

DUALITY FOR POSITIVE OPETOPE AND POSITIVE ZOOM COMPLEXES

MAREK ZAWADOWSKI

ABSTRACT. We show that the (positive) zoom complexes, with fairly natural morphisms, form a dual category to the category of positive opetopes with contraction epimorphisms. We also show how this duality can be slightly extended to positive opetopic cardinals.¹

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1. Introduction

The opetopes are higher dimensional shapes that were originally invented in [Baez-Dolan, 1998] as shapes that can be used to define a notion of higher dimensional category. By now there are more than a dozen of definitions of opetopes. Some definitions use very abstract categorical machinery [Burroni, 1993], [Zawadowski, 2011], some are more concrete using one way or another some kinds of operads and/or polynomial or analytic monads [Baez-Dolan, 1998], [Hermida-Makkai-Power, 2000-2002], [Leinster, 2004], [Cheng, 2003], [Zawadowski, 2011], [Szawiel-Zawadowski, 2013], [Fiore-Saville, 2017], some definitions describe the ways opetopes can be generated [Hermida-Makkai-Power, 2000-2002], [Curien-Ho Than-Mimram, 2019], [Ho Thanh], and finally there are also some purely combinatorial definitions [Palm, 2004], [Kock-Joyal-Batanin-Mascari, 2010], [Zawadowski, 2023], [Zawadowski, 2007], [Steiner, 2012].

So it is not surprising that it is easier to show a picture of an opetope than to give a simple definition that will leave the reader with no doubts as to what an opetope is. In this paper we will deal with positive opetopes only. This means that each face in opetopes we consider has at least one face of codimension 1 in its domain. As a consequence such opetopes have no loops.

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¹It is with deep sadness that we inform you of the passing of Dr. Marek Zawadowski, on March 3, 2024, shortly after submitting the final version of this paper. The guest editors.

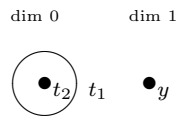
The definitions of opetopes mentioned above seem to agree in a ‘reasonable sense’. However, the morphisms between opetopes are not always treated the same way. In some approaches even face maps between opetopes do not seem to be natural. In [Zawadowski, 2023],[Zawadowski, 2011] it is shown how opetopes can be treated as some special kinds of ω -categories and therefore all the ω -functors (i.e. all face maps and all degeneracies) between opetopes can be considered. Of all the definitions of opetopes, the one given in [Kock-Joyal-Batanin-Mascari, 2010], through so called zoom complexes, seem to be very different from any other. In fact, to describe this definition even pictures are of a very different kind, as the reader can notice below. In this paper we shall show that there is an explanation of this phenomenon. Namely, the (positive) zoom complexes, with fairly natural morphisms, form a dual category to the category of positive opetopes with contraction epimorphisms (often called ι -epimorphism or ι -epis, for short). The contraction epimorphisms are some kind of degeneracies of opetopes that can send a face only to a face but possibly of a lower dimension (still preserving usual constraints concerning both domains and codomains).

Below we draw some pictures of positive opetopes of few low dimensions and corresponding dual (positive) zoom complexes, a simplified version of zoom complexes introduced in [Kock-Joyal-Batanin-Mascari, 2010].

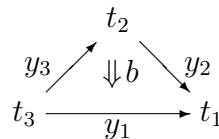
An opetope O_1 of dimension 1

$$t_2 \xrightarrow{y} t_1$$

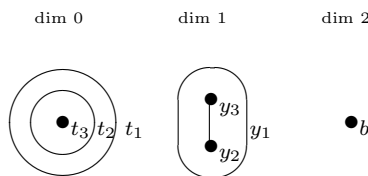
and its dual positive zoom complex T_1



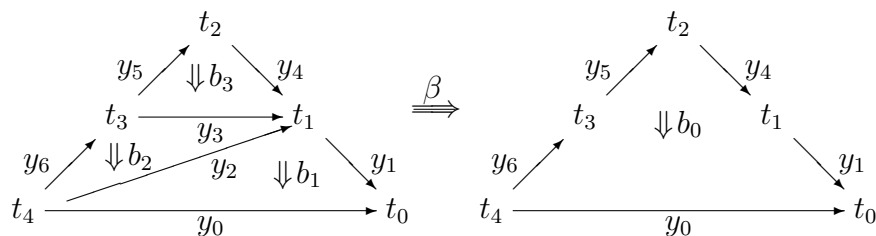
An opetope O_2 of dimension 2



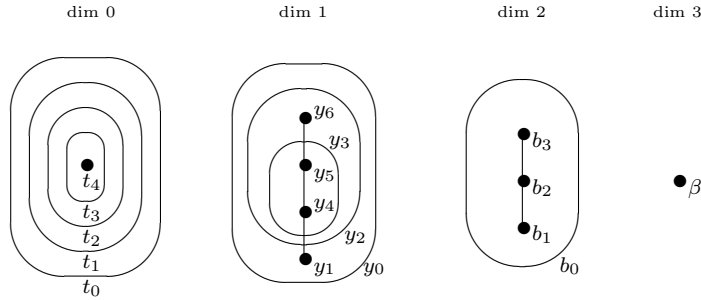
and its dual positive zoom complex T_2



An opetope O_3 of dimension 3

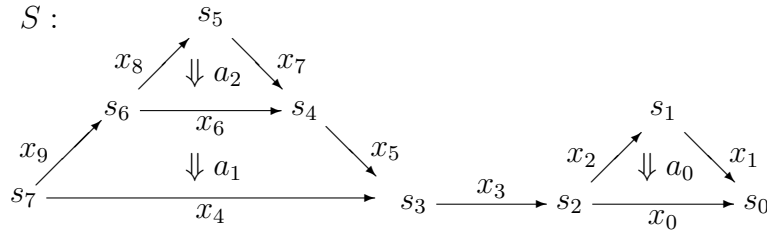


and its dual positive zoom complex T_3

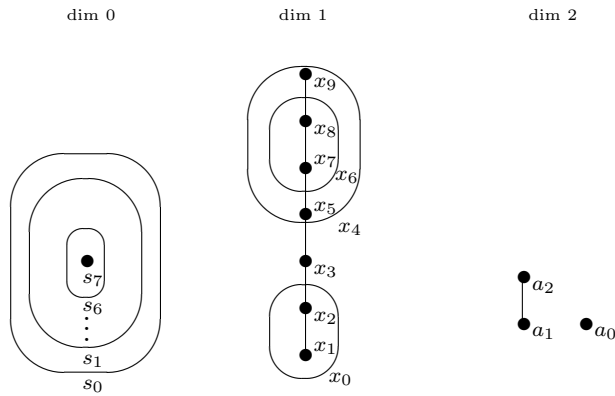


The drawings of the above positive zoom complexes are, in fact, drawings of consecutive (non-empty) constellations of positive zoom complexes. In particular, it is not an accident that the partial order of nesting of circles in one constellation is isomorphic to the partial order vertices of the next constellation.

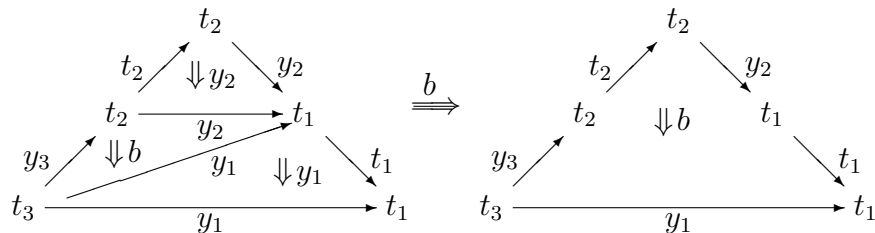
Opetopic cardinals still consist of cells that can be meaningfully composed in a unique way, but have a bit more general shape. Here is an example



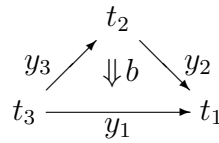
and its dual wide positive zoom complex



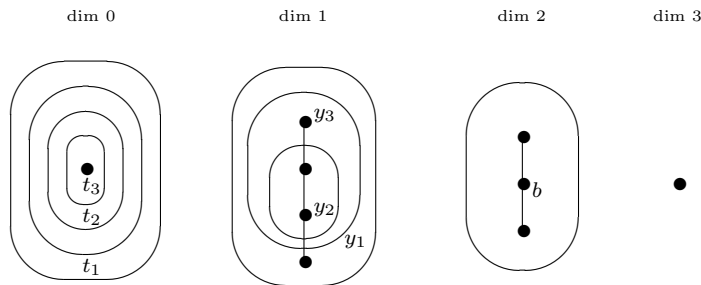
To illustrate how the duality works on morphisms is a bit more involved. We shall present a ι -epimorphism from O_3 to O_2 by naming faces of O_3



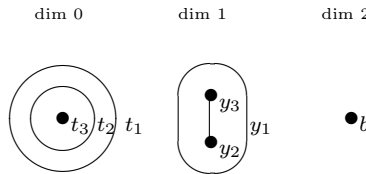
by the cells on O_2



they are sent to. For example, there are three faces in O_3 sent to the 1-face y_2 . Two of them are 1-faces and one of them is a 2-face. The dual of this morphism is a collection of three embeddings of trees sending leaves (vertices) to leaves, and inner nodes (circles) to inner nodes and respecting the relation between circles at one dimension and the vertices on the next dimension. We present the dual morphism of positive zoom complexes from T_2 to T_3 drawing T_3 and naming its nodes



by the names of the nodes of the positive zoom complex T_2



that are sent to those faces.

This duality could be compared to a restricted version of the duality between simple categories and discs [Berger, 2002], [Oury, 2010], [Makkai-Zawadowski, 2001]. In that duality we have on one side some pasting diagrams described in terms of (simple) ω -categories and all ω -functors, and on the other some combinatorial structures called (finite) discs and some natural morphisms of disks. Roughly speaking, a finite disc is a finite planar tree extended by dummy/sink nodes at the ends of any linearly ordered set of sons of each node. These sink nodes do not bring any new information about the object but they are essential to get the right notion of a morphism between ‘such structures’, i.e. all those that correspond to ω -functors in the dual category. If we were to throw away the sink nodes, i.e. we would consider trees instead of discs, we could still have a duality but we would need to revise the notion of a morphism on both sides. In fact, we would have duality for degeneracy maps only. On the planar tree side we would not be able to dump a ‘true’ node onto a dummy node. This corresponds on the side of simple categories to the fact that we consider only some ω -functors. If we think about ω -functors between simple ω -categories as a kind of ‘partial composition of a *part* of the pasting diagram’, we would need to restrict to those ω -functors that represent partial composition of the *whole* pasting diagram. In other words, if we have trees and do not have sink nodes around, our operations cannot drop any part of the pasting diagram before they start to compose them. The duality presented in this paper can be understood

through this analogy. Namely, at the level of objects positive opetopes correspond to positive zoom complexes but when we look at the morphism, the natural morphisms of positive zoom complexes correspond only to degeneracies (contraction epimorphisms) on the side of positive opetopes and these maps goes in the opposite direction. This leaves of course an open question of whether we can extend positive zoom complexes one way or the other, introducing some kind of ‘sink nodes’, so that we could have duality for more maps, e.g. all ι -maps or even all ω -functors and not only ι -epimorphisms?

The paper is organized as follows. In Section 2 we define a simplified version of both constellations and zoom complexes that were originally introduced in [Kock-Joyal-Batanin-Mascari, 2010], and the maps of both constellations and positive zoom complexes. In Section 3, we describe duality for the category $\mathbf{pOpe}_{\iota e}$ of opetopes with contraction epimorphisms on one side and the category \mathbf{pZoom} of positive zoom complexes and zoom complex maps. In Section 4, we present the extension of this duality to larger categories of $\mathbf{pOpeCard}_\iota$ of positive opetopic cardinals with contraction epimorphisms and \mathbf{wZoom} of wide positive zoom complexes and zoom complex maps. The paper ends with an appendix where the relevant notions and facts concerning positive opetopes are recalled from [Zawadowski, 2023] and [Zawadowski, 2017].

2. The category of positive zoom complexes

2.1. **POSETS.** All posets considered in this paper are finite. If (S, \leq) is a finite poset, often denoted simply S , $<$ will denote the maximal irreflexive relation contained in \leq . The *cover relation* is denoted by \prec , i.e., if $x, y \in S$ then $x \prec y$, in words y covers x , if $x < y$ and there is no $z \in S$ such that $x < z < y$. The relation \bowtie is the comparability relation, i.e., $x \bowtie y$ iff $x < y$ or $y < x$. The transitive closure of \prec is $<$, and the symmetrization of \leq ($<$) is \bowtie (\bowtie), i.e., the (*strict*) *comparability relation* related to \leq . The suprema (infima) of a subset X of a poset S , if exists, will be denoted by $\sup^S(X)$ or $\sup(X)$, ($\inf^S(X)$ or $\inf(X)$) and if $X = \{t, t'\}$, we can also write $t \vee^S t'$ or $t \vee t'$ ($t \wedge^S t'$ or $t \wedge t'$).

