# DESCENT DATA AND ABSOLUTE KAN EXTENSIONS

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ABSTRACT. The fundamental construction underlying descent theory, the lax descent category, comes with a functor that forgets the *descent data*. We prove that, in any 2-category  $\mathfrak{A}$  with lax descent objects, the forgetful morphisms create all Kan extensions that are preserved by certain morphisms. As a consequence, in the case  $\mathfrak{A} = \mathsf{Cat}$ , we get a *monadicity theorem* which says that a right adjoint functor is monadic if it is, up to the composition with an equivalence, (naturally isomorphic to) a functor that forgets descent data. In particular, within the classical context of *descent theory*, we show that, in a fibred category, the forgetful functor between the category of internal actions of a precategory *a* and the category of internal actions of the underlying discrete precategory is monadic if and only if it has a left adjoint. More particularly, this shows that one of the implications of the celebrated Bénabou-Roubaud theorem does not depend on the so called Beck-Chevalley condition. Namely, we prove that, in indexed categories, whenever an effective descent morphism induces a right adjoint functor, the induced functor is monadic.

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# Introduction

The various notions of *descent objects*, the 2-dimensional limits underlying *descent the*ory, can be seen as 2-dimensional analogues of the equalizer. While equalizers encompass equality and commutativity of diagrams in 1-dimensional category theory, the (lax) descent objects encompass 2-dimensional coherence: morphism (or 2-cell) plus coherence equations.

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For this reason, results on the (lax) descent objects usually shed light on a wide range of situations, including, of course, Grothendieck descent theory (e.g. [11, 27, 17]), Janelidze-Galois theory [8], algebraic topology [5], two-dimensional monad theory (e.g. [14, 15]), and two-dimensional category theory (e.g. [19]).

As shown in [11], in the classical case of the 2-category **Cat** of categories, *internal* category theory provides a useful perspective to introduce descent theory or, more particularly, the lax descent category. The lax descent category can be seen as a generalization of the 2-functor

$$\mathsf{Mon}(\mathsf{Set})^{\mathrm{op}} \to \mathsf{Cat}, \quad m \mapsto m\text{-}\mathsf{Set}$$

in which Mon(Set) denotes the usual category of monoids (of the cartesian monoidal category Set), and *m*-Set is the category of sets endowed with actions of the monoid *m*, usually called *m*-sets.

Recall that every small category a (internal category in Set) has an underlying truncated simplicial set, called the underlying *precategory* 

$$\underline{\mathsf{Cat}}(\mathsf{j}-,a):\Delta_3^{\mathrm{op}}\to\mathsf{Set}$$



in which, denoting by  $\Delta$  the category of the finite non-empty ordinals and order preserving functions,  $j : \Delta_3 \rightarrow Cat$  is the usual inclusion given by the composition of the inclusions  $\Delta_3 \rightarrow \Delta \rightarrow Cat$ .

It is well known that there is a fully faithful functor  $\Sigma : \mathsf{Mon}(\mathsf{Set}) \to \mathsf{Cat}(\mathsf{Set})$  between the category of monoids (internal monoids in  $\mathsf{Set}$ ) and the category of small categories (internal categories in  $\mathsf{Set}$ ) that associates each monoid with the corresponding single object category. The underlying precategory of  $\Sigma m$  is given by

$$\Sigma m : \Delta_3^{\text{op}} \to \mathsf{Set}$$

$$\{m\} \underbrace{\overbrace{\Sigma m(s_0)}}_{\Sigma m(s_0)} m \underbrace{\overbrace{\Sigma m(D_1)}}_{\Sigma m(D_2)} m \times m$$

in which m is the underlying set of the monoid,  $\{m\}$  is the singleton with m as element,  $\Sigma m(D_2), \Sigma m(D_0) : m \times m \to m$  are the two product projections,  $\Sigma m(D_1)$  is the operation of the monoid, and  $\Sigma m(s_0)$  gives the unit. In this context, the objects and morphisms of the category m-Set can be described internally in Set as follows.

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Since Set has pullbacks, we can consider the (basic) *indexed category*, that is to say, the pseudofunctor

in which  $\operatorname{Set}/w$  denotes the comma category, and  $f^*$  denotes the *change of base functor* (given by the pullback along f).

An *m*-set is a set *w* endowed with an endomorphism  $\xi$  of the projection  $\operatorname{proj}_m$ :  $m \times w \to m$  in the comma category  $\operatorname{Set}/m$ , subject to the equations

$$\mathfrak{p} \cdot m(s_0)^*(\xi) \cdot \mathfrak{p} = \mathrm{id}_{\mathsf{Set}}, \quad m(D_0)^*(\xi) \cdot \mathfrak{p} \cdot m(D_2)^*(\xi) = \mathfrak{p} \cdot m(D_1)^*(\xi) \cdot \mathfrak{p}$$

in which, by abuse of language, we denote by  $\mathfrak{p}$  the appropriate *canonical isomorphisms* given by the pseudofunctor  $\mathsf{Set}/-$  (induced by the universal properties of the pullbacks in each case). These equations correspond to the identity and associativity equations for the action. The morphisms  $(w, \xi) \to (w', \xi')$  of *m*-sets are morphisms (functions)  $w \to w'$  between the underlying sets respecting the structures  $\xi$  and  $\xi'$ .

This viewpoint gives *m*-Set precisely as the *lax descent category* of the composition of  $op(\Sigma m) : \Delta_3 \to Set^{op}$  with the pseudofunctor  $Set/-: Set^{op} \to Cat$ . More generally, given a small category *a*, the lax descent category (see Definition 1.2) of



is equivalent to the category Cat[a, Set] of functors  $a \rightarrow Set$  and natural transformations, that is to say, the category of *actions of the small category a in* Set.

In order to reach the level of abstraction of [11], firstly it should be noted that the definitions above can be considered in any category  $\mathbb{C}$  with pullbacks, using the basic indexed category  $\mathbb{C}/-:\mathbb{C}^{\text{op}} \to \mathsf{Cat}$ . That is to say, we get the (basic) internal notion of the category of actions  $a \to \mathbb{C}$  for each internal category a. Secondly, we can replace the pseudofunctor  $\mathbb{C}/-$  by any other pseudofunctor (indexed category)  $\mathcal{F}:\mathbb{C}^{\text{op}} \to \mathsf{Cat}$  of interest. By definition, given an internal (pre)category  $a: \Delta_3^{\text{op}} \to \mathbb{C}$  of  $\mathbb{C}$ , the lax descent category of



is the category of  $\mathcal{F}$ -internal actions of a in  $\mathbb{C}$ .

Recall that, if  $\mathbb{C}$  has pullbacks, given a morphism  $p: e \to b$ , the kernel pair induces a precategory which is actually the underlying precategory of an *internal groupoid* of  $\mathbb{C}$ , denoted herein by  $\mathsf{Eq}(p)$ . Following the definition, given any pseudofunctor  $\mathcal{F} : \mathbb{C}^{op} \to \mathsf{Cat}$ , we have that the category of  $\mathcal{F}$ -internal actions of  $\mathsf{Eq}(p)$  is given by the lax descent category lax- $\mathcal{D}$ esc ( $\mathcal{F} \cdot \operatorname{op}(\mathsf{Eq}(p))$ ). In this case, the universal property of the lax descent category induces a factorization



in which lax- $\mathcal{D}$ esc ( $\mathcal{F}$  Eq(p))  $\rightarrow \mathcal{F}(e)$  is the forgetful functor that forgets descent data (see, for instance, [11, Section 3] or, more appropriately to our context, Lemma 3.6 below).

In this setting, Bénabou and Roubaud [3] showed that, if  $\mathcal{F} : \mathbb{C}^{\text{op}} \to \text{Cat}$  comes from a bifibration satisfying the so called *Beck-Chevalley condition* (see, for instance, [17, Section 7] or Section 4 below), then the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$  is equivalent to the Eilenberg-Moore factorization of the adjunction  $\mathcal{F}(p)! \dashv \mathcal{F}(p)$ . In particular, in this case,  $\mathcal{F}(p)$  is monadic if and only if p is of *effective*  $\mathcal{F}$ -descent (which means that  $\mathcal{F}(b) \to \text{lax-}\mathcal{D}\text{esc}(\mathcal{F} \cdot \text{op}(\text{Eq}(p)))$  is an equivalence).

