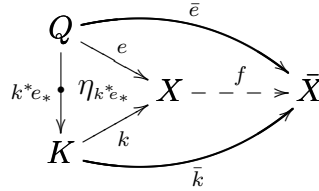
$$\begin{array}{ccccc}
 K & \xrightarrow{k_*} & X & \xrightarrow{e^*} & Q \\
 \text{id}_K \bullet \downarrow & \cong & \downarrow f_* & \cong & \downarrow \text{id}_Q \bullet \\
 K & \xrightarrow{\bar{k}_*} & \bar{X} & \xrightarrow{\bar{e}^*} & Q
 \end{array} \tag{6.1}$$

for some  $f: X \rightarrow \bar{X}$  in  $\mathbb{D}_0$  such that  $fe = \bar{e}$

Every morphism  $f_*: (k_*, e^*) \rightarrow (\bar{k}_*, \bar{e}^*)$  induces a cell  $\varphi: k^*e_* \Rightarrow \bar{k}^*\bar{e}_*$  via

$$\begin{array}{ccccc}
 Q & \xrightarrow{\text{id}_Q \bullet} & Q & & \\
 e_* \bullet \downarrow & \cong & \downarrow \bar{e}_* & & \\
 X & \xrightarrow{f_*} & \bar{X} & & \\
 k^* \bullet \downarrow & \hat{\varphi}_1 & \downarrow \bar{k}^* & & \\
 K & \xrightarrow{\text{id}_K \bullet} & K & & 
 \end{array}$$

where  $\hat{\varphi}_1$  is the transpose of the invertible cell  $\varphi_1: \bar{k}_* \Rightarrow f_*k_*$ . Conversely, every special cell  $\varphi: k^*e_* \Rightarrow \bar{k}^*\bar{e}_*$  induces  $f: X \rightarrow \bar{X}$



such that  $fe = \bar{e}$ ,  $fk = \bar{k}$ , and  $f\eta_{k^*e_*} = \varphi$ , and hence, a morphism  $(k_*, e^*) \rightarrow (\bar{k}_*, \bar{e}^*)$  in  $\text{Adj}(Q, K)$ . Moreover, this correspondence is a bijection by the universal property of the cotabulator. Thus, we get:

6.2. PROPOSITION.  $\text{Adj}(Q, K)$  is equivalent to a full subcategory of  $\mathcal{VD}(Q, K)$ , for all objects  $Q, K$  in  $\mathbb{D}$ . ■

6.3. COROLLARY. If  $\mathbb{D}$  has cotabulators whose cells  $\hat{\eta}_v: v \Rightarrow i_1^*i_{0*}$  (as in 4.1) are invertible, then  $\text{Adj}(Q, K)$  is equivalent to  $\mathcal{VD}(Q, K)$ , for all  $Q, K$  in  $\mathbb{D}$ . ■

6.4. EXAMPLES. For  $\mathbb{D}$  equal  $\text{Loc}, \text{Topos}, \text{Pos}$  and  $\text{Cat}$ , the requisite cells are invertible, and so  $\text{Adj}(Q, K)$  is equivalent to  $\mathcal{VD}(Q, K)$ , for all  $Q, K$  in  $\mathbb{D}$ .

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