2.2. **TREES.** A *tree* is a finite poset with binary sups and no infs of non-linearly ordered non-empty subsets. In particular, tree can be empty but if it is not, it has the largest element, called *root*, denoted \top . A tree embedding is a one-to-one function that preserves and reflects order. We will also consider other kinds of (monotone) morphisms of trees: sup-morphisms (= preserving suprema), monotone maps (automatically preserving infs), onto maps.

Construction. Let S, T be trees. Let S_\perp denotes the poset obtained by adding bottom element to S . Then S_\perp has both sups and infs, i.e. it is a lattice. If $D \subset S$ is a downward closed proper subset of S , then $S - D$ is again a tree. Any monotone map $f : S - D \rightarrow T$ that preserves \top and reflects the comparability relation \bowtie can be extended to an infs preserving map $f_* : S_\perp \rightarrow T_\perp$ (sending D and \perp to \perp). Thus such a map f_* has a left adjoint $f^* : T_\perp \rightarrow S_\perp$, so that for $t \in T_\perp$

$$f^*(t) = \bigwedge \{s \in S_\perp : t \leq f_*(s)\}.$$

Since f_* preserves \perp , f^* reflects \perp , and hence it restricts to a (binary) sup-preserving morphism, again named $f^* : T \rightarrow S$. Moreover, f_* is onto iff f^* is one-to-one.

Some notions and notations concerning trees. Let T be a tree, $t, t' \in T$.

1. A subset X of a tree T is a *convex subtree* of T iff X has the largest element, and whenever $x, x' \in X$, $s \in T$ and $x < s < x'$, then $s \in X$. Clearly a convex subset of a tree is in particular a non-empty tree. Let $\mathbf{St}(T)$ denote the poset of the convex sub-trees of the tree T .
2. t is a *leaf* in T iff the set $\{s \in T : s \prec t\}$ is empty. $\text{lvs}(T)$ denotes the set of leaves of the tree T .
3. $\text{lvs}^T(t)$ is the set of leaves of the tree T over the element t , i.e.

$$\text{lvs}^T(t) = \{s \in \text{lvs}(T) : s \leq t\}.$$

4. $\text{cvr}^T(t)$ is the *cover of the element t in the tree T*

$$\text{cvr}^T(t) = \{s \in T : s \prec t\},$$

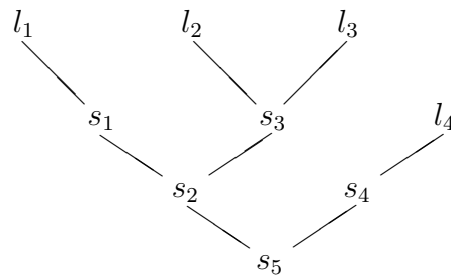
i.e. the set of elements of the tree T for whom t is the successor.

5. If X is a convex subtree of T , then the *cover of X in the tree T* is the set

$$\text{cvr}^T(X) = \bigcup_{x \in X} \text{cvr}^T(x) - X.$$

Thus to the set $\text{cvr}^T(X)$ belong those elements of X that come immediately before X (but not in X). Note that $\text{cvr}^T(t) = \text{cvr}^T(\{t\})$, so the notation for value of cvr^T on elements and convex subsets is compatible.

Example. To illustrate the above notions let us consider the tree S



in which the relation \prec is marked by lines. Thus we have for example $l_1 \prec s_1 \prec s_2 \prec s_5$ with s_5 being the largest element in the tree, i.e. the root of S . The leaves of S are

$$\text{lvs}(S) = \{l_1, l_2, l_3, l_4\}.$$

Let $X = \{s_1, s_2\}$ be a convex subtree of S . The cover of X is

$$\text{cvr}^S(X) = \{l_1, s_3\},$$

the supremum of X is

$$\sup^S(X) = s_2.$$

Moreover

$$\text{lvs}^S(s_2) = \{l_1, l_2, l_3\}, \quad \text{lvs}^S(l_1) = \{l_1\}, \quad \text{lvs}^S(s_3) = \{l_2, l_3\}.$$

We have an easy Lemma establishing some relation between the above notions. It will be needed for the proof of the duality.

2.3. LEMMA. *Let T be a tree.*

1. *Let X be a convex subtree of T not containing leaves. Then the family set $\{\text{lvs}^T(t)\}_{t \in \text{cvr}^T(X)}$ is a partition of the set $\text{lvs}^T(\sup^T(X))$.*
2. *Let X be a convex subtree of T not containing leaves and let $\{X_i\}_{i \in I}$ be a partition of X into convex subtrees. Then, for $t \in T$*
 - (a) *$t \in \text{cvr}^T(X_i)$, for some $i \in I$, iff either $t \in \text{cvr}^T(X)$ or there is $j \in I$ such that $t = \sup^T(X_j)$;*
 - (b) *$t = \sup^T(X_i)$, for some $i \in I$, iff either $t = \sup^T(X)$ or $t \in X$ and there is $j \in I$ such that $t \in \text{cvr}^T(X_j)$.*

2.4. CONSTELLATIONS. A *constellation* is a triple (S_1, σ, S_0) , where S_0 and S_1 are trees and σ is a monotone function

$$\sigma : S_1 \rightarrow \mathbf{St}(S_0)$$

such that

1. it preserves the top element;
2. if $s, s' \in S_1$ and $\sigma(s) \cap \sigma(s') \neq \emptyset$, then $s \bowtie s'$.

Let $\sigma : S_1 \rightarrow \mathbf{St}(S_0)$ be a constellation. Then *the constellation tree* $(S_1 \triangleleft_\sigma S_0, \leq^{co})$ is the tree arising by extension of the tree S_1 by nodes of the tree S_0 added as leaves, so that if $s_0 \in S_0$ and $s_1 \in S_1$, then s_0 is a leaf over s_1 iff $s_0 \in \sigma(s_1)$.

Formally, the set $S_1 \triangleleft_\sigma S_0$ is a disjoint sum of S_1 and S_0 . If $s_0 \in S_0$, and $s_1 \in S_1$, then the corresponding elements in $S_1 \triangleleft_\sigma S_0$ are denoted by s_0^\bullet and s_1° , respectively. *The constellation order* \leq^{co} in $S_1 \triangleleft_\sigma S_0$ is defined as follows. If $s_0, t_0 \in S_0$ and $s_1, t_1 \in S_1$, then

1. $s_1^\circ \leq^{co} t_1^\circ$ iff $s_1 \leq^{S_1} t_1$;
2. $s_0^\bullet \leq^{co} s_1^\circ$ iff $s_0 \in \sigma(s_1)$;
3. $s_0^\bullet \leq^{co} t_0^\bullet$ iff $s_0 = t_0$;
4. $s_1^\circ \leq^{co} s_0^\bullet$ never holds.

Note that $(S_1 \triangleleft_\sigma S_0, \leq^{co})$ is again a tree with the set leaves $\{t^\bullet : t \in S_0\}$. We often drop the index σ in $S_1 \triangleleft_\sigma S_0$ when it does not lead to a confusion.

Let (S_1, σ, S_0) and (T_1, τ, T_0) be two constellations. Any pair of tree embeddings $f_0 : S_0 \rightarrow T_0, f_1 : S_1 \rightarrow T_1$, such that $f_0(\sigma(s)) \subseteq \tau(f_1(s))$ for $s \in S_1$, induces a tree embedding of constellation orders

$$f_1 \triangleleft f_0 : S_1 \triangleleft_\sigma S_0 \longrightarrow T_1 \triangleleft_\tau T_0.$$

Such a pair is a *morphism of constellations*

$$(f_1, f_0) : (S_1, \sigma, S_0) \longrightarrow (T_1, \tau, T_0)$$

iff the induced map $f_1 \triangleleft f_0$ preserves binary sups.

Remarks and notations.

1. The fibers of any constellation σ are linearly ordered. This follows directly from property 2. of the constellation morphism.
2. One can look at a single constellation (S_1, σ, S_0) as data for gluing elements of a poset S_0 as new leaves in the poset S_1 along the function σ . Thus the order of S_0 is not essential for building a constellation order. The constellation order can be graphically drawn with *leaves* from S_0 , also called *vertices*, marked as dots, and inner nodes from S_1 , also called *circles*, marked as circles, enclosing all the leaves under them and all the smaller circles. General elements of constellation orders are often called *nodes*.
3. Let (T_1, τ_0, T_0) and (T_2, τ_1, T_1) be two (consecutive) constellations. We can form a diagram

$$T_2 \xrightarrow{\overset{\circ}{(-)}} T_2 \triangleleft T_1 \xleftarrow{\overset{\bullet}{(-)}} T_1 \xrightarrow{\overset{\circ}{(-)}} T_1 \triangleleft T_0 \xleftarrow{\overset{\bullet}{(-)}} T_0$$

consisting of two embeddings of circles $(-)^{\circ}$ and vertices $(-)^{\bullet}$. Only the embeddings of circles preserve (and reflect) order.

- (a) If $t \in T_1$, then $t^{\circ} \in T_1 \triangleleft T_0$ and $t^{\bullet} \in T_2 \triangleleft T_1$. So the node t , depending on the order in which we consider it, can be either a vertex (leaf) or a circle (inner node). With a slight abuse we also assume that $t^{\bullet} = t^{\circ\bullet} \in T_1 \triangleleft T_0$ and $t^{\circ} = t^{\circ\circ} \in T_2 \triangleleft T_1$. As we will deal with this situation very often, we will usually be careful to distinguish these two roles, when it may cause confusions, by putting either circle or dot over the node considered.
- (b) Moreover, for $X \subset T_1$ we use the notation $X^{\bullet} \subseteq T_2 \triangleleft T_1$ and $X^{\circ} = X^{\circ\circ} \subseteq T_1 \triangleleft T_0$.

2.5. POSITIVE ZOOM COMPLEXES. A *positive zoom complex* (T, τ) is a sequence of constellations:

$$\begin{aligned} \tau_0 : T_1 &\rightarrow \mathbf{St}(T_0), \\ \tau_1 : T_2 &\rightarrow \mathbf{St}(T_1), \end{aligned}$$

$$\begin{array}{c} \dots \\ \tau_i : T_{i+1} \rightarrow \mathbf{St}(T_i), \\ \dots \end{array}$$

for $i \in \omega$, with almost all sets T_i empty. The dimension (T, τ) is n iff T_n be the last non-empty set. We write $\dim(T)$ for dimension of the positive zoom complex (T, τ) . T_0 as well as $T_{\dim(T)}$ are required to be singletons.

A morphism of positive zoom complexes $f : (S, \sigma) \rightarrow (T, \tau)$ is a family of tree embeddings $f_i : S_i \rightarrow T_i$, for $i \in \omega$, such that, for $i \in \omega$,

$$(f_{i+1}, f_i) : (S_{i+1}, \sigma_i, S_i) \longrightarrow (T_{i+1}, \tau_i, T_i)$$

is a morphism of constellations, i.e. the tree embeddings

$$\vec{f}_i = f_{i+1} \triangleleft f_i : S_{i+1} \triangleleft_{\sigma_i} S_i \longrightarrow T_{i+1} \triangleleft_{\tau_i} T_i$$

that preserve binary sups.

The category of positive zoom complexes and their morphisms will be denoted by **pZoom**.

3. Duality

3.1. FROM POSITIVE OPETOPES TO POSITIVE ZOOM COMPLEXES. For the notation and notions concerning positive opetopes consult Appendix and/or [Zawadowski, 2023]. In this section we define a functor

$$\mathbf{pOpe}_{\iota, \text{epi}} \xrightarrow{(-)^*} \mathbf{pZoom}^{op}$$

Let P be a positive opetope. We shall define a positive zoom complex (P^*, π) . For $i \in \omega$, the poset

$$P_i^* = (P_i - \gamma(P_{i+1}), \leq^-),$$

is the i -th tree of the positive zoom complex (P^*, π) . The i -th constellation map

$$\pi_i : P_{i+1}^* \longrightarrow \mathbf{St}(P_i^*)$$

is given, for $p \in P_{i+1}^*$, by

$$\pi_i(p) = \{s \in P_i^* : s <^+ \gamma(p)\}.$$

Note that if $p, p' \in P_{i+1}^*$, then $p <^- p'$ iff $\gamma(p) <^+ \gamma(p')$.

Remark. With the following Proposition we start using axioms, notions, and facts concerning positive opetopes and their morphisms. All the notions and facts used in the paper are in the Appendix or in the papers [Zawadowski, 2023] or [Zawadowski, 2017]. We point out to the specific place where they can be found when these notions and facts are used for the first time.

With the notation as above, we have

3.2. PROPOSITION. For $i \in \omega$, the triple $(P_{i+1}^*, \pi_{i+1}, P_i^*)$ defined above is a constellation. Thus (P^*, π) is a positive zoom complex.

PROOF. First we show that, for $p \in P_{i+1}^*$, $\pi_i(p)$ is a convex subtree of $(P_i^*, <^-)$. By the Path Lemma (cf. page 365) the $<^+$ -least element in the γ -pencil (cf. page 364) of $\gamma\gamma(p)$ is the largest element of $\pi_i(p)$. Let $p_1, p_3 \in \pi_i(p)$ and $p_2 \in P_{i+1}^*$ such that $p_1 <^- p_2 <^- p_3$. Thus there is a maximal lower $P_i^* = P_i - \gamma(P_{i+1}^*)$ -path containing p_1, p_2, p_3 . Again by the Path Lemma, $p_2 <^+ \gamma(p)$.