The main result of the present paper is within the general context of the lax descent object of a truncated pseudocosimplicial object inside a 2-category  $\mathfrak{A}$ . More precisely, our main theorem says that, for any given truncated pseudocosimplicial object

 $\mathcal{A}: \Delta_3 \to \mathfrak{A}$   $\mathcal{A}(d^0) \qquad \qquad \mathcal{A}(D^0)$   $\mathcal{A}(1) \qquad \qquad \mathcal{A}(s^0) \qquad \qquad \mathcal{A}(2) \qquad \qquad \mathcal{A}(D^1) \qquad \qquad \mathcal{A}(3)$   $\mathcal{A}(d^1) \qquad \qquad \mathcal{A}(D^2)$ 

the forgetful morphism  $d^{\mathcal{A}}$ : lax- $\mathcal{D}esc(\mathcal{A}) \to \mathcal{A}$  creates the right Kan extensions that are preserved by  $\mathcal{A}(d^0)$  and  $\mathcal{A}(D^0) \cdot \mathcal{A}(d^0)$ . In particular, such forgetful morphisms create absolute Kan extensions. If  $\mathfrak{A} = \mathsf{Cat}$ , we get in particular that the functor  $d^{\mathcal{A}}$ : lax- $\mathcal{D}esc(\mathcal{A}) \to \mathcal{A}$  that forgets descent data creates absolute limits and colimits.

The main theorem implies that, given any pseudofunctor  $\mathcal{F}:\mathbb{C}^{\mathrm{op}}\to\mathsf{Cat},$  the forgetful functor

lax- $\mathcal{D}$ esc ( $\mathcal{F} \circ \operatorname{op}(a)$ )  $\to \mathcal{F}a(1)$ 

between the  $\mathcal{F}$ -internal actions of a precategory  $a : \Delta_3^{\text{op}} \to \mathbb{C}$  and the category of internal actions of the *underlying discrete precategory* of a creates absolute limits and colimits.

This generalizes the fact that, if a is actually a small category, the forgetful functor (restriction functor)

$$\mathsf{Cat}\left[a,\mathsf{Set}\right] o \mathsf{Cat}\left[\overline{a(1)},\mathsf{Set}\right]$$

creates absolute limits and colimits, in which, by abuse of language,  $\overline{a(1)}$  denotes the *underlying discrete category* of a (see, for instance, [12, Proposition 2.21]).

As a particular case of this conclusion, given any indexed category  $\mathcal{F} : \mathbb{C}^{\text{op}} \to \mathsf{Cat}$ , whenever p is of effective  $\mathcal{F}$ -descent,  $\mathcal{F}(p)$  creates absolute limits and colimits. Therefore, by Beck's monadicity theorem, assuming that  $\mathcal{F}(p)$  has a left adjoint, if p is of effective  $\mathcal{F}$ -descent then  $\mathcal{F}(p)$  is monadic (Theorem 2.8 and Theorem 4.7).

This shows that, if  $\mathcal{F}$  comes from a bifibration, one of the implications of the Bénabou-Roubaud theorem *does not depend* on the Beck-Chevalley condition. Namely, *in a bifibred category with pullbacks, effective descent morphisms always induce monadic functors.* 

It should be observed that it is known that, without assuming the Beck-Chevalley condition, monadicity of  $\mathcal{F}(p)$  does not imply that p is of effective  $\mathcal{F}$ -descent. This is shown for instance in [24, Remark 7], where Sobral, considering the indexed category  $\mathsf{cat}^{\mathsf{op}} \to \mathsf{Cat}$  of op-fibrations in the category of small categories, provides an example of a morphism that is not of effective descent but does induce a monadic functor.

In Section 1, we briefly give the basic definition of the lax descent category, and present the corresponding definition for a general 2-category. Namely, a 2-dimensional limit called the lax descent object (see [26, Section 5] or, for pseudofunctors, [16, Section 3]). We mostly follow the approach of [19, Section 2] except for starting with pseudofunctors  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathfrak{A}$  instead of using a strict replacement of the domain.

In Section 2, we establish our main theorems on the morphisms that forget descent data. In order to do so, we start by recalling the definitions on Kan extensions inside a 2-category (e.g. [28, Section 2]). Then, we prove Theorem 2.4 and show the main consequences, including a monadicity theorem (Theorem 2.8). We also show how Theorem 2.8 and the monadicity theorem of [19, Section 5] implies in a new monadicity characterization in Remark 2.10 (Theorem 2.11). It says that a right adjoint functor is monadic if, and only if, it is a functor that forgets descent data composed with an equivalence.

Section 3 establishes the setting of *Grothendieck descent theory* [10, 17]. The main aim of the section is to establish Lemma 3.6 in order to recover the usual *descent factorization* (see, for instance, [11, Section 3]) directly via the universal property of *lax descent category*.

In Section 4, we give aspects of the relation between monadicity and effective descent morphisms in the context of [3, 10, 17]. We recall the *Beck-Chevalley condition* and the Bénabou-Roubaud theorem. We discuss examples of non-effective descent morphisms inducing monadic functors. Finally, we also establish and discuss the main consequences of our Theorem 2.4 in this context, including the result that, *in bifibred categories, effective descent morphisms always induce monadic functors*, even without satisfying the Beck-Chevalley condition.

# 1. The lax descent category

Let **Cat** be the cartesian closed category of categories in some universe. We denote the *internal hom* by

$$\mathsf{Cat}[-,-]:\mathsf{Cat}^{\mathrm{op}}\times\mathsf{Cat}\to\mathsf{Cat},$$

which of course is a 2-functor (Cat-enriched functor). Moreover, we denote by

$$\underline{\mathsf{Cat}}(-,-):\mathsf{Cat}^{\mathrm{op}}\times\mathsf{Cat}\to\mathsf{Cat}$$

the composition of Cat[-, -] with the functor that gives the underlying discrete category. Finally, a *small category* is a category S such that the underlying discrete category, *i.e.* <u>Cat(1, S)</u>, and the collection of morphisms, *i.e.* <u>Cat(2, S)</u>, consist of sets. Equivalently, a *small category* is an internal category of Set.

A 2-category herein is the same as a Cat-enriched category. We denote the *enriched* hom of a 2-category  $\mathfrak{A}$  by

$$\mathfrak{A}(-,-):\mathfrak{A}^{\mathrm{op}}\times\mathfrak{A}\to\mathsf{Cat}$$

which, again, is of course a 2-functor. As usual, the composition of 1-cells (morphisms) are denoted by  $\circ$ ,  $\cdot$  or omitted whenever it is clear from the context. The vertical composition of 2-cells is denoted by  $\cdot$  or omitted when it is clear, while the horizontal composition is denoted by \*. From the vertical and horizontal compositions, we construct the fundamental operation of *pasting* [22], introduced in [2, Section 1] and [13, pag. 79].

We denote by  $\Delta$  the full subcategory of the underlying category of Cat whose objects are finite nonempty ordinals seen as posets (or thin categories). We are particularly interested in the subcategory  $\Delta_3$  of  $\Delta$  with the objects 1, 2 and 3 generated by the morphisms



with the following relations:

$$s^0 d^1 = \mathrm{id}_1 = s^0 d^0;$$
  $D^t d^k = D^k d^{t-1}, \text{ if } t > k.$ 

In order to fix notation, we briefly recall the definition of pseudofunctor between a category  $\mathbb{C}$  and a 2-category  $\mathfrak{A}$  below. For the case of  $\mathfrak{A} = \mathsf{Cat}$ , this definition was originally introduced by Grothendieck [7] in its contravariant form, while its further generalization for arbitrary bicategories was originally introduced by Bénabou [2, Section 4] under the name homomorphism of bicategories.

1.1. DEFINITION. Let  $\mathbb{C}$  be a category (which can be seen as a locally discrete 2-category) and  $\mathfrak{A}$  a 2-category. A *pseudofunctor*  $\mathcal{F} : \mathbb{C} \to \mathfrak{A}$  is a pair  $(\mathcal{F}, \mathfrak{f})$  with the following data:

- A function  $\mathcal{F}$ :  $\operatorname{obj}(\mathbb{C}) \to \operatorname{obj}(\mathfrak{A})$ ;

- For each pair (x, y) of objects in  $\mathbb{C}$ , functors  $\mathcal{F}_{x,y} : \mathbb{C}(x, y) \to \mathfrak{A}(\mathcal{F}(x), \mathcal{F}(y))$ , in which  $\mathbb{C}(x, y)$  is seen as a discrete category;
- For each pair  $g: x \to y, h: y \to z$  of morphisms in  $\mathbb{C}$ , an invertible 2-cell in  $\mathfrak{A}$ :

$$\mathfrak{f}_{hg}:\mathcal{F}(h)\mathcal{F}(g)\Rightarrow\mathcal{F}(hg);$$

– For each object x of  $\mathbb{C}$ , an invertible 2-cell in  $\mathfrak{A}$ :

$$\mathfrak{f}_x: \mathrm{id}_{\mathcal{F}(x)} \Rightarrow \mathcal{F}(\mathrm{id}_x);$$

such that, if  $g: x \to y, h: y \to z$  and  $e: w \to x$  are morphisms of  $\mathbb{C}$ , the following equations hold in  $\mathfrak{A}$ :

1. Associativity:



2. Identity:



In this paper, we are going to be particularly interested in pseudofunctors of the type

$$(\mathcal{A},\mathfrak{a}):\Delta_3\to\mathfrak{A},$$

also called truncated pseudocosimplicial objects. For simplicity, given such a truncated pseudocosimplicial category, we define:

Using this terminology, we recall the definition of the lax descent category of a pseudo-functor  $\Delta_3 \rightarrow \mathsf{Cat}$ .