Next we show that $\pi_i : P_{i+1}^* \rightarrow \mathbf{St}(P_i^*)$ is monotone. Let $p, p' \in P_{i+1}^*$ so that $p <^- p'$. Then, by Proposition 5.10 of [Zawadowski, 2023], $\gamma(p) <^+ \gamma(p')$ and hence

$$\pi(p) = \{s \in P_i^* : s <^+ \gamma(p)\} \subseteq \{s \in P_i^* : s <^+ \gamma(p')\} = \pi(p'),$$

as required.

Finally, we will show that if $p, p' \in P_{i+1}^*$, $s \in P_i^*$ and $s \in \pi_i(p) \cap \pi_i(p')$, then $p \bowtie^- p'$. So assume that $s <^+ \gamma(p)$ and $s <^+ \gamma(p')$. Let r_1, \dots, r_k be a maximal lower P_{i+1}^* -path such that, for some j , $s \in \delta(r_j)$. Then, by Lemma 5.13 of [Zawadowski, 2023], both p and p' must occur in this path. So $p \bowtie^- p'$, as required. ■

We define a poset morphism

$$\varepsilon_{P,i} : (P_{i+1}^* \triangleleft P_i^*, <^{co}) \longrightarrow (P_i, <^+),$$

for $i \in \omega$, as follows. For $p \in P_{i+1}^* \triangleleft P_i^*$, we put

$$\varepsilon_{P,i}(p) = \begin{cases} s & \text{if } p = s^\bullet \text{ for some } s \in P_i^*, \\ \gamma(s) & \text{if } p = s^\circ \text{ for some } s \in P_{i+1}^*. \end{cases}$$

3.3. LEMMA. The morphism $\varepsilon_{P,i}$ defined above is an order isomorphism, for $i \in \omega$.

PROOF. If $p_1, p_2 \in P_{i+1}^* = P_{i+1} - \gamma(P_{i+2})$ and $p_1 \neq p_2$, then $p_1 \not\bowtie^+ p_2$ and by the Pencil linearity (cf. page 364) $\gamma(p_1) \neq \gamma(p_2)$. Moreover, if $p \in P_i^* = P_i - \gamma(P_{i+1})$, then $\varepsilon_{P,i}(p^\bullet) = p \neq \gamma(p_1) = \varepsilon_{P,i}(p_1^\circ)$. Thus $\varepsilon_{P,i}$ is one-to-one. It is onto as well, since, by Proposition 5.19.3 of [Zawadowski, 2023], $P_i = (P_i - \gamma(P_{i+1})) \cup (\gamma(P_{i+1} - \gamma(P_{i+2}))$.

It remains to show that $\varepsilon_{P,i}$ preserves and reflects order. We have that

$$p^\bullet <^{co} p_1^\circ \text{ iff } p <^+ \gamma(p_1) \text{ iff } \varepsilon_{P,i}(p^\bullet) <^+ \varepsilon_{P,i}(p_1^\circ).$$

Moreover, using Lemma 5.9.6 of [Zawadowski, 2023] for the middle equivalence, we have

$$p_1^\circ <^{co} p_2^\circ \text{ iff } p_1 <^- p_2 \text{ iff } \gamma(p_1) <^+ \gamma(p_2) \text{ iff } \varepsilon_{P,i}(p_1^\circ) <^+ \varepsilon_{P,i}(p_2^\circ).$$

The other two cases are obvious. ■

Let $f : P \rightarrow Q$ be a ι -epimorphism of positive opetopes. We define a map of positive zoom complexes

$$f^* = \{f_i^*\}_{i \in \omega} : (Q^*, \pi) \longrightarrow (P^*, \pi).$$

For $i \in \omega$, the map $f_i^* : Q_i^* \rightarrow P_i^*$ is defined as follows. Let $q \in Q_i^* = Q_i - \gamma(Q_{i+1})$, $p \in P_i^* = P_i - \gamma(P_{i+1})$, $0 \leq i$. Then

$$f_i^*(q) = p$$

iff p is the unique element of $P_i - \gamma(P_{i+1})$ so that $f_i(p) = q$. Such an element exists since f_i is epi. The uniqueness p follows from the fact that the fibers of f_i are linearly ordered, cf. Corollary 5.11. In other words, if we restrict the domain and codomain of the function $f_i : P_i \rightarrow Q_i$ to $f_{i, \lceil P_i^*} : P_i^* \rightarrow Q_i^*$ we get a partial function that is one-to-one and onto. Thus it has an inverse function that we call f_i^* .

We can also describe the above map more conceptually using the construction from Section 2.2, as follows. We have a monotone onto map $f_i : (P_i - \ker(f), \leq^+) \rightarrow (Q_i, \leq^+)$ that reflects \bowtie , by Corollary 5.11. As $P_i \cap \ker(f)$ is a proper downward closed subset of P_i , f_i extends to an all infs preserving map $f_{i,*} : P_{i,\perp} \rightarrow Q_{i,\perp}$ sending $P_i \cap \ker(f)$ to \perp . Thus it has a left adjoint $\bar{f}_i : Q_{i,\perp} \rightarrow P_{i,\perp}$. Clearly, \bar{f}_i , defined this way, preserves sups. For $q \in Q_i$, $\bar{f}_i(q)$ picks the $<^+$ -least element in the fiber of the function f_i over element q . We have

3.4. LEMMA. *With the notation as above, for $i \in \omega$, the following diagram*

$$\begin{array}{ccc} (P_{i+1}^* \triangleleft P_i^*)_{\perp} & \xleftarrow{(f_{i+1}^* \triangleleft f_i^*)_{\perp}} & (Q_{i+1}^* \triangleleft Q_i^*)_{\perp} \\ (\varepsilon_{P,i})_{\perp} \downarrow & & \downarrow (\varepsilon_{Q,i})_{\perp} \\ (P_i)_{\perp} & \xleftarrow{\bar{f}_i} & (Q_i)_{\perp} \end{array}$$

is well defined and commutes. In particular, $f_{i+1}^ \triangleleft f_i^*$ preserves binary sups.*

PROOF. First we shall verify that, for $i \in \omega$, (f_{i+1}^*, f_i^*) induce a monotone function

$$(P_{i+1}^* \triangleleft P_i^*) \xleftarrow{(f_{i+1}^* \triangleleft f_i^*)} (Q_{i+1}^* \triangleleft Q_i^*)$$

that is, for $q \in Q_{i+1}^*$

$$f_i^*(\pi_i(q)) \subseteq \pi_i(f_{i+1}^*(q)).$$

Let $q' \in \pi_i(q) \subseteq Q_i^*$, $f_{i+1}^*(q) = p \in P_{i+1}^*$ and $f_i^*(q') = p' \in P_i^*$. We need to show that $p' <^+ \gamma(p)$.

As $q' <^+ \gamma(q)$ there is an upper Q_{i+1}^* -path q_1, q_2, \dots, q_k from q' to $\gamma(q)$, i.e. $q_j \in Q_{i+1}^*$ for $j = 1, \dots, k$, $q' \in \delta(q)$, $q = q_k$, $\gamma(q_j) \in \delta(q_{j+1})$ for $j = 1, \dots, k-1$.

Let p_1, p_2, \dots, p_k be the image of this path under f_{i+1}^* . This sequence of faces in P_i^* is not necessarily an upper path but we have that $f_{i+1}(\gamma(p_j)) \in f_{i+1}(\delta(p_{j+1}))$, for $j = 1, \dots, k-1$. Let $p'_j \in \delta(p_j)$ be such face that $f_{i+1}(\gamma(p_j)) = f_{i+1}(p'_j)$, for $j = 2, \dots, k$, and $p'_1 = p'$. Fix $2 \leq j \leq k$. As fibers of f are linearly ordered we have $\gamma(p_{j-1}) \bowtie p'_j$. If we were to have $p'_j <^+ \gamma(p_{j-1})$ then we would have an upper P_{i+1}^* -path s_1, \dots, s_l from p'_j to $\gamma(p_{j-1})$ with $s_1 = p_j$ and $s_l = p_{j-1}$. In particular, $p_j <^- p_{j-1}$. This is impossible since $f_{i+1}(p_{j-1}) = q_{j-1} <^- q_j = f_{i+1}(p_j)$ and f preserves the order $<^-$ on the faces that are not in the kernel. Thus $\gamma(p_{j-1}) \leq^+ p'_j$. But this means that we have a \leq^+ -monotone sequence

$$p' = p'_1 <^+ \gamma(p_1) \leq^+ p'_2 <^+ \gamma(p_2) \leq^+ \dots \leq^+ p'_k <^+ \gamma(p_k) = \gamma(p).$$

Hence $p' <^+ \gamma(p)$ as required.

Next we show that the square commutes. Let $q \in Q_i^*$, $p \in P_i^*$ so that $q^\bullet \in Q_{i+1}^* \triangleleft Q_i^*$ and let $f_{i+1}^* \triangleleft f_i^*(q^\bullet) = p^\bullet \in P_{i+1}^* \triangleleft P_i^*$. Thus $f_{i,*}(p) = q \in Q_i^*$ and, as p is a leaf, $\bar{f}_i(q) = p$. Hence the square commutes in this case.

Now, let $q \in Q_{i+1}^*$, $p \in P_{i+1}^*$, so that $q^\circ \in Q_{i+1}^* \triangleleft Q_i^*$ and $f_{i+1}^* \triangleleft f_i^*(q^\circ) = p^\circ \in P_{i+1}^* \triangleleft P_i^*$. Thus $f_{i+1,*}(p) = q$. So p is indeed in the fiber of $f_{i+1,*}$ over q and $p \notin \ker(f)$. We need to show that $\gamma(p)$ is $<^+$ -minimal in the fiber of f_i over $\gamma(q)$. Suppose to the contrary that there is $p' \in P_i$ such that $p' <^+ \gamma(p)$ and yet $f_{i,*}(p') = \gamma(q)$. Then, as $p \in P_{i+1} - \gamma(P_{i+2})$ and $p' <^+ \gamma(p)$, there is a $p'' \in \delta(p)$ such that $p' \leq^+ p''$. We have

$$\gamma(q) = f_{i,*}(p') \leq^+ f_{i,*}(p'') \leq^+ f_{i,*}(\gamma(p)) = \gamma(q).$$

But then $f_{i+1,*}(p'') = q = f_{i+1,*}(\gamma(p))$ and hence $p \in \ker(f)$, contrary to the supposition. Thus the diagram commutes in this case, as well.

Finally, since \bar{f}_i is a left adjoint it preserves sups, and, by the above, $(f_{i+1}^* \triangleleft f_i^*)_\perp$ preserves sups as well. But then $(f_{i+1}^* \triangleleft f_i^*)$ preserves non-empty sups, as required. ■

As a corollary of Lemma 3.4, we get

3.5. PROPOSITION. *Let $f : (P, \gamma, \delta) \rightarrow (Q, \gamma, \delta)$ be an epi ι -map of positive opetopes. Then the family of maps $f^* = \{f_i^*\}_{i \in \omega} : (Q^*, \pi) \rightarrow (P^*, \pi)$ defined above is a morphism of positive zoom complexes.*

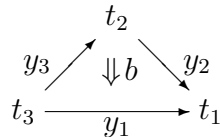
PROOF. From Lemma 3.4 follows that

$$(f_{i+1}, f_i) : (Q_{i+1}^*, \pi_i, Q_i^*) \longrightarrow (P_{i+1}^*, \pi_i, P_i^*)$$

is a constellation morphism for and $i \in \omega$. ■

Examples. We explain below in more detail the correspondence sketched in the introduction between the ι -epimorphism $f : O_3 \rightarrow O_2$ and its dual positive zoom complex embedding.

1. The dual of the opetope $Q = O_2$ of dimension 2



is the positive zoom complex $Q^* = T_2$ with nodes

$$Q_0^* = \{t_3\},$$

$$Q_1^* = \{y_2 > y_3\},$$

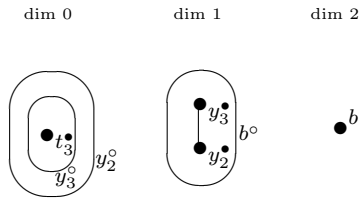
$$Q_2^* = \{b\},$$

and the constellation maps

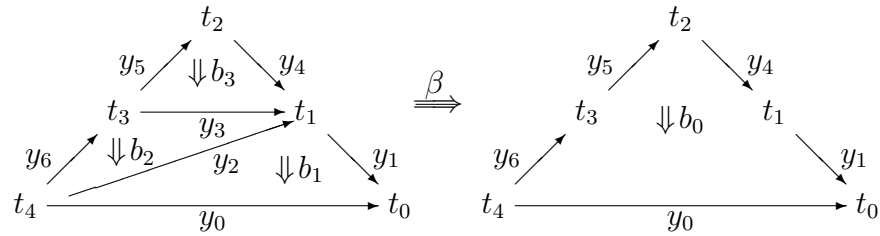
$$\pi_0(y_2) = \pi_0(y_3) = \{t_3\},$$

$$\pi_1(b) = \{y_2, y_3\}.$$

Such a positive zoom complex Q^* can be drawn as follows:



2. The dual of the opetope $P = O_3$ of dimension 3



is a positive zoom complex P^* with nodes

$$P_0^* = \{t_4\},$$

$$P_1^* = \{y_1 > y_4 > y_5 > y_6\},$$

$$P_2^* = \{b_1 > b_2 > b_3\},$$

$$P_3^* = \{\beta\},$$

and the constellation maps

$$\pi_0(y_1) = \pi_0(y_4) = \pi_0(y_5) = \pi_0(y_6) = \{t_4\},$$

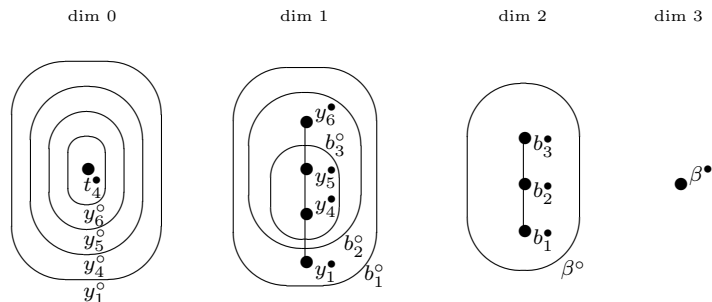
$$\pi_1(b_1) = \{y_1, y_4, y_5, y_6\},$$

$$\pi_1(b_2) = \{y_4, y_5, y_6\},$$

$$\pi_1(b_3) = \{y_4, y_5\},$$

$$\pi_2(\beta) = \{b_1, b_2, b_3\}.$$

Such a positive zoom complex P^* can be drawn as follows:



3. Recall the ι -epimorphism $f : O_3 \rightarrow O_2$ from the Introduction. It is given by

$$f_0(t_0) = f_1(y_1) = f_0(t_1) = t_1,$$

$$f_0(t_2) = f_1(y_5) = f_0(t_3) = t_2,$$

$$f_0(t_4) = t_3,$$

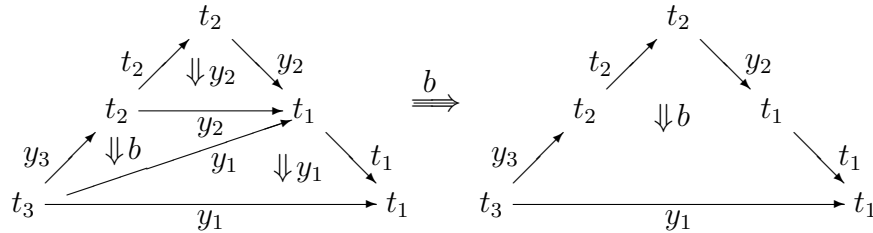
$$f_1(y_2) = f_2(b_1) = f_1(y_0) = y_1,$$

$$f_1(y_4) = f_2(b_3) = f_1(y_3) = y_2,$$

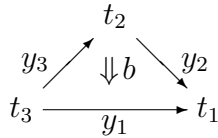
$$f_1(y_6) = y_3,$$

$$f_2(b_2) = f_3(\beta) = f_2(b_0) = b,$$

and it can be presented graphicly by naming faces of O_3



by the faces of O_2



they are sent to. The dual of this morphism is a morphism of positive zoom complexes $f^* : Q^* \rightarrow P^*$ such that

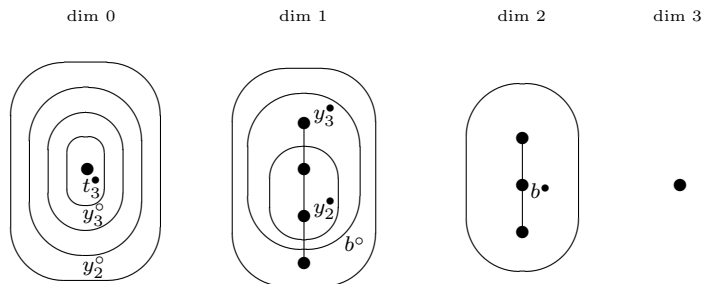
$$f_0^*(t_3) = t_4,$$

$$f_1^*(y_2) = y_4,$$

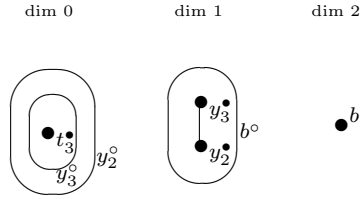
$$f_1^*(y_3) = y_6,$$

$$f_2^*(b) = b_2.$$

Again this dual morphism can be presented graphicly by naming faces of O_3^*



by the names of the nodes of the positive zoom complex O_2^*



that are sent to those faces.

3.6. FROM POSITIVE ZOOM COMPLEXES TO POSITIVE OPETOPES. In this section we define a functor

$$\mathbf{pOpe}_{\iota, \text{epi}} \xleftarrow{(-)^*} \mathbf{pZoom}^{op}$$

Let $(S, \sigma) = \{(S_i, \sigma_i)\}_{i \in \omega}$ be a positive zoom complex. We define the positive opetope $\{(S_i^*, \gamma^i, \delta^i)\}_{i \in \omega}$, as follows. We put

$$(S_i^*, <^{co}) = (S_{i+1} \triangleleft_{\sigma_i} S_i, <^{co}),$$

i.e. the set S_i^* of i -dimensional faces of the positive opetope S^* is the universe of the i -th constellation poset of (S, σ) . Later we shall prove that the constellation order $<^{co}$ agree with the upper order $<^+$, defined using the operations γ and δ below.

Let $i \in \omega$. The i -th codomain operation

$$\gamma : S_{i+1}^* \longrightarrow S_i^*$$

is defined, for $p \in S_{i+1}^*$, as follows

$$\gamma(p) = \sup^{S_i^*} (\text{lvs}^{S_{i+1}^*}(p)^\circ).$$

In words

1. if the face p is a vertex, i.e. $p = t^\bullet$ for some $t \in S_{i+1}$, then its codomain $\gamma(t^\bullet) = t^\circ$, i.e. it is ‘the same’ t but considered as a circle one dimension below;
2. if the face p is a circle, i.e. $p = t^\circ$ for some $t \in S_{i+2}$, then its codomain $\gamma(p)$ is the circle s° whose is the supremum in S_i^* of the set $\text{lvs}^{S_{i+1}^*}(t^\circ)^\circ$ in S_i^* of the leaves/vertices $\text{lvs}^{S_{i+1}^*}(t^\circ)$ in S_{i+1}^* over t° considered as set of circles one dimension below, i.e. in S_i^* .

The i -th domain operation

$$\delta : S_{i+1}^* \longrightarrow \mathcal{P}_{\neq \emptyset}(S_i^*)$$

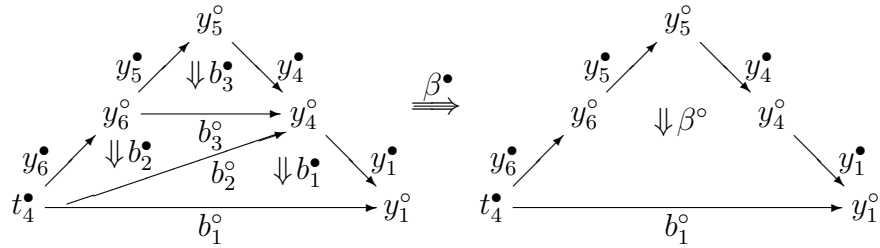
is defined, for $p \in S_{i+1}^*$, as follows

$$\delta(p) = \text{cvr}^{S_i^*} (\text{lvs}^{S_{i+1}^*}(p)^\circ).$$

In words

1. if the face p is a vertex, i.e. $p = t^\bullet$ for some $t \in S_{i+1}$, then its domain $\delta(t^\bullet) = \text{cvr}^{S_i^*}(t^\circ)$, i.e. it is the cover of ‘the same’ t but considered as a circle one dimension below;
2. if the face p is a circle, i.e. $p = t^\circ$ for some $t \in S_{i+2}$, then its domain $\delta(t^\circ)$ is the sum of δ ’s applied to the leaves/vertices over t° in S_{i+1}^* considered as circles one dimension below in S_i^* minus these leaves considered as circles.

Examples. With the notation as in previous subsection, the double dual P^{**} of the opetope $P = O_3$ is



Note that $P_i^{**} = P_{i+1}^* \triangleleft P_i^*$ with $P_i^* = P_i - \gamma(P_{i+1})$, for $i \geq 0$.

3.7. LEMMA. *Let (S, σ) be a positive zoom complex. Then the face structure $(S, \sigma)^* = (S^*, \gamma, \delta)$ defined above is a positive opetope.*

PROOF. Let (S^*, γ, δ) a face structure as defined above. We shall check that it satisfies the axioms of positive opetopes.

Globularity. We shall use Lemma 2.3.

Fix $s \in S_{i+2}^*$, for some $i \geq 0$. Then $\text{lvs}^{S_{i+2}^*}(s)^\circ$ is a convex subtree of S_{i+1}^* not containing leaves. Thus, by Lemma 2.3.1, the family of sets

$$\{\text{lvs}^{S_{i+1}^*}(r)\}_{r \in \text{cvr}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ)}$$

is a partition of the set

$$\text{lvs}^{S_{i+1}^*}(\text{sup}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ)).$$

If $r = r^\bullet \in \text{cvr}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ)$, for some $r' \in S_{i+1}$, then $\text{lvs}^{S_{i+1}^*}(r)^\circ = r'^\circ$. If $r = r'^\circ \in \text{cvr}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ)$, for some $r' \in S_{i+2}$, then $\text{lvs}^{S_{i+1}^*}(r)^\circ = \sigma_{i+1}(r)$. Thus in any case $\text{lvs}^{S_{i+1}^*}(r)^\circ$ is a convex subtree, and hence the partition, give rise to the partition of a convex subtree

$$\text{lvs}^{S_{i+1}^*}(\text{sup}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ))^\circ,$$

into a family of convex subtrees

$$\{\text{lvs}^{S_{i+1}^*}(r)\}_{r \in \text{cvr}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ)}^\circ$$

of the tree S_i^* .

Then, using Lemma 2.3.2, we get

$$\gamma\gamma(s) = \text{sup}^{S_i^*}(\text{lvs}^{S_{i+1}^*}(\text{sup}^{S_{i+1}^*}(\text{lvs}^{S_{i+2}^*}(s)^\circ))^\circ)$$

$$\begin{aligned}
 &= \bigcup_{r \in \text{cvr}^{S^*_{i+1}}(\text{lvs}^{S^*_{i+2}}(s)^\circ)} \text{sup}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r)^\circ) - \bigcup_{r \in \text{cvr}^{S^*_{i+1}}(\text{lvs}^{S^*_{i+2}}(s)^\circ)} \text{cvr}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r)^\circ) \\
 &= \gamma\delta(s) - \delta\delta(s),
 \end{aligned}$$

and

$$\begin{aligned}
 &\delta\gamma(s) = \text{cvr}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(\text{sup}^{S^*_{i+1}}(\text{lvs}^{S^*_{i+2}}(s)^\circ)^\circ)) \\
 &= \bigcup_{r \in \text{cvr}^{S^*_{i+1}}(\text{lvs}^{S^*_{i+2}}(s)^\circ)} \text{cvr}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r)^\circ) - \bigcup_{r \in \text{cvr}^{S^*_{i+1}}(\text{lvs}^{S^*_{i+2}}(s)^\circ)} \text{sup}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r)^\circ) \\
 &= \delta\delta(s) - \gamma\delta(s),
 \end{aligned}$$

as required.

Strictness.

We shall show that the transitive relation $<^+$, defined using γ 's and δ 's, coincides with the constellation order $<^{co}$.

Let $s, s'^\circ \in S_{i+1} \triangleleft S_i$, for some $0 \leq i \leq \dim(S)$. Then $\gamma(s'^\bullet) = s'^\circ$. Moreover, $s \prec^{co} s'^\circ$ iff $s \in \text{cvr}^{S^*_i}(s'^\circ) = \delta(s'^\bullet)$. The latter condition means that $s <^+ s'^\circ$. Thus $\prec^{co} \subseteq <^+$.

It remains to show that $<^+ \subseteq \prec^{co}$. Assume $s, s' \in S_{i+1} \triangleleft S_i$ and that there is $r \in S_{i+2} \triangleleft S_{i+1}$ such that $s \in \delta(r)$ and $\gamma(r) = s'$. We shall show that $s <^{co} s'$.

If $r = r'^\bullet$, for some $r' \in S_{i+1}$, then $s' = r'^\circ$ and $s \in \text{cvr}^{S^*_i}(r'^\circ)$ so $s \prec^{co} s'$ indeed.