1.2. DEFINITION. [Lax descent category] Given a pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathsf{Cat}$ , the *lax descent category* lax- $\mathcal{D}$ esc  $(\mathcal{A})$  of  $\mathcal{A}$  is defined as follows:

1. The objects are pairs  $(w, \varphi)$  in which w is an object of  $\mathcal{A}(1)$  and

$$\varphi: \mathcal{A}(d^1)(w) \to \mathcal{A}(d^0)(w)$$

is a morphism in  $\mathcal{A}(2)$  satisfying the following equations:

Associativity:

$$\mathcal{A}(D^0)(\varphi) \cdot \mathcal{A}(\sigma_{02})_w \cdot \mathcal{A}(D^2)(\varphi) = \mathcal{A}(\sigma_{01})_w \cdot \mathcal{A}(D^1)(\varphi) \cdot \mathcal{A}(\sigma_{12})_w;$$

Identity:

$$\mathcal{A}(\mathfrak{n}_0)_w \cdot \mathcal{A}(s^0)(\varphi) = \mathcal{A}(\mathfrak{n}_1)_w.$$

If the pair  $(w, \varphi)$  is an object of lax- $\mathcal{D}$ esc  $(\mathcal{A})$ , we say that  $\varphi$  is a *descent datum* for w w.r.t.  $\mathcal{A}$ , or just an  $\mathcal{A}$ -descent datum for w.

2. A morphism  $\mathfrak{m}: (w, \varphi) \to (w', \varphi')$  is a morphism  $\mathfrak{m}: w \to w'$  in  $\mathcal{A}(1)$  such that

$$\mathcal{A}(d^0)(\mathfrak{m}) \cdot \varphi = \varphi' \cdot \mathcal{A}(d^1)(\mathfrak{m}).$$

The composition of morphisms is given by the composition of morphisms in  $\mathcal{A}(1)$ .

The lax descent category comes with an obvious forgetful functor

$$d^{\mathcal{A}}: \text{ lax-}\mathcal{D}\text{esc}(\mathcal{A}) \to \mathcal{A}(1)$$
$$(w, \varphi) \mapsto w$$
$$\mathfrak{m} \mapsto \mathfrak{m}$$

and a natural transformation  $\psi : \mathcal{A}(d^1) \circ d^{\mathcal{A}} \Longrightarrow \mathcal{A}(d^0) \circ d^{\mathcal{A}}$  pointwise defined by

$$\psi_{(w,\varphi)} := \varphi : \mathcal{A}(d^1)(w) \to \mathcal{A}(d^0)(w).$$

Actually, the pair  $(d^{\mathcal{A}} : \operatorname{lax-Desc}(\mathcal{A}) \to \mathcal{A}(1), \psi : \mathcal{A}(d^1) \circ d^{\mathcal{A}} \Rightarrow \mathcal{A}(d^0) \circ d^{\mathcal{A}})$  is a two dimensional limit of  $\mathcal{A}$  (see [26, pag. 177] or, for instance, in our cause of pseudofunctors, [16, Section 3]). Namely, the lax descent category of

$$(\mathcal{A},\mathfrak{a}):\Delta_3 o\mathsf{Cat}$$

is the *lax descent object*, as defined below, of the pseudofunctor  $\mathcal{A}$  in the 2-category Cat.

1.3. DEFINITION. [Lax descent object [19, Section 2]] Given a pseudofunctor  $\mathcal{A} : \Delta_3 \to \mathfrak{A}$ , the *lax descent object* of  $\mathcal{A}$  is, if it exists, an object lax- $\mathcal{D}$ esc ( $\mathcal{A}$ ) of  $\mathfrak{A}$  together with a pair



of a morphism  $d^{\mathcal{A}}$ : lax- $\mathcal{D}$ esc  $(\mathcal{A}) \to \mathcal{A}(1)$ , called herein the *forgetful morphism* (of descent data), and a 2-cell  $\psi$  satisfying the following universal property.

1. For each pair  $(F : S \to \mathcal{A}(1), \beta : \mathcal{A}(d^1) \circ F \Rightarrow \mathcal{A}(d^0) \circ F)$  in which F is a morphism and  $\beta$  is a 2-cell such that the equations

hold in  $\mathfrak{A}$ , there is a unique morphism

$$\check{F}: \mathbf{S} \to \operatorname{lax-}\mathcal{D}\operatorname{esc}\left(\mathcal{A}\right)$$
 (1.3.3)

in  $\mathfrak{A}$  making the equations



hold. In this case, we say that the 2-cell  $\beta$  is an  $\mathcal{A}$ -descent datum for the morphism F.

- 2. The pair  $(d^{\mathcal{A}}, \psi)$  satisfies the *descent associativity* (Equation (1.3.1)) and the *descent identity* (Equation (1.3.2)). In this case, the unique morphism induced is clearly the identity on lax- $\mathcal{D}$ esc  $(\mathcal{A})$ .
- 3. Assume that

$$F'_1, F'_0 : \mathbf{S} \to \operatorname{lax-Desc}(\mathcal{A})$$

are morphisms of  $\mathfrak{A}$ . For each 2-cell  $\xi : d^{\mathcal{A}} \circ F'_1 \Rightarrow d^{\mathcal{A}} \circ F'_0 : S \to \mathcal{A}(1)$  satisfying the equation



there is a unique 2-cell

$$\xi': F_1' \Rightarrow F_0': \mathbf{S} \to \operatorname{lax-Desc}\left(\mathcal{A}\right)$$

such that



1.4. LEMMA. Let  $\mathcal{A} : \Delta_3 \to \mathfrak{A}$  be a pseudofunctor. The pseudofunctor  $\mathcal{A}$  has a lax descent object lax- $\mathcal{D}$ esc ( $\mathcal{A}$ ) if and only if there is an isomorphism

 $\mathfrak{A}(S, \operatorname{lax-Desc}(\mathcal{A})) \cong \operatorname{lax-Desc}(\mathfrak{A}(S, \mathcal{A}-))$ 

2-natural in S, in which  $\mathfrak{A}(S, \mathcal{A}-): \Delta_3 \to \mathsf{Cat}$  is the composition below.



### 2. Forgetful morphisms and Kan extensions

Assuming the existence of the lax descent object of a pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathfrak{A}$ , the forgetful morphism  $\mathfrak{d}^{\mathcal{A}}$  has many properties that are direct consequences of the definition. Among them, the morphism  $\mathfrak{d}^{\mathcal{A}}$  is *faithful* and *conservative* (by which we mean that, for any object **S** of  $\mathfrak{A}$ , the functor  $\mathfrak{A}(\mathbf{S}, \mathfrak{d}^{\mathcal{A}})$  is faithful and reflects isomorphisms).

In this section, we give the core observation of the present paper. Namely, we investigate the properties of creation of Kan extensions by  $d^{\mathcal{A}}$ . We start by briefly recalling the basic definitions of preservation and creation of Kan extensions (see, for instance, [6, Section I.4] and [25, Section 2]).

Let  $J : S \to C$  and  $H : S \to B$  be morphisms of a 2-category  $\mathfrak{A}$ . The *right Kan* extension of J along H is, if it exists, the right reflection  $\operatorname{Ran}_H J$  of J along the functor

$$\mathfrak{A}(H, \mathbb{C}): \mathfrak{A}(\mathbb{B}, \mathbb{C}) \to \mathfrak{A}(\mathbb{S}, \mathbb{C}).$$

This means that the right Kan extension is actually a pair

$$(\operatorname{Ran}_H J : \mathsf{B} \to \mathsf{C}, \gamma : (\operatorname{Ran}_H J) \circ H \Rightarrow J)$$

consisting of a morphism  $\operatorname{Ran}_H J$  and a 2-cell  $\gamma$ , called the universal 2-cell, in  $\mathfrak{A}$  such

that, for each morphism  $R: \mathbf{B} \to \mathbf{C}$  of  $\mathfrak{A}$ ,



defines a bijection  $\mathfrak{A}(\mathsf{B},\mathsf{C})(R,\operatorname{Ran}_H J) \cong \mathfrak{A}(\mathsf{S},\mathsf{C})(R \circ H, J).$ 

Let  $J : S \to C$ ,  $H : S \to B$  and  $G : C \to D$  be morphisms in  $\mathfrak{A}$ . If  $(\hat{J}, \gamma)$  is the right Kan extension of J along H, we say that G preserves the right Kan extension  $\operatorname{Ran}_H J$  if the pair



is the right Kan extension  $\operatorname{Ran}_H GJ$  of GJ along H. Equivalently, G preserves  $\operatorname{Ran}_H J$  if  $\operatorname{Ran}_H GJ$  exists and, in addition to that, the unique 2-cell

$$G \circ \hat{J} \Rightarrow \operatorname{Ran}_H GJ$$

induced by the pair  $(G \circ \hat{J}, \mathrm{id}_G * \gamma)$  and the universal property of  $\operatorname{Ran}_H GJ$ , is invertible (see, for instance, a discussion on canonical (iso)morphisms in [18]).