Now assume that $r = r'^\circ$, for some $r' \in S_{i+2}$. Let $s = s_0 \in \delta(r'^\circ) = \text{cvr}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r'^\circ)^\circ)$. Let s_1 be the $<^{co}$ -successor of s_0 , i.e. $s_0 \prec^{co} s_1$. Since $\text{lvs}^{S^*_{i+1}}(r'^\circ)$ is a convex tree, there is a path s_1, \dots, s_k in $\text{lvs}^{S^*_{i+1}}(r'^\circ)^\circ$ such that $s_i \prec^{co} s_{i+1}$ and

$$s_k = \text{sup}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(r'^\circ)^\circ) = \gamma(r'^\circ) = s'.$$

Thus $s = s_0 <^{co} s_k = s'$, as required.

Disjointness.

Let $s, t \in S^*_{i+1}$. If $s <^+ t$, then $\text{lvs}^{S^*_{i+1}}(s) \subseteq \text{lvs}^{S^*_{i+1}}(t)$. On the other hand if $s = s_0, \dots, s_k = t$ is a lower path in S^*_{i+1} , i.e.,

$$\gamma(s_i) = \text{sup}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(s_i)^\circ) \in \text{cvr}^{S^*_i}(\text{lvs}^{S^*_{i+1}}(s_{i+1})^\circ) = \delta(s_{i+1}),$$

for $i = 0, \dots, k - 1$. In other words, $\gamma(s_i)$, the largest element of $\text{lvs}^{S^*_{i+1}}(s_i)^\circ$ is smaller than the least element in $\text{lvs}^{S^*_{i+1}}(s_{i+1})^\circ$ comparable with $\gamma(s_i)$. Thus the elements of the sets $\{\text{lvs}^{S^*_{i+1}}(s_i)^\circ\}_{i=1, \dots, k}$ are pairwise disjoint. In particular the sets $\text{lvs}^{S^*_{i+1}}(s)$ and $\text{lvs}^{S^*_{i+1}}(t)$ are disjoint whenever $s \bowtie^- t$. Thus the orders $<^-$ and $<^+$ are disjoint, as required.

Pencil linearity.

Let $s, t \in S^*_i$, for some $0 \leq i \leq \dim(S)$.

Assume that $s \neq t$ and $\gamma(s) = \gamma(t)$. Then s and t cannot be leaves at the same time. If s is a leaf, then $s <^{co} t$ and hence $s <^+ t$, by the above. If both s and t are inner nodes, then

$$\sigma_i(s)^\circ \ni \sup^{S_{i-1}^*}(\text{lvs}^{S_i^*}(s)^\circ) = \sup^{S_{i-1}^*}(\text{lvs}^{S_i^*}(t)^\circ) \in \sigma_i(t)^\circ.$$

Thus $\sigma_i(s) \cap \sigma_i(t) \neq \emptyset$, and, as σ_i is a constellation, we have $s \bowtie^+ t$.

Now assume that there is $r \in \delta(s) \cap \delta(t)$. Let s_1° be the successor of r , i.e. $r <^{co} s_1^\circ$. Hence $s_1^\circ \in \text{lvs}^{S_i^*}(s)^\circ \cap \text{lvs}^{S_i^*}(t)^\circ$ and hence

$$s_1^\bullet \in \text{lvs}^{S_i^*}(s) \cap \text{lvs}^{S_i^*}(t).$$

If s and t were leaves, then we would have $s = t$.

If s is a leaf and t is an inner node, then $s \in \text{lvs}^{S_i^*}(t)$ and hence $s <^+ t$.

If both s and t are inner nodes, then

$$s_1^\bullet \in \text{lvs}^{S_i^*}(s) \cap \text{lvs}^{S_i^*}(t) = \sigma_{i+1}(s)^\bullet \cap \sigma_{i+1}(t)^\bullet,$$

and as σ_{i+1} is a constellation, $s \bowtie^+ t$. ■

Let $f : (S, \sigma) \rightarrow (T, \tau)$ be a map of positive zoom complexes. It gives rise to maps of faces, for $i \in \omega$,

$$\vec{f}_i = f_{i+1} \triangleleft f_i : S_i^* = S_{i+1} \triangleleft S_i \longrightarrow T_i^* = T_{i+1} \triangleleft T_i,$$

that, by definition, preserve binary sups. Note that the maps \vec{f}_i 's do not preserve the domains or codomains just defined above, in general. These maps induce the ι -epimorphism of positive opetopes $f^* : T^* \rightarrow S^*$, i.e., the maps $f_i^* : T_i^* \rightarrow S_{\leq i}^*$, for $i \in \omega$, as follows. Let $t \in T_i^*$ and $s \in S_j^*$, with $0 \leq j \leq i \leq \dim(T)$. Then

$$f_i^*(t) = s$$

iff

1. j is the maximal number such that there is $s' \in S_j^*$ for which

$$\vec{f}_j(s') \leq^{co} \delta\gamma^{(j+1)}(t);$$

2. and s is the \leq^{co} -maximal $s' \in S_j^*$ satisfying the above inequality.

Note that such an s as above exists since $f_0 : S_0 = \{s_0\} \rightarrow T_0 = \{t_0\}$ is a morphism of singletons, $S_0^* = S_1 \triangleleft S_0$ and $T_0^* = T_1 \triangleleft T_0$ is a linear order with the least elements s_0^\bullet, t_0^\bullet , respectively. So we always have $\vec{f}_0(s_0) = t_0 \leq \delta\gamma^{(1)}(t)$ for any $t \in T_i, i \geq 0$.

3.8. LEMMA. *Let $f : (S, \sigma) \rightarrow (T, \tau)$ be a morphism of positive zoom complexes. Then the set of maps $f^* = \{f_i^*\}_{i \in \omega} : (T, \tau)^* \rightarrow (S, \sigma)^*$ is a ι -epimorphism of positive opetopes.*

PROOF. Let us fix a morphism of positive zoom complexes $f : (S, \sigma) \rightarrow (T, \tau)$, $i \in \omega$, $s \in S_i^* = S_{i+1} \triangleleft S_i$ and $t = \vec{f}_i(s)$. Then $\vec{f}_i(s) \leq^{co} t$ and, as \vec{f}_i is one-to-one, it is the largest such s . Thus $f_i^*(t) = s$. Since s was arbitrary, f_i^* is onto, for any $i \in \omega$ and hence f^* epi.

For preservation of both codomains and domains by f^* , we fix $i > 0$ and $t \in T_i^*$ and we consider three cases:

1. $f_i^*(t) \in S_i^*$;
2. $f_i^*(t) \in S_{i-1}^*$;
3. $f_i^*(t) \in S_j^*$, for some $j < i - 1$.

Preservation of codomains γ .

Case $\gamma.1$: $f_i^*(t) = s \in S_i^*$.

First we shall show that $\vec{f}_{i-1}(\gamma(s)) \leq^{co} \gamma(t)$. Since \vec{f}_i 's are monotone and preserve leaves, we have

$$\vec{f}_i(\text{lvs}^{S_i^*}(s)) \subseteq \text{lvs}^{S_i^*}(\vec{f}_i(s)) \subseteq \text{lvs}^{S_i^*}(t).$$

Using the above and the fact that \vec{f}_i 's preserve sups, we have

$$\begin{aligned} \vec{f}_{i-1}(\gamma(s)) &= \vec{f}_{i-1}(\text{sup}^{S_{i-1}^*}(\text{lvs}^{S_i^*}(s)^\circ)) \\ &= \text{sup}^{S_{i-1}^*}(\vec{f}_{i-1}(\text{lvs}^{S_i^*}(s)^\circ)) \leq^{co} \text{sup}^{S_{i-1}^*}(\text{lvs}^{S_i^*}(t)^\circ) = \gamma(t). \end{aligned}$$

Now, contrary to the claim we want to prove, we assume that there is $s_1^\circ \in S_{i-1}^*$ such that $\gamma(s) <^{co} s_1$ and

$$\vec{f}_{i-1}(\gamma(s)) <^{co} \vec{f}_{i-1}(s_1^\circ) \leq^{co} \gamma(t) \in \text{lvs}^{S_i^*}(t)^\circ \subseteq T_{i-1}^*.$$

Thus $\vec{f}_i(s_1^\circ) \in T_i^* - \vec{f}_i(\text{lvs}^{S_i^*}(s)^\circ)$. As $\vec{f}_i(s) \leq^{co} t$, we have $\vec{f}_i(\text{lvs}^{S_i^*}(s)) \subseteq \text{lvs}^{S_i^*}(t)$. Hence $\vec{f}_{i-1}(\gamma(s)) \in \text{lvs}^{S_i^*}(t)^\circ$. Since $\text{lvs}^{S_i^*}(t)^\circ$ is a convex subtree, we have

$$\vec{f}_{i-1}(s_1^\circ) \in \text{lvs}^{S_i^*}(t)^\circ.$$

As $\vec{f}_i(s_1^\circ)^\circ = \vec{f}_{i-1}(s_1^\circ)$, we have $\vec{f}_i(s_1^\circ) \leq^{co} t$. Since we also have $s_1^\circ \notin \text{lvs}^{S_i^*}(s)$, we get that

$$s < \text{sup}^{S_i^*}(\{s_1^\circ\} \cup \text{lvs}^{S_i^*}(s)) = s_2^\circ$$

and

$$\begin{aligned} \vec{f}_i(s) &<^{co} \vec{f}_i(s_2^\circ) = \vec{f}_i(\text{sup}^{S_i^*}(\{s_1^\circ\} \cup \text{lvs}^{S_i^*}(s))) \\ &= \text{sup}^{S_i^*}(\{\vec{f}_i(s_1^\circ)\} \cup \vec{f}_i(\text{lvs}^{S_i^*}(s))) \leq^{co} t. \end{aligned}$$

This is a contradiction with the fact that $f_i^*(t) = s$. This ends the proof of Case $\gamma.1$.

Case $\gamma.2$: $f_i^*(t) = s_1 \in S_{i-1}^*$.

Thus we have a $t_1 \in \delta(t)$ such that

$$\vec{f}_{i-1}(s_1) \leq^{co} t_1 <^{co} \gamma(t).$$

We need to show that s_1 is the largest such an element of S_{i-1}^* that $\vec{f}_{i-1}(s_1) \leq^{co} \gamma(t)$. Suppose to the contrary that there is $s_2^\circ \in S_{i-1}^*$ such that $s_1 <^{co} s_2^\circ$ and

$$\vec{f}_{i-1}(s_1) <^{co} \vec{f}_{i-1}(s_2^\circ) \leq^{co} \gamma(t).$$

We have $\vec{f}_{i-1}(s_1) \leq^{co} t_1$ and $\vec{f}_{i-1}(s_1) <^{co} \vec{f}_{i-1}(s_2^\circ)$, and, as we cannot have $\vec{f}_{i-1}(s_2^\circ) \leq^{co} t_1$, we have

$$\vec{f}_{i-1}(s_1) \leq^{co} t_1 <^{co} \vec{f}_{i-1}(s_2^\circ) \leq^{co} \gamma(t).$$

Since $\text{lvs}^{S_i^*}(t)^\circ$ is a convex subtree of T_{i-1}^* , it follows that $f_{i-1}^*(s_2^\circ) \in \text{lvs}^{S_i^*}(t)^\circ$. Thus $f_i^*(s_2^\circ) \in \text{lvs}^{S_i^*}(t)$, i.e. $f_i^*(s_2^\circ) \leq^{co} t$. Hence $f_i^*(t) \in S_i^*$, contrary to the supposition. This ends the proof of Case $\gamma.2$.

Case $\gamma.3$: $f_i^*(t) = s_1 \in S_j^*$, for some $j < i - 1$.

Suppose there is $s_2 \in S_{i-1}^*$ such that $\vec{f}_{i-1}(s_2) \leq^{co} \gamma(t)$. Then, as $j < i - 1$, $\vec{f}_{i-1}(s_2) \not\leq^{co} t'$, for all $t' \in \delta(t)$. Thus there is $t_1 \in \delta(t)$ and $s_3 \in S_i^*$ so that $s_2 = s_3^\circ$ and

$$t_1 <^{co} \vec{f}_{i-1}(s_3^\circ) \leq^{co} \gamma(t).$$

As $\text{lvs}^{S_i^*}(t)^\circ$ is a convex subtree, we have $\vec{f}_{i-1}(s_3^\circ) \in \text{lvs}^{S_i^*}(t)^\circ$ and then $\vec{f}_i(s_3^\circ) \in \text{lvs}^{S_i^*}(t)$, i.e., $\vec{f}_i(s_3^\circ) \leq^{co} t$. This contradicts the fact that $j < i - 1$. Thus $f_{i-1}^*(\gamma(t)) = s_4 \in S_{j'}^*$ such that $j' < i - 1$. If $j' > j$, then

$$\vec{f}_{j'}(s_4) \leq^{co} \delta\gamma^{(j'+1)}(\gamma(t)) = \delta\gamma^{(j'+1)}(t)$$

and this contradicts the choice of $s_1 \in S_j^*$. If $j > j'$, then

$$\vec{f}_j(s_1) \leq^{co} \delta\gamma^{(j+1)}(t) = \delta\gamma^{(j+1)}(\gamma(t))$$

contradicting the choice of $s_4 \in S_{j'}^*$. Thus $j = j'$ and $s_1 = s_3$, as required. This ends the proof of Case $\gamma.3$.

Preservation of domains δ .

Case $\delta.1$: $f_i^*(t) = s \in S_i^*$.

We shall show that f_{i-1}^* restricts to a bijection

$$f_{i-1}^*|_{\delta(t) - \ker(f^*)} : \delta(t) - \ker(f^*) \longrightarrow \delta(s).$$

Let $t_1 \in \delta(t) - \ker(f^*)$. Thus there is $s_1 \in S_{i-1}^*$ such that $f_{i-1}^*(t_1) = s_1$ and hence $\vec{f}_{i-1}(s_1) \leq^{co} t_1$. Since f^* preserves codomains $\gamma(s) = f_{i-1}^*(\gamma(t))$.

Since $\vec{f}_{i-1}(s_1) \notin \text{lvs}^{S_i^*}(t)^\circ \supseteq \vec{f}_{i-1}(\text{lvs}^{S_i^*}(s)^\circ)$, it follows that $s_1 \notin \text{lvs}^{S_i^*}(s)^\circ$.

We shall show that $s_1 <^{co} \gamma(s)$. Suppose not. Then $\gamma(s) <^{co} s_1 \vee \gamma(s)$ and

$$\vec{f}_{i-1}(s_1 \vee \gamma(s)) = \vec{f}_{i-1}(s_1) \vee \vec{f}_{i-1}(\gamma(s)) \leq^{co} \gamma(t).$$

This means that

$$\gamma(f_i^*(t)) = \gamma(s) <^{co} s_1 \vee \gamma(s) \leq^{co} f_{i-1}^*(\gamma(t)).$$

and that the codomains are not preserved. Thus $s_1 <^{co} \gamma(s)$ indeed.

Next we show that $s_1 \in \delta(s)$. Again, we suppose that this is not the case. Then there is $s_2^\circ \in \delta(s)$ such that $s_1 <^{co} s_2^\circ$. We have

$$\vec{f}_{i-1}(s_1) <^{co} \vec{f}_{i-1}(s_2^\circ) <^{co} \vec{f}_{i-1}(\gamma(s)) \leq^{co} \gamma(t),$$

and

$$\vec{f}_{i-1}(s_1) \leq^{co} t_1 <^{co} \gamma(t).$$

As $f_{i-1}^*(t_1) = s_1$, we have

$$\vec{f}_{i-1}(s_1) <^{co} t_1 <^{co} \vec{f}_{i-1}(s_2^\circ) <^{co} \vec{f}_{i-1}(\gamma(s)) \leq^{co} \gamma(t).$$

As the set $\text{lvs}^{S_i^*}(t)^\circ$ is a convex subtree of T_{i-1}^* , we have $\vec{f}_{i-1}(s_2^\circ) \in \text{lvs}^{S_i^*}(t)^\circ$. Hence $s_2^\circ \notin \text{lvs}^{S_i^*}(s)$ and $\vec{f}_i(s_2^\circ) \in \text{lvs}^{S_i^*}(t)$. Thus we have

$$\vec{f}_i(s_2^\circ \vee s) = \vec{f}_i(s_2^\circ) \vee \vec{f}_i(s) \leq^{co} t,$$

and $s <^{co} s_2^\circ \vee s$. This contradicts the fact $f_i^*(t) = s$. Thus $s_1 \in \delta(s)$, as claimed.

So far we have shown that f_i^* restricts to a well defined function

$$f_{i-1}^* \upharpoonright_t : \delta(t) - \ker(f^*) \longrightarrow \delta(s).$$

We shall show that it is a bijection.

Let $t_1, t_2 \in \delta(t)$ and $s \in S_{i-1}^*$ and $f_i^*(t_1) = s_1 = f_i^*(t_2)$. Hence $\vec{f}_{i-1}(s_1) <^{co} t_1$ and $\vec{f}_{i-1}(s_1) <^{co} t_2$ and then $t_1 \bowtie^+ t t_2$ or $t_1 = t_2$. As $\delta(t)$ is an antichain in S_{i-1}^* , $t_1 = t_2$. Thus f_{i-1}^* is one-to-one.

To see that f_{i-1}^* is onto, let us fix an arbitrary $s_1 \in \delta(s)$. Then $s_1 \notin \text{lvs}^{S_i^*}(s)^\circ$.

We shall show that $\vec{f}_{i-1}(s_1) \notin \text{lvs}^{S_i^*}(t)^\circ$. Suppose to the contrary that $s_1 = s_3^\circ$, for some $s_3 \in S_i$ and that

$$\text{lvs}^{S_i^*}(t)^\circ \ni \vec{f}_{i-1}(s_3^\circ) \notin \vec{f}_{i-1}(\text{lvs}^{S_i^*}(s)^\circ).$$

Hence $s_3^\circ \notin \text{lvs}^{S_i^*}(s)$ and $f(s_3^\circ) \in \text{lvs}^{S_i^*}(t)$. Thus

$$\vec{f}_i(s_3^\circ \vee s) = \vec{f}_i(s_3^\circ) \vee \vec{f}_i(s) \leq^{co} t$$

and $s < s_3^\circ \vee s$. This contradicts the fact that $f_i^*(t) = s$. Thus $\vec{f}_i(s_1) \notin \text{lvs}^{S_i^*}(t)^\circ$ indeed.

There is $t_1 \in \delta(t)$ such that $\vec{f}_{i-1}(s_1) \leq^{co} t_1$. Let $s_2 \in \text{lvs}^{S_i^*}(s)^\circ$ such that $s_1 <^{co} s_2$. Then

$$\vec{f}_{i-1}(s_2) \in \vec{f}_{i-1}(\text{lvs}^{S_i^*}(s)^\circ) \subseteq \text{lvs}^{S_i^*}(t)^\circ.$$

Hence s_1 is the largest element of S_{i-1}^* such that $\vec{f}_i(s_1) \leq^{co} t$, and hence $f_i^*(t_1) = s_1$, as required. This ends the proof of Case $\delta.1$.

Case $\delta.2$: $f_i^*(t) = s_1 \in S_{i-1}^*$.

In this case we have a $t_1 \in \delta(t)$ such that $\vec{f}_{i-1}(s_1) \leq^{co} t_1$. Clearly $f_{i-1}^*(t_1) = s_1 = f_{i-1}^*(t)$. It remains to show that $\delta(t) - \{t_1\} \subseteq \ker(f^*)$. Suppose to the contrary that

there is $t_2 \in \delta(t)$, $t_2 \neq t_1$ such that $f_{i-1}^*(t_2) \in S_{i-1}^*$. Thus there is $s_2 \in S_{i-1}^*$ such that $\vec{f}_{i-1}(s_2) \leq^{co} t_2 \leq \gamma(t)$. Hence $s_1 < s_1 \vee s_2$ and

$$\vec{f}_{i-1}(s_1 \vee s_2) = \vec{f}_{i-1}(s_1) \vee \vec{f}_{i-1}(s_2) \leq^{co} \gamma(t).$$

But then

$$\gamma^{(i-1)}(f_i^*(t)) = \gamma^{(i-1)}(s_1) = s_1 <^{co} s_1 \vee s_2 \leq^{co} f_{i-1}^*(\gamma^{(i-1)}(t))$$

and this contradicts the fact that f^* preserves codomains. This ends the proof of Case $\delta.2$.

Case $\delta.3$: $f_i^*(t) = s_1 \in S_j^*$, for some $j < i - 1$.

We need to show that $\delta(t) \subseteq \ker(f^*)$. Suppose not. Then there is $t_1 \in \delta(t)$ and $s_2 \in S_{i-1}^*$ such that $\vec{f}_i(s_2) \leq^{co} t_1$. But this means that $f_i^*(t) \in S_j^*$, for some $j \geq i - 1$, contrary to the supposition. ■

For the proof of duality we need the following observations. We use the notation introduced above.

3.9. LEMMA. *Let P be a positive opetope, (P^*, π) corresponding positive zoom complex, $i \in \omega$, $p \in P_{i+1}^* = P_{i+1} - \gamma(P_{i+2})$, $p_{root} = \sup_{<^-}^{P_i^*} (\pi(\gamma(p)))^2$. Then*

1. $\gamma\gamma(p) = \gamma(p_{root});$

2. the map

$$\xi_p : (\pi(\gamma(p)), <^-) \longrightarrow (\text{lvs}^{S_i^*}(p^\circ), <^{co}),$$

such that, for $q \in \pi(\gamma(p)) \subseteq P_i - \gamma(P_{i+1})$, $\xi_p(q) = q^\circ$ is an order isomorphism.

3. In particular, $p_{root}^\bullet = \xi_p(p_{root}) = \sup^{S_i^*} (\text{lvs}^{S_{i+1}^*}(p^\circ)).$

PROOF. Straightforward. For 2. use the Path Lemma. ■

3.10. THE MAIN THEOREM. In this section we shall prove that the functors defined in previous sections are essential inverse one to the other.

3.11. THEOREM. *The functors*

$$\mathbf{pOpe}_{\iota, \text{epi}} \begin{array}{c} \xrightarrow{(-)^*} \\ \xleftarrow{(-)^*} \end{array} \mathbf{pZoom}^{op}$$

defined above, establish a dual equivalence of categories between categories of positive opetopes with ι -epimorphisms and positive zoom complexes with embeddings.

²Contrary to all the other sups considered in this paper that are taken with respect to the constellation orders, this sup is taken with respect to the lower order $<^-$.

PROOF. We shall define two natural isomorphisms η and ε .

Let (S, σ) be a positive zoom complex. Recall that

$$S_i^* = S_{i+1} \triangleleft S_i, \text{ and } S_i^{**} = (S_{i+1} \triangleleft S_i) - \gamma(S_{i+2} \triangleleft S_{i+1}).$$

For $i \in \omega$, the i -th component

$$\eta_{S,i} : S_i \longrightarrow S_i^{**}$$

of $\eta_S : (S, \sigma) \longrightarrow (S^{**}, \sigma^{**})$ is defined as

$$\eta_{S,i}(s) = s^\bullet,$$

i.e. it is a vertex in $S_{i+1} \triangleleft S_i$. Clearly $\eta_{S,i}$ is one-to-one. If $t \in S_{i+1}$ then $t^\bullet \in S_{i+2} \triangleleft S_{i+1}$ and $\gamma(t^\bullet) = t^\circ$. Thus all circles in $S_{i+1} \triangleleft S_i$ are of form $\gamma(S_{i+2} \triangleleft S_{i+1})$ and $\eta_{S,i}(s)$ is onto, as well. To see that $\eta_{S,i}$ is an order isomorphism, consider $s_1, s_2 \in S_i$. Then

$$s_1^\bullet \prec^- s_2^\bullet$$

iff

$$\gamma(s_1^\bullet) \in \delta(s_2^\bullet)$$

iff

$$s_1^\circ \in \text{cvr}^{S_{i-1}^*}(s_2^\circ)$$

iff

$$s_1^\circ \prec^{co} s_2^\circ$$

iff

$$s_1 \prec^{S_i} s_2.$$

To see that η_S is an isomorphism of positive zoom complexes, it is enough to show that, for $i \in \omega$,

$$(\eta_{S,i+1}, \eta_{S,i}) : (S_{i+1}, \sigma_i, S_i) \longrightarrow (S_{i+1}^{**}, \sigma_i^{**}, S_i^{**})$$

is an isomorphism of constellations. To this aim, it is enough to show that the square

$$\begin{array}{ccc} S_{i+1} & \xrightarrow{\sigma_i} & St(S_i) \\ \eta_{S,i+1} \downarrow & & \downarrow \eta_{S,i} \\ S_{i+1}^{**} & \xrightarrow{\sigma_i^{**}} & St(S_i^{**}) \end{array}$$

commutes, where the vertical morphism $\eta_{S,i}$ on the right is the image function induced by the function $\eta_{S,i} : S_i \rightarrow S_i^{**}$. Let $s \in S_{i+1}^*$. We have

$$\begin{aligned} \eta_{S,i}(\sigma_i(s)) &= \{t^\bullet : t \in S_i, t \in \sigma_i(s)\} \\ &= \{t^\bullet \in S_i^{**} : t^\bullet \prec^{co} s^\circ\} \\ &= \{t^\bullet \in S_i^{**} : t^\bullet \prec^+ \gamma(s^\bullet)\} \\ &= \sigma_i^{**}(s^\bullet) = \sigma_i^{**}(\eta_{S,i}(s)). \end{aligned}$$

The naturality of η is clear.

Now we shall check that ε is a natural isomorphism. Let P be a positive opetope, $i \in \omega$. By Lemma 3.3, the maps

$$\varepsilon_{P,i} : (P_{i+1}^* \triangleleft P_i^*, <^{co}) \longrightarrow (P_i, <^+)$$

defined in section 3.1 are order isomorphism. Recall that, for $p_1 \in P_i - \gamma(P_{i+1})$, $p_1^\bullet \in P_{i+1}^* \triangleleft P_i^*$, we have $\varepsilon_{P,i}(p_1^\bullet) = p_1$ and, for $p_2 \in P_{i+1} - \gamma(P_{i+2})$, $p_2^\circ \in P_{i+1}^* \triangleleft P_i^*$, we have $\varepsilon_{P,i}(p_2^\circ) = \gamma(p_2)$.