Furthermore, we say that G reflects the right Kan extension of J along H if, whenever  $(G \circ \hat{J}, \mathrm{id}_G * \gamma)$  is the right Kan extension of GJ along H,  $(\hat{J}, \gamma)$  is the right Kan extension of J along H.

Finally, assuming the existence of  $\operatorname{Ran}_H GJ$ , we say that  $G : \mathbb{C} \to \mathbb{D}$  creates the right Kan extension of  $GJ : \mathbb{S} \to \mathbb{D}$  along H if we have that (1) G reflects  $\operatorname{Ran}_H GJ$  and (2)  $\operatorname{Ran}_H J$  exists and is preserved by G.

2.1. REMARK. [Left Kan extension] Codually, we have the notion of left Kan extension of a morphism  $J : \mathbf{S} \to \mathbf{C}$  along  $H : \mathbf{S} \to \mathbf{B}$ , denoted herein by  $\operatorname{Lan}_H J$ . We also have the appropriate codual definitions of those introduced above. Namely, the concepts of preservation, reflection and creation of left Kan extensions.

2.2. REMARK. [Conical (co)limits] For  $\mathfrak{A} = \mathsf{Cat}$ , right Kan extensions along functors of the type  $\mathbb{S} \to 1$  give the notion of conical limits. This is the most elementary and well known relation between Kan extensions and conical limits, which gives the most elementary examples of right Kan extensions. We briefly recall this fact below (see, for instance, [6, Section 4]). Let  $J: \mathbb{S} \to \mathbb{C}$  be a functor in which  $\mathbb{S}$  is a small category. Recall that a cone over J is a pair



in which 1 is the terminal category,  $w : 1 \to \mathbb{C}$  denotes the functor whose image is the object w, and  $\kappa$  is a natural transformation.

Denoting the composition of

$$\mathbb{S} \longrightarrow 1 \xrightarrow{w} \mathbb{C}$$

by  $\overline{w}$ , a morphism  $\iota: w \to w'$  of  $\mathbb{C}$  defines a morphism between the cones  $(w, \kappa: \overline{w} \Rightarrow J)$ and  $(w', \kappa': \overline{w'} \Rightarrow J)$  over J if the equation



holds, in which, by abuse of language,  $\iota$  denotes the natural transformation defined by the morphism  $\iota: w \to w'$ .

The above defines a category of cones over J. If it exists, the *conical limit of* J is the terminal object of this category. This is clearly equivalent to saying that the conical limit of J, denoted herein by  $\lim J$ , is the right Kan extension  $\operatorname{Ran}_{\mathbb{S}\to 1} J$  in the 2-category of categories **Cat**, either one existing if the other does. In this context, the definitions of *preservation*, *reflection* and *creation* of conical limits coincide with those coming from the respective notions in the case of right Kan extensions along  $\mathbb{S} \to 1$  (*e.g.* [6, Section 4]).

Codually, the notion of *conical colimit* of  $J : \mathbb{S} \to \mathbb{C}$  coincides with the notion of left Kan extension of J along the unique functor  $\mathbb{S} \to 1$  in the 2-category Cat. Again, the notions of *preservation*, *reflection* and *creation* of conical colimits coincide with those coming from the respective notions in the case of left Kan extensions along  $\mathbb{S} \to 1$ .

It is well known that there is a deeper relation between conical (and weighted) limits and Kan extensions for much more general contexts. For instance, in the case of 2categories endowed with Yoneda structures [28], the concept of pointwise Kan extensions encompasses this relation (*e.g.* [6, pag. 50] for the original case of the 2-category of  $\mathbb{V}$ enriched categories). Although this concept plays a fundamental role in the theory of Kan extensions, we do not give further comment since we do not use this concept in the present paper. In order to prove our main theorem, we present an elementary result below, whose version for limits and colimits is well known.

2.3. LEMMA. Let  $\mathfrak{A}$  be a 2-category and H, J, G morphisms of  $\mathfrak{A}$ . Assume that  $\operatorname{Ran}_H J : B \to C$  exists and is preserved by  $G : C \to D$ . If G is conservative, then G creates the right Kan extension of GJ along H.

PROOF. By hypothesis,  $(G \cdot \operatorname{Ran}_H J, \operatorname{id}_G * \gamma)$  is the right Kan extension of GJ along H. If  $(G \cdot \check{J}, \operatorname{id}_G * \gamma')$  is also the right Kan extension of GJ along H, we get a (unique) induced invertible 2-cell  $G \cdot \check{J} \Rightarrow G \cdot \operatorname{Ran}_H J$ . By the uniqueness property, this induced invertible 2-cell should be the image by  $\mathfrak{A}(\mathbf{S}, G)$  of the 2-cell  $\check{J} \Rightarrow \operatorname{Ran}_H J$  induced by the universal property of  $\operatorname{Ran}_H J$  and the 2-cell  $\gamma'$ . Since  $\mathfrak{A}(\mathbf{S}, G)$  reflects isomorphisms, the proof is complete.

2.4. THEOREM. [Main Theorem] Assume that the lax descent object of the pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathfrak{A}$  exists. Let  $J : S \to \text{lax-}\mathcal{D}\text{esc}(\mathcal{A})$  and  $H : S \to B$  be morphisms of  $\mathfrak{A}$ .

- The forgetful morphism  $d^{\mathcal{A}}$ : lax- $\mathcal{D}$ esc  $(\mathcal{A}) \to \mathcal{A}(1)$  creates the right Kan extension of  $d^{\mathcal{A}}J$  along H, provided that  $\operatorname{Ran}_{H}(d^{\mathcal{A}}J)$  exists and is preserved by  $\mathcal{A}(d^{0})$  and  $\mathcal{A}(D^{0}) \cdot \mathcal{A}(d^{0})$ .
- Codually, the forgetful morphism  $d^{\mathcal{A}}$ : lax- $\mathcal{D}$ esc  $(\mathcal{A}) \to \mathcal{A}(1)$  creates the left Kan extension of  $d^{\mathcal{A}}J$  along H, provided that Lan<sub>H</sub>  $(d^{\mathcal{A}}J)$  exists and is preserved by  $\mathcal{A}(d^1)$  and  $\mathcal{A}(D^2) \cdot \mathcal{A}(d^1)$ .

PROOF. By Lemma 2.3, since  $d^{\mathcal{A}}$  is conservative, in order to prove that  $d^{\mathcal{A}}$  creates the right Kan extension of  $d^{\mathcal{A}}J: S \to \mathcal{A}(1)$  along H, it is enough to prove that  $\operatorname{Ran}_H J$  exists and is preserved by  $d^{\mathcal{A}}$ .

Let  $(\mathsf{d}^{\mathcal{A}}, \psi)$  be the universal pair that gives the lax descent object lax- $\mathcal{D}$ esc  $(\mathcal{A})$ . We assume that  $(J : S \to \text{lax-}\mathcal{D}$ esc  $(\mathcal{A}), H : S \to B)$  is a pair of morphisms in  $\mathfrak{A}$  such that the right Kan extension

$$(\operatorname{Ran}_H d^{\mathcal{A}}J, \quad \nu : (\operatorname{Ran}_H d^{\mathcal{A}}J) \circ H \Rightarrow d^{\mathcal{A}}J)$$

of  $d^{\mathcal{A}}J$  along H is preserved by  $\mathcal{A}(d^0)$  and  $\mathcal{A}(D^0) \cdot \mathcal{A}(d^0)$ .

– By the universal property of the right Kan extension



we get that there is a unique 2-cell

$$\varphi : \mathcal{A}(d^1) \cdot \operatorname{Ran}_H (d^{\mathcal{A}}J) \Rightarrow \mathcal{A}(d^0) \cdot \operatorname{Ran}_H d^{\mathcal{A}}J$$

in  $\mathfrak{A}$  such that the equation



holds. We prove below that  $(\operatorname{Ran}_H(\mathsf{d}^{\mathcal{A}}J),\varphi)$  satisfies the *descent associativity* (Eq. (1.3.1)) and the *descent identity* (Eq. (1.3.2)) w.r.t.  $\mathcal{A}$ . By the *definition of*  $\varphi$  (see Eq. (2.4.1)), we have that

$$\varphi' \coloneqq \qquad \mathcal{A}(\mathbf{1}) \stackrel{\operatorname{Ran}_{H}(d^{\mathcal{A}}J)}{\swarrow} \mathbf{B} \xleftarrow{H} \mathbf{S}$$

$$\overset{\mathcal{A}(d^{1})}{\swarrow} \overset{\varphi}{\longrightarrow} \operatorname{Ran}_{H}(d^{\mathcal{A}}J) \xrightarrow{\nu} J$$

$$\varphi' \coloneqq \qquad \mathcal{A}(\mathbf{2}) \stackrel{\mathcal{A}(\sigma_{12})}{\longrightarrow} \mathcal{A}(\mathbf{2}) \xleftarrow{\mathcal{A}(d^{0})} - \mathcal{A}(\mathbf{1}) \xleftarrow{d^{\mathcal{A}}} \operatorname{lax-}\mathcal{D}\operatorname{esc}(\mathcal{A})$$

$$\overset{\mathcal{A}(D^{2})}{\swarrow} \overset{\mathcal{A}(D^{1})}{\swarrow} \overset{\mathcal{A}(\sigma_{01})}{\swarrow} \overset{\mathcal{A}(d^{0})}{\swarrow} \mathcal{A}(d^{0})$$

$$\mathcal{A}(\mathbf{3}) \xleftarrow{\mathcal{A}(D^{0})} \mathcal{A}(\mathbf{2})$$

is equal to



Since  $\psi$  is an  $\mathcal{A}$ -descent datum for  $d^{\mathcal{A}}$ , we have that the 2-cell above (and hence  $\varphi'$ ) is equal to



which, by the definition of  $\varphi$  (see Eq. (2.4.1)), is equal to the 2-cell



which, by the definition of  $\varphi$  (see Eq. (2.4.1)) again, is equal to



denoted by  $\varphi''$ . It should be noted that we proved that  $\varphi' = \varphi''$ .

By the universal property of the right Kan extension



the equality  $\varphi' = \varphi''$  implies that the *descent associativity* w.r.t.  $\mathcal{A}$  (Eq. (1.3.1)) for the pair (Ran<sub>H</sub>  $d^{\mathcal{A}}J, \varphi$ ) holds.

Analogously, we have that, by the *definition of*  $\varphi$  (see Eq. (2.4.1)), the equation



holds. Moreover, by the *descent identity* w.r.t.  $\mathcal{A}$  (see Eq. (1.3.2)) for the pair  $(\mathbf{d}^{\mathcal{A}}, \mathbf{\psi})$ , the right side (hence both sides) of the equation above is equal to  $\nu$ .

Therefore, by the universal property of the right Kan extension  $(\operatorname{Ran}_{H}(d^{\mathcal{A}}J), \nu)$ , we conclude that the *descent identity* (Eq. (1.3.2)) w.r.t.  $\mathcal{A}$  for the pair  $(\operatorname{Ran}_{H}(d^{\mathcal{A}}J), \varphi)$  holds.

This completes the proof that  $\varphi$  is an  $\mathcal{A}$ -descent datum for  $\operatorname{Ran}_H(\mathfrak{d}^{\mathcal{A}}J)$ .

- By the universal property of the lax descent object, we conclude that there is a

unique morphism  $\check{J} : B \to \text{lax-}\mathcal{D}\text{esc}(\mathcal{A})$  of  $\mathfrak{A}$  such that

$$\operatorname{Ran}_{H}\left(\mathrm{d}^{\mathcal{A}}J\right) = \mathrm{d}^{\mathcal{A}}\circ\check{J}$$



Moreover, by the universal property of the lax descent object (taking  $\xi = \nu$  in Eq. 3 of Definition 1.3) and Equation (2.4.1), it follows that there is a unique 2-cell  $\tilde{\nu} : \check{J} \cdot H \Rightarrow J$  in  $\mathfrak{A}$  such that

$$\mathrm{id}_{\mathrm{d}\mathcal{A}} * \tilde{\mathbf{v}} = \nu$$

We prove below that the pair  $(\check{J}, \tilde{\nu})$  is in fact the right Kan extension of J along H. Given any morphism  $R : B \to lax-\mathcal{D}esc(\mathcal{A})$  and any 2-cell



of  $\mathfrak{A}$ , by the universal property of the right Kan extension

$$(\operatorname{Ran}_{H}(\mathsf{d}^{\mathcal{A}}J),\nu) = (\mathsf{d}^{\mathcal{A}}\cdot\check{J},\operatorname{id}_{\mathsf{d}^{\mathcal{A}}}*\tilde{\nu}),$$

there is a unique 2-cell

$$\beta: \mathrm{d}^{\mathcal{A}} \circ R \Rightarrow \operatorname{Ran}_{H} \left( \mathrm{d}^{\mathcal{A}} J \right)$$

in  ${\mathfrak A}$  such that

It should be noted that, by the definition of  $\beta$ , we have that

holds. Again, by the definition of  $\beta$ , the right side of Equation (2.4.3) is equal to

$$eta^{\prime\prime} \coloneqq egin{array}{c} \mathsf{B} & \overset{H}{\longrightarrow} \mathsf{S} \\ & \downarrow^{\tilde{\mathcal{I}}} & \overset{\tilde{\mathcal{V}}}{\longrightarrow} \downarrow^{J} \\ & \overset{\beta}{\longrightarrow} & \downarrow_{J} \\ & \overset{\beta}{\longrightarrow} & \overset{\beta}{\longrightarrow} & \overset{\beta}{\longrightarrow} & \overset{\beta}{\longrightarrow} \\ & \overset{\beta}{\longrightarrow} \\ & \overset{\beta}{\longrightarrow} \\ & \overset{\beta}{\longrightarrow} \\ & \overset{\beta}{\longrightarrow} & \overset{\beta}{\longrightarrow} \\ & \overset{\beta}{\longrightarrow} \\$$

which proves that  $\beta' = \beta''$ .

By the universal property of the right Kan extension

$$\left(\mathcal{A}(d^{0}) \cdot \operatorname{Ran}_{H}\left(\mathrm{d}^{\mathcal{A}}J\right), \operatorname{id}_{\mathcal{A}(d^{0})} * \nu\right) = \left(\mathcal{A}(d^{0}) \cdot \mathrm{d}^{\mathcal{A}} \cdot \check{J}, \operatorname{id}_{\mathcal{A}(d^{0})} * \operatorname{id}_{\mathrm{d}^{\mathcal{A}}} * \tilde{\nu}\right),$$

since  $\beta' = \beta''$ , we conclude that

By the universal property of lax- $\mathcal{D}$ esc ( $\mathcal{A}$ ) (see 3 of Definition 1.3), we get that there is a unique 2-cell  $\tilde{\beta} : R \Rightarrow \tilde{J}$  in  $\mathfrak{A}$  such that

$$\mathrm{id}_{\mathsf{d}}\mathcal{A} * \beta = \beta.$$

By the faithfulness of  $d^{\mathcal{A}}$ , it is clear then that  $\tilde{\beta}$  is the unique 2-cell such that



This completes the proof that  $(\check{J}, \tilde{v})$  is the right Kan extension of J along H.

- Finally, from the definition of  $\operatorname{Ran}_H J = (\check{J}, \tilde{\nu})$ , it is clear that  $\operatorname{Ran}_H J$  is indeed preserved by  $d^{\mathcal{A}}$ .

2.5. CREATION OF ABSOLUTE KAN EXTENSIONS. In a 2-category  $\mathfrak{A}$ , we say that a right Kan extension  $\operatorname{Ran}_H J$  is *absolute* if it is preserved by any morphism whose domain is the codomain of  $\operatorname{Ran}_H J$ . Moreover, we say that a morphism *G* creates absolute right Kan extensions if, whenever  $\operatorname{Ran}_H GJ$  is an absolute right Kan extension, *G* creates it. We, of course, have evident codual notions for left Kan extensions.

Finally, we say that G creates absolute Kan extensions if it creates both absolute right Kan extensions and absolute left Kan extensions.

The following is an immediate consequence of Theorem 2.4.

2.6. COROLLARY. Assume that  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathfrak{A}$  has a lax descent object. The forgetful morphism  $d^{\mathcal{A}} : \text{lax-Desc}(\mathcal{A}) \to \mathcal{A}(1)$  creates absolute Kan extensions.

Consequently, if a morphism F of  $\mathfrak{A}$  is equal to  $d^{\mathcal{A}}$  composed with any equivalence, then F creates absolute Kan extensions.

PROOF. By Theorem 2.4, the morphism  $d^{\mathcal{A}}$  creates all the right Kan extensions preserved by  $\mathcal{A}(d^0)$  and  $\mathcal{A}(D^0) \cdot \mathcal{A}(d^0)$ . Since absolute right Kan extensions with codomain in  $\mathcal{A}(1)$ are preserved by  $\mathcal{A}(d^0)$  and  $\mathcal{A}(D^0) \cdot \mathcal{A}(d^0)$ , we have that  $d^{\mathcal{A}}$  creates absolute right Kan extensions. Codually,  $d^{\mathcal{A}}$  creates absolute left Kan extensions. This completes the proof  $d^{\mathcal{A}}$  creates absolute Kan extensions.

To prove the second statement, it should be noted that equivalences create all Kan extensions. Hence, whenever  $\mathfrak{F}$  is an equivalence, the composition  $F = \mathfrak{F} \circ d^{\mathcal{A}}$  creates any of the Kan extensions that are created by  $d^{\mathcal{A}}$ . In particular, F creates absolute Kan extensions.