We need to show that ε_P preserves both codomains γ and domains δ . Naturality of ε is again clear.

Preservation of codomains. Let $p_1 \in P_{i+1} - \gamma(P_{i+2})$. We have

$$\varepsilon_{P,i}(\gamma(p_1^\bullet)) = \varepsilon_{P,i}(p_1^\circ) = \gamma(p_1) = \gamma(\varepsilon_{P,i+1}(p_1^\bullet)).$$

Let $p_2 \in P_{i+2} - \gamma(P_{i+3})$ and $p_{root} \in P_{i+1} - \gamma(P_{i+2})$ such that $p_{root}^\circ = \sup^{P_i^{**}}(\text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ)$. Using Lemma 3.9, we have

$$\begin{aligned} \varepsilon_{P,i}(\gamma(p_2^\circ)) &= \varepsilon_{P,i}(\sup^{P_i^{**}}(\text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ)) \\ &= \varepsilon_{P,i}(p_{root}^\circ) = \gamma(p_{root}) = \gamma\gamma(p_2) = \gamma(\varepsilon_{P,i+1}(p_2^\circ)). \end{aligned}$$

Preservation of domains. Let $p \in P_{i+1}^{**}$ and $q \in P_i^{**}$. We need to verify that

$$q \in \delta(p) \quad \text{iff} \quad \varepsilon_{P,i}(q) \in \delta(\varepsilon_{P,i+1}(p)). \quad (1)$$

We shall prove the above equivalence by cases depending on the form of p and q .

Let $p_1 \in P_{i+1} - \gamma(P_{i+2})$, $p_2 \in P_{i+2} - \gamma(P_{i+3})$, $q_1 \in P_i - \gamma(P_{i+1})$, $q_2 \in P_{i+1} - \gamma(P_{i+2})$. Then we shall consider four cases, one by one.

Case 1: $p = p_1^\bullet$, $q = q_1^\bullet$. We have

$$\begin{aligned} & q_1^\bullet \in \delta(p_1^\bullet) \\ \text{iff} & \\ & q_1^\bullet \in \text{cvr}^{P_i^{**}}(p_1^\circ) \\ \text{iff} & \\ & q_1^\bullet \prec^{co} p_1^\circ \\ \text{iff} & \\ & q_1 \in \delta(p_1) \\ \text{iff} & \\ & \varepsilon_{P,i}(q_1^\bullet) \in \delta(\varepsilon_{P,i+1}(p_1^\bullet)). \end{aligned}$$

Case 2: $p = p_1^\bullet$, $q = q_2^\circ$.

$$\begin{aligned} & q_2^\circ \in \delta(p_1^\bullet) \\ \text{iff} & \\ & q_2^\circ \prec^{co} p_1^\circ \end{aligned}$$

iff

$$q_2 \prec^- p_1$$

iff

$$\gamma(q_2) \in \delta(p_1)$$

iff

$$\varepsilon_{P,i}(q_2^\circ) \in \delta(\varepsilon_{P,i+1}(p_1^\bullet)).$$

Case 3: $p = p_2^\circ, q = q_1^\bullet$.

$$q_1^\bullet \in \delta(p_2^\circ)$$

iff

$$q_1^\bullet \in \text{cvr}^{P_i^{**}}(\text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ)$$

iff

$q_1^\bullet \notin \text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ$ and there is $q_3 \in P_i - \gamma(P_{i+1})$ such that $q_1^\bullet \prec^{co} q_3^\circ$ and $q_3^\circ \in \text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ$

iff

there is $q_3 \in P_i - \gamma(P_{i+1})$ such that $q_1 \in \delta(q_3)$ and $q_3 \leq^+ \gamma(p_2)$

iff (Path Lemma)

$$q_1 \in \delta\gamma(p_2)$$

iff

$$\varepsilon_{P,i}(q_1^\bullet) \in \delta(\varepsilon_{P,i+1}(p_2^\circ)).$$

Case 4: $p = p_2^\circ, q = q_2^\circ$.

$$q_2^\circ \in \delta(p_2^\circ)$$

iff

$$q_2^\circ \in \text{cvr}^{P_i^{**}}(\text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ)$$

iff

$q_2^\circ \notin \text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ$ and there is $q_3 \in P_{i+1} - \gamma(P_{i+2})$ such that $q_2^\circ \prec^{co} q_3^\circ$ and $q_3^\circ \in \text{lvs}^{P_{i+1}^{**}}(p_2^\circ)^\circ$

iff

$q_2 \not\leq^+ \gamma(p_2)$ and there is $q_3 \in P_{i+1} - \gamma(P_{i+2})$ such that $q_2 \prec^- q_3$ and $q_3 \leq^+ \gamma(p_2)$

iff (Path Lemma)

$$\gamma(q_2) \in \delta\gamma(p_2)$$

iff

$$\varepsilon_{P,i}(q_2^\circ) \in \delta(\varepsilon_{P,i+1}(p_2^\circ)).$$

■

4. Wide zoom complexes and positive opetopic cardinals

In this section we extend the above duality to the wide zoom complexes with embeddings on one side and positive opetopic cardinals with ι -epimorphisms on the other.

4.1. WIDE CONSTELLATIONS. A *forest* is a finite poset (P, \leq) which is a disjoint sum of trees. A morphism of forests $f : (P, \leq) \rightarrow (Q, \leq)$ is a one-to-one function that preserves and reflects the order. $\mathbf{St}(P)$ is the poset of convex sub-trees of the forest P .

A *wide constellation* is a triple (T', τ, T) where T, T' are forests and τ is a monotone function

$$\tau : T' \rightarrow \mathbf{St}(T)$$

such that if $t, t' \in T'$ and $\sigma(t) \cap \sigma(t') \neq \emptyset$, then $t \bowtie t'$.

Let $\tau : T' \rightarrow \mathbf{St}(T)$ be a constellation. Then the *constellation forest* $T' \triangleleft_\tau T$, is the extension of T' by T along τ , i.e., it is the forest T' with nodes of T added as leaves so that if $x \in T$ and $y \in T'$, then $x <^{co} y$ in $T' \triangleleft_\tau T$ iff $x \in \tau(y)$. The order $<^{co}$ is called the *constellation order of the wide constellation* $\tau : T' \rightarrow \mathbf{St}(T)$, or just the *constellation order* if the constellation is understood. Any pair of maps of forests $f : S \rightarrow T, f' : S' \rightarrow T'$ such that $f(\sigma(s)) \subseteq \tau(f'(s))$ for $s \in S$, induces a monotone map $f' \triangleleft f : S' \triangleleft_\sigma S \rightarrow T' \triangleleft_\tau T$. Such a pair

$$(f', f) : (S', \sigma, S) \rightarrow (T', \tau, T)$$

is a *morphism of constellations* iff the induced map of constellation forests $f' \triangleleft f$ preserves (existing) binary sups.

4.2. WIDE ZOOM COMPLEXES AND DUALITY. A *wide zoom complex* (T, τ) is a sequence of wide constellations:

$$\tau_0 : T_1 \rightarrow \mathbf{St}(T_0),$$

$$\tau_1 : T_2 \rightarrow \mathbf{St}(T_1),$$

...

$$\tau_i : T_{i+1} \rightarrow \mathbf{St}(T_i),$$

...

$i \in \omega$, with almost all sets T_i empty. The dimension (T, τ) is n iff T_n is the last non-empty set. We write $dim(T)$ for dimension of the wide zoom complex (T, τ) . T_0 is required to be a singleton.

A *morphism of wide zoom complexes* $f : (S, \sigma) \rightarrow (T, \tau)$ is a family of forest embeddings $f_i : S_i \rightarrow T_i$, for $i \in \omega$, such that,

$$(f_{i+1}, f_i) : (S_{i+1}, \sigma_i, S_i) \rightarrow (T_{i+1}, \tau_i, T_i)$$

is a morphism of constellations, for $i \in \omega$.

The *size of a wide zoom complex* (T, τ) is a sequence of natural numbers $size(T, \tau) = \{s_i\}_{i \in \omega}$ so that $s_i = size(T, \tau)_i$ is the number of trees in the forest

T_i . A wide zoom complex (T, τ) is a positive zoom complex iff $size(T, \tau)_i \leq 1$, for all $i \in \omega$.

The category of wide zoom complexes and their morphisms will be denoted by **wZoom**. Clearly **pZoom** is a full subcategory of **wZoom**.

The functor

$$\mathbf{pOpeCard}_{\iota, \text{epi}} \xrightarrow{(-)^*} \mathbf{wZoom}^{op}$$

is define in essentially the same way to the functor defined in Section 3.1 with the same name.

Let P be a positive opetopic cardinal. We shall define a wide zoom complex (P^*, π) . For $i \in \omega$, the poset

$$P_i^* = (P_i - \gamma(P_{i+1}), \leq^-),$$

is the i -th forest of the wide zoom complex (P^*, π) . The i -th wide constellation map

$$\pi_i : P_{i+1}^* \longrightarrow \mathbf{St}(P_i^*)$$

is given, for $p \in P_{i+1}^*$, by

$$\pi_i(p) = \{s \in P_i^* : s <^+ \gamma(p)\}.$$

Let $f : P \rightarrow Q$ be a ι -epimorphism of positive opetopic cardinals. We define a map of wide zoom complexes

$$f^* = \{f_i^*\}_{i \in \omega} : (Q^*, \pi) \longrightarrow (P^*, \pi).$$

For $i \in \omega$, the map $f_i^* : Q_i^* \rightarrow P_i^*$ is defined as follows. Let $q \in Q_i^* = Q_i - \gamma(Q_{i+1})$, $p \in P_i^* = P_i - \gamma(P_{i+1})$, $0 \leq i$. Then

$$f_i^*(q) = p$$

iff p is the unique element of $P_i - \gamma(P_{i+1})$ so that $f_i(p) = q$. Such an element exists since f_i is epi. The uniqueness p follows from the fact that the fibers of f_i are linearly ordered, cf. Corollary 5.11.

The functor

$$\mathbf{pOpeCard}_{\iota, \text{epi}} \xleftarrow{(-)^*} \mathbf{wZoom}^{op}$$

is define in similar to the functor defined in Section 3.6 with the same name. As the definition in Section 3.6 is lengthly and the changes are inessential we are not giving this definition here.

4.3. THEOREM. *The functors*

$$\mathbf{pOpeCard}_{\iota, \text{epi}} \begin{array}{c} \xrightarrow{(-)^*} \\ \xleftarrow{(-)^*} \end{array} \mathbf{wZoom}^{op}$$

defined as those for trees, establish a dual equivalence of categories between categories of positive opetopic cardinals with ι -epimorphisms and wide zoom complexes with embeddings.

PROOF. This is an easy extension of the corresponding fact concerning positive zoom complexes and positive opetopes. ■

5. Appendix: Positive opetopes and positive opetopic cardinals

In this appendix we recall the notion of positive opetopes, positive opetopic cardinals, their morphisms: face maps and ι -maps. We also quote without proofs some facts from [Z1] and [Z4].

5.1. POSITIVE HYPERGRAPHS. A *positive hypergraph* S is a family $\{S_k\}_{k \in \omega}$ of finite sets of faces, a family of functions $\{\gamma_k : S_{k+1} \rightarrow S_k\}_{k \in \omega}$, and a family of total relations $\{\delta_k : S_{k+1} \rightarrow S_k\}_{k \in \omega}$. Moreover, $\delta_0 : S_1 \rightarrow S_0$ is a function and only finitely many among sets $\{S_k\}_{k \in \omega}$ are non-empty. As it is always clear from the context, we shall never use the indices of the functions γ and δ . We shall ignore the difference between $\gamma(x)$ and $\{\gamma(x)\}$ and in consequence we shall consider iterated applications of γ 's and δ 's as sets of faces, e.g. $\delta\delta(x) = \bigcup_{y \in \delta(x)} \delta(y)$ and $\gamma\delta(x) = \{\gamma(y) \mid y \in \delta(x)\}$.

A *morphism of positive hypergraphs* $f : S \rightarrow T$ is a family of functions $f_k : S_k \rightarrow T_k$, for $k \in \omega$, such that, for $k > 0$ and $a \in S_k$, we have $\gamma(f(a)) = f(\gamma(a))$ and f_{k-1} restricts to a bijection

$$f_a : \delta(a) \rightarrow \delta(f(a)).$$

The category of positive hypergraphs is denoted by **pHg**.

We define a binary relation of *lower order* on $<^{S_k,-}$ for $k > 0$ as the transitive closure of the relation $\triangleleft^{S_k,-}$ on S_k such that, for $a, b \in S_k$, $a \triangleleft^{S_k,-} b$ iff $\gamma(a) \in \delta(b)$. We write $a \bowtie^- b$ iff either $a <^- b$ or $b <^- a$, and we write $a \leq^- b$ iff either $a = b$ or $a <^- b$.

We also define a binary relation of *upper order* on $<^{S_k,+}$ for $k \geq 0$ as the transitive closure of the relation $\triangleleft^{S_k,+}$ on S_k such that, for $a, b \in S_k$, $a \triangleleft^{S_k,+} b$ iff there is $\alpha \in S_{k+1}$ so that $a \in \delta(\alpha)$ and $\gamma(\alpha) = b$. We write $a \bowtie^+ b$ ($a \bowtie^+ b$) iff either $a <^+ b$ or $b <^+ a$ ($a <^+ b$ or $b \leq^+ a$), and we write $a \leq^+ b$ iff either $a = b$ or $a <^+ b$.