Finally, as a consequence of Remark 2.2 and Corollary 2.6, since the notion of absolute limits/colimits of diagrams  $J : \mathbb{S} \to \mathbb{C}$  coincides with the notion of absolute right/left Kan extensions along  $\mathbb{S} \to \mathbf{1}$ , we get:

2.7. COROLLARY. Let  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathsf{Cat}$  be a pseudofunctor. If a functor F is equal to  $\mathfrak{d}^{\mathcal{A}}$  composed with any equivalence, then F creates absolute limits and colimits.

Recall that, since split coequalizers, also called split forks, are examples of absolute coequalizers (see the Proposition on [20, pag. 224]), a right adjoint functor is monadic if, and only if, it creates absolute coequalizers (by Beck's monadicity theorem [1]). Therefore, by Corollary 2.7 we get:

2.8. THEOREM. Assume that  $G : \mathbb{B} \to \mathbb{C}$  has a left adjoint. If there is a pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathsf{Cat}$  such that  $G = \mathsf{d}^{\mathcal{A}} \circ \mathcal{K}$  for some equivalence  $\mathcal{K}$ , then G is monadic.

**PROOF.** Assume that G has a left adjoint.

If there is a pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathsf{Cat}$  such that  $G = \mathsf{d}^{\mathcal{A}} \circ \mathcal{K}$  for an equivalence  $\mathcal{K}$ , then G creates absolute coequalizers by Corollary 2.7. By Beck's monadicity theorem, we conclude that G is monadic.

Codually, we have:

2.9. THEOREM. Assume that  $G : \mathbb{B} \to \mathbb{C}$  has a right adjoint. If G is equal to a forgetful functor of descent data  $d^{\mathcal{A}}$  composed with an equivalence, then G is comonadic.

2.10. REMARK. If G is monadic, then, by the monadicity theorem of [19, Section 5], G is an *effective faithful functor*. That is to say, G is the forgetful functor of descent data w.r.t. its 2-dimensional cokernel diagram

$$(\mathcal{B},\mathfrak{b}):\Delta_3\to\mathsf{Cat}$$

composed with an equivalence (see [19, Section 2] for the definition of the 2-dimensional cokernel diagram of a morphism).

Therefore, by the above and Theorem 2.8, we have:

2.11. THEOREM. [Monadicity Theorem] Assume that  $G : \mathbb{B} \to \mathbb{C}$  has a left adjoint. The functor G is monadic if, and only if, there is a pseudofunctor  $(\mathcal{A}, \mathfrak{a}) : \Delta_3 \to \mathsf{Cat}$  such that  $G = \mathsf{d}^{\mathcal{A}} \circ \mathcal{K}$  for some equivalence  $\mathcal{K}$ .

2.12. REMARK. [Creation of limits and of absolute colimits] We do not give full definitions in this remark, since it is not the main point of this paper. The interested reader may find the missing definitions and proofs in [19].

Employing the monadicity theorem of [19, Section 5], Theorem 2.4 can be seen as a generalization of the well known results of creation of limits (and colimits) of monadic functors.

More precisely, as mentioned in the proof of Theorem 2.8, by the monadicity theorem of [19, Section 5], given a monadic functor G, denoted herein by  $(\mathcal{B}, \mathfrak{b}) : \Delta_3 \to \mathsf{Cat}$ , we get that G is the forgetful morphism (of the descent data) w.r.t. its 2-dimensional cokernel diagram. Therefore:

- Since G has a left adjoint,  $\mathcal{B}(d^0)$  and  $\mathcal{B}(D^0) \cdot \mathcal{B}(d^0)$  have left adjoints (see [19, Section 4]). Hence, since right adjoint morphisms preserve all right Kan extensions, we get that G creates all right Kan extensions by Theorem 2.4. In particular, G creates all limits.
- By Theorem 2.4, we have that G, being a forgetful morphism of descent data, does create all absolute left Kan extensions. But, more generally, G creates the left Kan extensions that are preserved by  $\mathcal{B}(D^2) \cdot \mathcal{B}(d^1)$  and  $\mathcal{B}(d^1)$ .

Therefore, by the definition of the 2-dimensional cokernel diagram  $\mathcal{B}$  ([19, Section 2]), we conclude that G creates the left Kan extensions that are preserved by T and  $T^2$  (in which T denotes the endofunctor underlying the codensity monad of G).

### 3. Descent theory

Using the concepts previously introduced in this paper, we briefly recover the classical setting of descent theory w.r.t. fibrations. The exposition in this section is heavily influenced by [11, 17] but it is independent and different from both of them.

Following their approach, instead of considering fibrations, we start with a pseudo-functor

$$\mathcal{F}:\mathbb{C}^{\mathrm{op}}\to\mathsf{Cat}$$

which can be also called an *indexed category*.

A precategory in  $\mathbb{C}$  is a functor  $a : \Delta_3^{\text{op}} \to \mathbb{C}$  and, hence, each internal category or groupoid of  $\mathbb{C}$  has an underlying precategory. In particular, internal groups and monoids w.r.t. the cartesian structure also have underlying precategories. By abuse of language, whenever a precategory a is the underlying precategory of an internal category (internal groupoid, monoid or group), we say that the precategory a is an internal category (internal groupoid, monoid or group).

3.1. REMARK. [Composition of pseudofunctors] Let  $a : \Delta_3^{\text{op}} \to \mathbb{C}$  be a precategory. Firstly, we can consider the functor  $\operatorname{op}(a) : \Delta_3 \to \mathbb{C}^{\operatorname{op}}$ , also denoted by  $a^{\operatorname{op}}$ , which is the image of a by the usual dualization (invertible) 2-functor

$$\operatorname{op}: \mathsf{Cat}^{\operatorname{co}} \to \mathsf{Cat}.$$

Secondly, we can consider that  $op(a) : \Delta_3 \to \mathbb{C}^{op}$  is actually a pseudofunctor between locally discrete 2-categories. Therefore we can define the composition

$$\mathcal{F} \circ \operatorname{op}(a) : \Delta_3 \to \mathsf{Cat}$$

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as a particular case of composition of pseudofunctors/homomorphisms of bicategories/2categories. Namely, the composition is defined by

$$\begin{split} \mathcal{F} \circ \operatorname{op}(a) &:= \mathcal{B} : & \Delta_3 & \to \mathsf{Cat} \\ & x & \mapsto \mathcal{F}(a(x)) \\ g &: x \to y & \mapsto \mathcal{F}(a^{\operatorname{op}}(g : x \to y)) \\ \mathfrak{b}_x &:= \mathfrak{f}_{a(x)} : & \operatorname{id}_{\mathcal{F}(a(x))} & \Rightarrow \mathcal{F}(\operatorname{id}_{a(x)}) \\ \mathfrak{b}_{hg} &:= \mathfrak{f}_{a^{\operatorname{op}}(h)a^{\operatorname{op}}(g) : & \mathcal{F} a^{\operatorname{op}}(h) \cdot \mathcal{F} a^{\operatorname{op}}(g) & \Rightarrow \mathcal{F} a^{\operatorname{op}}(hg). \end{split}$$

By definition, the category of  $\mathcal{F}$ -internal actions of a precategory  $a : \Delta_3^{\text{op}} \to \mathbb{C}$  (actions  $a \to \mathbb{C}$ ) is the lax descent object of the composition  $\mathcal{F} \circ \text{op}(a) : \Delta_3 \to \text{Cat}$ . That is to say,

$$\mathcal{F}$$
-IntAct  $(a) := \operatorname{lax-}\mathcal{D}\operatorname{esc}\left(\mathcal{F} \circ \operatorname{op}(a)\right)$ 

As briefly mentioned in the introduction, this definition generalizes the well known definitions of categories of actions. For instance, taking  $\mathbb{C} = \mathsf{Set}$  and  $\mathcal{F} = \mathsf{Set}/-: \mathsf{Set}^{\mathrm{op}} \to \mathsf{Cat}$ , if  $a : \Delta_3^{\mathrm{op}} \to \mathsf{Set}$  is an internal category, the category of  $(\mathsf{Set}/-)$ -internal actions of acoincides up to equivalence with the category  $\mathsf{Cat}[a,\mathsf{Set}]$  of functors  $a \to \mathsf{Set}$  and natural transformations. This shows that the definition above has as particular cases the well known categories of *m*-sets (or *g*-sets) for a monoid *m* (or a group *g*).

Analogously, given a topological group g, we can consider the category of g-Top of the Eilenberg-Moore algebras of the monad  $g \times -$  with the multiplication  $g \times g \times - \rightarrow g \times -$  given by the operation of g, that is to say, the category of g-spaces. This again coincides with the category of (Top/-)-IntAct (g), in which g, by abuse of language, is the underlying precategory of g.

A precategory is *discrete* if it is naturally isomorphic to a constant functor  $\overline{w} : \Delta_3^{\text{op}} \to \mathbb{C}$  for an object w of  $\mathbb{C}$ . Clearly, we have:

3.2. LEMMA. The category of  $\mathcal{F}$ -internal actions of a discrete precategory  $\overline{w}$  is equivalent to  $\mathcal{F}(w)$ .

Given a precategory  $a: \Delta_3^{\text{op}} \to \mathbb{C}$ , the *underlying discrete precategory* of the precategory *a* is the precategory constantly equal to a(1), which we denote by  $\overline{a(1)}: \Delta_3^{\text{op}} \to \mathbb{C}$ . We have, then, that the functor

lax-
$$\mathcal{D}$$
esc  $(\mathcal{F} \circ a^{\mathrm{op}}) \to \mathcal{F} \circ a(1)$ 

that forgets the descent data is the forgetful functor

$$\mathcal{F} ext{-IntAct}(a) \to \mathcal{F} ext{-IntAct}\left(\overline{a(1)}\right)$$

between the category of  $\mathcal{F}$ -internal actions of a and the category of  $\mathcal{F}$ -internal actions of the underlying discrete precategory of a.

3.3. REMARK. [Underlying discrete precategory] The definition of the *underlying discrete* precategory of a precategory is motivated by the special case of internal categories, and/or the case of precategories that can be extended to truncated simplicial objects  $\Delta_3^{\text{op}} \to \mathbb{C}$ ,



in which  $\underline{\Delta}_3$  is the full subcategory of  $\Delta$  with the objects 1, 2 and 3. We have an adjunction

$$\mathsf{Cat}\left[\underline{\Delta}_3^{\mathrm{op}},\mathbb{C}
ight]$$
  $\stackrel{}{\underbrace{}}$   $\stackrel{}{\underbrace{}}$   $\overset{}{\underbrace{}}$   $\mathsf{Cat}\left[1,\mathbb{C}
ight]\cong\mathbb{C}$ 

in which the left adjoint is given by the usual functor  $w \mapsto \overline{w}$  that associates each object to the constant functor  $\overline{w} : \underline{\Delta}_3 \to \mathbb{C}$ . Of course, the right adjoint is given by the conical limit, which, in this case, coincides with a(1), since 1 is the initial object of  $\underline{\Delta}_3^{\text{op}}$ . The underlying discrete precategory, in this case, is given by the monad induced by this adjunction.

3.4. REMARK. [Forgetful functor] As particular case of Remark 3.3, in the case of  $\mathbb{C} = \mathsf{Set}$  and  $\mathcal{F} = (\mathsf{Set}/-)$ , if  $a : \Delta_3^{\mathrm{op}} \to \mathsf{Set}$  is an internal category, the forgetful functor

$$(\operatorname{Set}/-)\operatorname{-IntAct}(a) \to (\operatorname{Set}/-)\operatorname{-IntAct}\left(\overline{a(1)}\right)$$

coincides with the usual forgetful functor  $Cat[a, Set] \rightarrow Set^{a(1)} \simeq Set/a(1)$  between the category of functors  $a \rightarrow Set$  and the category of *functions* between the set a(1) of objects of a and the collection of objects of Set. In particular, this shows that, if a is a monoid, we get that this forgetful functor coincides with the usual forgetful functor a-Set  $\rightarrow$  Set. Analogously, taking  $\mathbb{C} = \text{Top}$  and  $\mathcal{F} = (\text{Top}/-)$ , if  $g : \Delta_3^{\text{op}} \rightarrow \text{Top}$  is an internal group (topological group), then the forgetful functor

$$(\mathsf{Top}/-) \operatorname{-IntAct}(g) \to (\mathsf{Top}/-) \operatorname{-IntAct}\left(\overline{g(1)}\right)$$

coincides with the usual forgetful functor  $g\text{-Top} \to \text{Top}$  between the category of g-spaces and Top.

As a consequence of Corollary 2.7:

3.5. COROLLARY. Given an indexed category  $\mathcal{F} : \mathbb{C}^{\mathrm{op}} \to \mathsf{Cat}$  and a precategory  $a : \Delta_3^{\mathrm{op}} \to \mathbb{C}$ , the forgetful functor

$$\mathcal{F}$$
-IntAct(a)  $\rightarrow \mathcal{F}$ -IntAct( $\overline{a(1)}$ )

creates absolute Kan extensions and, hence, in particular, it creates absolute limits and colimits.

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Henceforth, we assume that  $\mathbb{C}$  has pullbacks, and a pseudofunctor  $\mathcal{F} : \mathbb{C}^{\mathrm{op}} \to \mathsf{Cat}$  is given. Every morphism  $p : e \to b$  of  $\mathbb{C}$  induces an internal groupoid whose underlying precategory, denoted herein by  $\mathsf{Eq}(p)$ , is given by



in which  $e \times_b e$  denotes the pullback of p along itself, and the arrows are given by the projections and the diagonal morphism (see, for instance, [11, Section 3]). For short, we denote by  $\mathcal{F}^p : \Delta_3 \to \mathsf{Cat}$  the pseudofunctor obtained by the composition

$$\mathcal{F} \circ \mathsf{Eq}(p)^{\mathrm{op}} : \Delta_3 \to \mathsf{Cat}.$$

3.6. LEMMA. Let  $(d^{\mathcal{F}^p}, \psi)$  be the universal pair that gives the lax descent category of  $\mathcal{F}^p$ . For each morphism  $p : e \to b$  of  $\mathbb{C}$ , we get a factorization



called the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$ , in which  $K_p$  is the unique functor such that the diagram above is commutative and the equation



holds.

PROOF. This factorization can be found, for instance, in [11, Section 3] or [17, Section 8]. In our context, in order to prove this result, it is enough to verify that

$$\mathfrak{f}_{\pi^e p}^{-1} \cdot \mathfrak{f}_{\pi_e p} : \mathcal{F}^p(d^1) \cdot \mathcal{F}(p) \Rightarrow \mathcal{F}^p(d^0) \cdot \mathcal{F}(p)$$

is an  $\mathcal{F}^p$ -descent datum for  $\mathcal{F}(p)$ , which follows directly from the fact that  $\mathcal{F} : \mathbb{C}^{\mathrm{op}} \to \mathsf{Cat}$  is a pseudofunctor.

3.7. DEFINITION. [Effective descent morphism] A morphism p of  $\mathbb{C}$  is of effective  $\mathcal{F}$ -descent if the comparison  $K_p$  of the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$  (Eq. (3.6.1)) is an equivalence.

3.8. REMARK. By definition, if p is of effective  $\mathcal{F}$ -descent, this means in particular that  $\mathcal{F}(p) : \mathcal{F}(b) \to \mathcal{F}(e)$  is, up to the composition with a canonical equivalence, the forgetful functor between the category of  $\mathcal{F}$ -internal actions of the internal groupoid  $\mathsf{Eq}(p)$  and the category of  $\mathcal{F}$ -internal actions of the underlying discrete groupoid  $\overline{e}$ .

# 4. Effective descent morphisms and monadicity

The celebrated Bénabou-Roubaud theorem (see [3] or, for instance, [17, Theorem 1.4]) gives an insightful connection between monad theory and descent theory. Namely, the theorem says that the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$  (Eq. (3.6.1)) coincides up to equivalence with the Eilenberg-Moore factorization of the right adjoint functor  $\mathcal{F}(p)$ , provided that  $\mathcal{F}$  comes from a bifibration satisfying the so called *Beck-Chevalley condition*.

The theorem motivates what is often called *monadic approach to descent* (e.g. [10, Section 2]), and it is useful to the characterization of effective descent morphisms in several cases of interest (e.g. [9, 10, 23]).

In our context, the Bénabou-Roubaud theorem can be stated as follows. Assume that  $\mathcal{F}: \mathbb{C}^{\mathrm{op}} \to \mathsf{Cat}$  is a pseudofunctor such that, for every morphism p of  $\mathbb{C}$ ,

- there is an adjunction  $(\mathcal{F}(p)! \dashv \mathcal{F}(p), \varepsilon^p, \eta^p) : \mathcal{F}(b) \to \mathcal{F}(e)$ , and
- the 2-cell obtained from the pasting



is invertible.

We have that, denoting by  $T^p$  the monad  $(\mathcal{F}(p) \cdot \mathcal{F}(p)!, \mathrm{id}_{\mathcal{F}(p)} * \varepsilon^p * \mathrm{id}_{\mathcal{F}(p)!}, \eta^p)$ , the Eilenberg-

Moore factorization



is *pseudonaturally* equivalent to the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$  (Eq. (3.6.1)). In particular, we get that, assuming the above, a morphism p is of effective  $\mathcal{F}$ -descent if and only if  $\mathcal{F}(p)$  is monadic.

4.1. REMARK. [Basic bifibration] If  $\mathbb{C}$  has pullbacks, the basic indexed category

$$\mathbb{C}/-:\mathbb{C}^{\mathrm{op}}\to\mathsf{Cat}$$

satisfies the Beck-Chevalley condition. Therefore, in this case, by the Bénabou-Roubaud theorem, one reduces the problem of characterization of effective descent morphisms to the problem of characterization of the morphisms p for which the change of base functor  $\mathbb{C}/p = p^* : \mathbb{C}/b \to \mathbb{C}/e$  is monadic.

For instance, if  $\mathbb{C}$  is locally cartesian closed and has coequalizers, one can easily prove that  $\mathbb{C}/p$  is monadic if and only if p is a universal regular epimorphism, by Beck's (pre)monadicity theorem (*e.g.* [23, Corollary 1.3]). This result on locally cartesian closed categories also plays an essential role in the usual framework to study effective ( $\mathbb{C}/-$ )descent morphisms of more general categories via embedding results (see, for instance, [23, Section 1.6], [10, Section 2] and [17, Section 1]).

4.2. INDEXED CATEGORIES NOT SATISFYING THE BECK-CHEVALLEY CONDITION. The Bénabou-Roubaud theorem answers the question of the comparison of the Eilenberg-Moore factorization with the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$  (Eq. (3.6.1)) whenever  $\mathcal{F}$  satisfies the Beck-Chevalley condition. One might ask what it is possible to prove in this direction without assuming the Beck-Chevalley condition.

It should be noted that there are indexed categories  $\mathcal{F} : \mathbb{C}^{\mathrm{op}} \to \mathsf{Cat}$  (coming from bifibrations that do not satisfy the Beck-Chevalley condition) for which there are non-effective descent morphisms inducing monadic functors.

For instance, in [21, Example 3.2.3] (*Exemplo* 3.2.3 of pag. 67), Melo gives a detailed proof that the so called *fibration of points* of the category of groups does not satisfy the Beck-Chevalley condition (in particular, w.r.t. the morphism  $0 \rightarrow S_3$ ). It is known that, denoting by Pt the corresponding indexed category,  $Pt(0 \rightarrow S_3)$  is monadic but  $0 \rightarrow S_3$  is not of effective Pt-descent.

We can produce examples of non-effective descent morphisms inducing monadic functors as above, once we observe that:

4.3. PROPOSITION. If the domain of a morphism p is the terminal object of  $\mathbb{C}$ , then p is of effective  $\mathcal{F}$ -descent if and only if  $\mathcal{F}(p)$  is an equivalence.

PROOF. Indeed, if the domain of p is the terminal object 1 of  $\mathbb{C}$ ,  $\mathsf{Eq}(p)$  is discrete, naturally isomorphic to the precategory  $\Delta_3^{\mathrm{op}} \to \mathbb{C}$  constantly equal to 1. Consequently,

$$\mathcal{F}$$
-IntAct (Eq $(p)$ )  $\simeq \mathcal{F}(1)$ .

Therefore the result follows, since the  $\mathcal{F}$ -descent factorization of  $\mathcal{F}(p)$ , in this case, is given by



4.4. REMARK. Proposition 4.3 gives us a way to study [21, Example 3.2.3].

In an *exact protomodular* category (*e.g.* [4]), denoting again by Pt the indexed category corresponding to the fibration of points, whenever Pt(p) has a left adjoint, it is monadic (see [4, Theorem 3.4]).

In the case of the category of groups,  $Pt(0 \rightarrow S_3)$  has a left adjoint but it is not an equivalence.

Therefore, by [4, Theorem 3.4], the functor  $Pt(0 \rightarrow S_3)$  is monadic, while, by Proposition 4.3, the morphism  $0 \rightarrow S_3$  is not of effective Pt-descent.

4.5. REMARK. It should be noted that, if  $p: 1 \to b$  is a morphism of  $\mathbb{C}$  satisfying the hypothesis of Proposition 4.3, the pasting



is invertible if and only if  $\eta^p$  is invertible. (or, equivalently,  $\mathcal{F}(p)$ ! is fully faithful). In other words,  $p: 1 \to b$  satisfies the Beck-Chevalley condition w.r.t.  $\mathcal{F}$  if and only if  $\mathcal{F}(p)$ ! is fully faithful.

Assuming that  $\mathcal{F}(p)!$  is fully faithful in the situation above, we get that  $\mathcal{F}(p)$  is (pre)monadic if and only if it is an equivalence. That is to say, in this case, we get, by Proposition 4.3, that  $\mathcal{F}(p)$  is (pre)monadic if and only if p is of effective  $\mathcal{F}$ -descent.

The most elementary examples of non-effective  $\mathcal{F}$ -descent morphisms inducing monadic functors can be constructed from Lemma 4.6. Namely, in order to get our desired example, it is enough to consider a pseudofunctor  $\mathcal{G}: 2^{\text{op}} \to \mathsf{Cat}$  whose image of d is a monadic functor which is not an equivalence. In this case, by Lemma 4.6, we conclude that, despite  $\mathcal{G}(d)$  being monadic, d is not of effective  $\mathcal{G}$ -descent.

4.6. LEMMA. Consider the category 2 with the only non-trivial morphism  $d : \mathbf{0} \to \mathbf{1}$ . Given a pseudofunctor  $\mathcal{G} : 2^{\mathrm{op}} \to \mathsf{Cat}$ , d is of effective  $\mathcal{G}$ -descent if and only if  $\mathcal{G}(d)$  is an equivalence.

PROOF. Again, in this case,  $\mathsf{Eq}(d)$  is discrete. We have that  $\mathcal{G}$ -IntAct  $(\mathsf{Eq}(d)) \simeq \mathcal{G}(\mathbf{0})$ , and, hence, we get the result.

In [24], Sobral characterizes the effective  $\mathcal{E}$ -descent morphisms in the category cat of small categories, in which  $\mathcal{E} : \mathsf{cat}^{\mathrm{op}} \to \mathsf{Cat}$  can be defined by

$$\begin{aligned} \mathcal{E} : & \mathsf{cat}^{\mathrm{op}} & \to \mathsf{Cat} \\ & e & \mapsto \mathsf{Cat}\left[e,\mathsf{Set}\right] \\ & p : e \to b & \mapsto \mathsf{Cat}\left[p,\mathsf{Set}\right] : \mathsf{Cat}\left[b,\mathsf{Set}\right] \to \mathsf{Cat}\left[e,\mathsf{Set}\right]. \end{aligned}$$

As a consequence of her characterization, she shows that the functor  $h : 1 \sqcup 1 \to 2$ which is a bijection on objects (that is to say, induced by  $d^0$  and  $d^1$ ) is not an effective  $\mathcal{E}$ -descent morphism, but  $\mathcal{E}(h)$  is monadic (see [24, Remark 7]). In this context, she also informally suggests that, for the indexed category  $\mathcal{E}$ , descent gives "more information" than monadicity. We finish this article showing, as an immediate consequence of Theorem 2.8, that this is in fact the case for any indexed category.

4.7. THEOREM. [Effective descent implies monadicity] Let  $\mathcal{F} : \mathbb{C}^{\text{op}} \to \mathsf{Cat}$  be any pseudofunctor. If p is of effective  $\mathcal{F}$ -descent and  $\mathcal{F}(p)$  has a left adjoint, then  $\mathcal{F}(p)$  is monadic.

**PROOF.** It is clearly a particular case of Theorem 2.8.

By Theorem 4.7, given a pseudofunctor  $\mathcal{F} : \mathbb{C}^{\text{op}} \to \mathsf{Cat}$  coming from a bifibration, every effective  $\mathcal{F}$ -descent morphism p induces a monadic functor  $\mathcal{F}(p)$ .

4.8. REMARK. It is worth noting that there are pairs  $(\mathcal{F}, p)$  such that  $\mathcal{F}$  is a pseudofunctor coming from a bifibration and p is an  $\mathcal{F}$ -descent morphism not satisfying the Beck-Chevalley condition.

For instance, considering the pseudofunctor  $\mathcal{E}$  defined in (4.6.1), the functor

$$\underline{h}: 1 \sqcup 1 
ightarrow 1$$

is an effective  $\mathcal{E}$ -descent morphism, since it is a split epimorphism (by [11, Theorem 3.5]). However <u>h</u> does not satisfy the Beck-Chevalley condition. For instance, taking  $f: 1 \sqcup 1 \rightarrow$ Set defined by the pair  $(\emptyset, \{\emptyset\})$ , we get that

$$\operatorname{Lan}_{\pi_{1\sqcup 1}}\left(f\circ\pi^{1\sqcup 1}\right):1\sqcup 1\to\operatorname{Set}$$

is defined by the pair  $(\emptyset, \{\emptyset\} \sqcup \{\emptyset\})$ , while

$$\operatorname{Lan}_{\underline{h}}(f) \circ \underline{h} : \mathbf{1} \sqcup \mathbf{1} \to \mathsf{Set}$$

is defined by the pair  $(\{\emptyset\}, \{\emptyset\})$ .

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