5.2. POSITIVE OPETOPIC CARDINALS. A positive hypergraph S is a *positive opetopic cardinal* if it is non-empty, i.e. $S_0 \neq \emptyset$ and it satisfies the following four conditions.

1. *Globularity*: for $a \in S_{\geq 2}$:

$$\gamma\gamma(a) = \gamma\delta(a) - \delta\delta(a), \quad \delta\gamma(a) = \delta\delta(a) - \gamma\delta(a).$$

2. *Strictness*: for $k \in \omega$, the relation $<^{S_k,+}$ is a strict order; $<^{S_0,+}$ is linear.

3. *Disjointness*: for $k > 0$,

$$\bowtie^{S_k,-} \cap \bowtie^{S_k,+} = \emptyset.$$

4. *Pencil linearity*: for any $k > 0$ and $x \in S_{k-1}$, the sets

$$\{a \in S_k \mid x = \gamma(a)\} \quad \text{and} \quad \{a \in S_k \mid x \in \delta(a)\}$$

are linearly ordered by $<^{S_k,+}$.

The sets displayed in the last condition are called γ -*pencils* and δ -*pencils* of x , respectively.

The category of positive opetopic cardinals is the full subcategory of **pHg** whose objects are positive opetopic cardinals. It is denoted by **pOpeCard**.

5.3. POSITIVE OPETOPES. The *size of positive opetopic cardinal* S is the sequence of natural numbers $size(S) = \{|S_n - \delta(S_{n+1})|\}_{n \in \omega}$, with all elements above $dim(S)$ being equal 0. We have an order $<$ on such sequences of natural numbers so that $\{x_n\}_{n \in \omega} < \{y_n\}_{n \in \omega}$ iff there is $k \in \omega$ such that $x_k < y_k$ and, for all $l > k$, $x_l = y_l$. This order is well founded and hence facts about positive opetopic cardinals can be proven by induction on their size.

Let P be an positive opetopic cardinal. We say that P is a *positive opetope* iff $size(P)_l \leq 1$, for $l \in \omega$. By **pOpe** we denote full subcategory of **pHg** whose objects are positive opetopes.

Some notions and notations. Let S be a positive hypergraph S and let P be a positive opetope.

1. The dimension of S is maximal k such that $S_k \neq \emptyset$. We denote by $dim(S)$ the dimension of S . We usually tacitly assume that the sets of faces of different dimensions are disjoint and we denote by $|S| = \bigcup_{i \in \omega} S_i$ the sum of all faces of S .
2. $\iota(a) = \delta\delta(a) \cap \gamma\delta(a)$ is the set of internal faces of the face $a \in S_{\geq 2}$.
3. Let $a, b \in S_k$. A lower path a_0, \dots, a_m from a to b in S is a sequence of faces $a_0, \dots, a_m \in S_k$ such that $a = a_0, b = a_m$ and, for $\gamma(a_{i-1}) \in \delta(a_i), i = 1, \dots, m$.
4. Let $x, y \in S_k$. An upper path x, a_0, \dots, a_m, y from x to y in S is a sequence of faces $a_0, \dots, a_m \in S_{k+1}$ such that $x \in \delta(a_0), y = \gamma(a_m)$ and $\gamma(a_{i-1}) \in \delta(a_i)$, for $i = 1, \dots, m$.
5. If $dim(P) = n$, then the unique face in P_n is denoted by \mathbf{m}_P .
6. The function $\gamma^{(k)} : P \rightarrow P_{\leq k}$ is an iterated version of the codomain function γ defined as follows. For any $k, l \in \omega$ and $p \in P_l$,

$$\gamma^{(k)}(p) = \begin{cases} \gamma\gamma^{(k+1)}(p) & \text{if } l > k \\ p & \text{if } l \leq k. \end{cases}$$

We recall from Section 5 of [Zawadowski, 2023] the following lemmas.

5.4. LEMMA. Let P be a positive opetopic cardinal, $n \in \omega, a, b \in P_n, a <^+ b$. Then, there is an upper $P_{n+1} - \gamma(P_{n+2})$ -path from a to b .

5.5. LEMMA. [Path Lemma] Let P be a positive opetopic cardinal. Let $k \geq 0, B = (a_0, \dots, a_k)$ be a maximal S_n -lower path in a positive opetopic cardinal $P, b \in S_n, 0 \leq s \leq k, a_s <^+ b$. Then there are $0 \leq l \leq s \leq p \leq k$ such that

1. $a_i <^+ b$ for $i = l, \dots, p$;
2. $\gamma(a_p) = \gamma(b)$;
3. either $l = 0$ and $\delta(a_0) \subseteq \delta(b)$ or $l > 0$ and $\gamma(a_{l-1}) \in \delta(b)$;
4. $\gamma(a_i) \in \iota(S)$, for $l \leq i < p$.

5.6. THE EMBEDDING OF **pOpeCard** INTO $\omega\mathbf{Cat}$. There is an embedding

$$(-)^* : \mathbf{pOpeCard} \longrightarrow \omega\mathbf{Cat}$$

defined as follows, c.f. [Zawadowski, 2023] Section 6. Let T be a positive opetopic cardinal. The ω -category T^* has as n -cells pairs (S, n) , where S is a subopetopic cardinal of T , $\dim(S) \leq n$, and $n \geq 0$.

For $k < n$, the domain and codomain operations

$$\mathbf{d}^{(k)}, \mathbf{c}^{(k)} : T_n^* \longrightarrow T_k^*$$

are given, for $(S, n) \in T_n^*$, by

$$(\mathbf{d}^{(k)}(S, n)) = (\mathbf{d}^{(k)}(S), k), \quad (\mathbf{c}^{(k)}(S, n)) = (\mathbf{c}^{(k)}(S), k)$$

where

$$\mathbf{d}^{(k)}(S)_l = \begin{cases} \emptyset & \text{if } l > k \\ S_k - \gamma(S_{k+1}) & \text{if } l = k \\ S_l & \text{if } l < k \end{cases}$$

and

$$\mathbf{c}^{(k)}(S)_l = \begin{cases} \emptyset & \text{if } l > k \\ S_k - \delta(S_{k+1}) & \text{if } l = k \\ S_{k-1} - \iota(S_{k+1}) & \text{if } l = k - 1 \geq 0 \\ S_l & \text{if } l < k - 1 \end{cases}$$

The identity operation

$$id : T_n^* \longrightarrow T_{n+1}^*$$

is given by

$$(S, n) \mapsto (S, n + 1).$$

The composition operation is defined, for pairs of cells $(S, n), (S', n') \in T^*$ with $k \leq n, n'$ such that $\mathbf{d}^{(k)}(S, n) = \mathbf{c}^{(k)}(S', n')$, as the sum

$$(S, n) \circ (S', n') = (S \cup S', \max(n, n')).$$

Now T^* together with operations of domain, codomain, identity and composition is an ω -category. If $f : S \rightarrow T$ is a map of positive opetopic cardinals and S' is a sub-opetopic cardinal of S , then the image $f(S')$ is a sub-opetopic cardinal of T . This defines the functor $(-)^*$ on morphisms. We recall from [Zawadowski, 2023] Section 9

5.7. THEOREM. *The embedding*

$$(-)^* : \mathbf{pOpeCard} \longrightarrow \omega\mathbf{Cat}$$

is well defined and full on isomorphisms and it factors through $\mathbf{Poly} \longrightarrow \omega\mathbf{Cat}$ via a full and faithful functor, $(-)^ : \mathbf{pOpeCard} \longrightarrow \mathbf{Poly}$, into the category of polygraphs.*

5.8. ι -MAPS OF POSITIVE OPETOPES. The embedding $(-)^* : \mathbf{pOpe} \rightarrow \omega\mathbf{Cat}$ is not full, but it is full on isomorphisms. The morphisms $P^* \rightarrow Q^*$ in $\omega\mathbf{Cat}$ between images of opetopes are ω -functors that send generators to generators. The category \mathbf{pOpe}_ι with the same objects as \mathbf{pOpe} will be so defined that the embedding $(-)^* : \mathbf{pOpe}_\iota \rightarrow \omega\mathbf{Cat}$ (denoted the same way) will be full on ω -functors that send generators to either generators or identities on generators of a smaller dimension.

Let P and Q be positive opetopes. A *contraction morphism of opetopes* (or ι -map, for short), $h : Q \rightarrow P$, is a function $h : |Q| \rightarrow |P|$ between faces of opetopes such that

1. $\dim(q) \geq \dim(h(q))$, for $q \in Q$;
2. (preservation of codomains) $h(\gamma^{(k)}(q)) = \gamma^{(k)}(h(q))$, for $k \geq 0$ and $q \in Q_{k+1}$;
3. (preservation of domains)
 - (a) if $\dim(h(q)) = \dim(q)$, then h restricts to a bijection

$$(\delta(q) - \ker(h)) \xrightarrow{h} \delta(h(q))$$

for $k \geq 0$ and $q \in Q_{k+1}$, where *the kernel of h* is the set of faces degenerated by the morphism h defined as

$$\ker(h) = \{q \in Q \mid \dim(q) > \dim(h(q))\};$$

- (b) if $\dim(h(q)) = \dim(q) - 1$, then h restricts to a bijection

$$(\delta(q) - \ker(h)) \xrightarrow{h} \{h(q)\}$$

for $k \geq 0$ and $q \in Q_{k+1}$;

- (c) if $\dim(h(q)) < \dim(q) - 1$, then $\delta^{(k)}(q) \subseteq \ker(h)$.

We have an embedding $\kappa : \mathbf{pOpe} \rightarrow \mathbf{pOpe}_\iota$ that induces the usual adjunction $\kappa_! \dashv \kappa^*$

$$\begin{array}{ccc} \widehat{\mathbf{pOpe}} & \xrightarrow{\kappa_!} & \widehat{\mathbf{pOpe}}_\iota \\ & \xleftarrow{\kappa^*} & \end{array}$$

We recall from Section 2.7 of [Zawadowski, 2017] the following facts.

5.9. LEMMA. Let $h : Q \rightarrow P$ be a ι -map, $q_1, q_2 \in Q - \ker(h)$ and $l < k \in \omega$ such that

$$\begin{array}{ccc} \gamma^{(k+1)}(q_1) & <^- & \gamma^{(k+1)}(q_2) \\ \gamma^{(k)}(q_1) & <^+ & \gamma^{(k)}(q_2) \\ \dots & \dots & \dots \\ \gamma^{(l+1)}(q_1) & <^+ & \gamma^{(l+1)}(q_2) \\ \gamma^{(l)}(q_1) & = & \gamma^{(l)}(q_2). \end{array}$$

Then there is $l \leq l' < k$ such that

$$\begin{array}{ccc} h(\gamma^{(k+1)}(q_1)) & <^- & h(\gamma^{(k+1)}(q_2)) \\ h(\gamma^{(k)}(q_1)) & <^+ & h(\gamma^{(k)}(q_2)) \\ & \dots & \dots \\ h(\gamma^{(l'+1)}(q_1)) & <^+ & h(\gamma^{(l'+1)}(q_2)) \\ h(\gamma^{(l')}(q_1)) & = & h(\gamma^{(l')}(q_2)). \end{array}$$

From the above we get immediately

5.10. COROLLARY. Let $h : Q \rightarrow P$ be a ι -map, $q_1, q_2 \in Q - \ker(h)$. Then

1. $q_1 <^- q_2$ iff $h(q_1) <^- h(q_2)$;
2. if $q_1 <^+ q_2$, then $h(q_1) \leq^+ h(q_2)$;
3. if $h(q_1) <^+ h(q_2)$, then $q_1 <^+ q_2$;
4. if $h(q_1) = h(q_2)$, then $q_1 \bowtie^+ q_2$.

A set X of k -faces in a positive opetope P is a $<^+$ -interval (or *interval*, for short) if it is either empty or there are two k -faces $x_0, x_1 \in P_k$ such that $x_0 \leq^+ x_1$ and $X = \{x \in P_k | x_0 \leq^+ x \leq^+ x_1\}$. Any interval in any positive opetope is linearly ordered by \leq^+ .

5.11. COROLLARY. Let $h : Q \rightarrow P$ be a contraction of positive opetopes, $p \in P_k$. Then the fiber of k -faces (of non-degenerating faces) $h^{-1}(p) - \ker(h)$ of h over p is an interval. Moreover, h reflects \bowtie^+ .

5.12. THE EMBEDDING OF \mathbf{pOpe}_ι INTO $\omega\mathbf{Cat}$. We extend the embedding functor $(-)^*$ to contractions

$$(-)^* : \mathbf{pOpe}_\iota \longrightarrow \omega\mathbf{Cat}.$$

Let $h : Q \rightarrow P$ be a contraction morphism in \mathbf{pOpe}_ι . Then

$$h^* : Q^* \rightarrow P^*$$

is an ω -functor such that

$$h^*(k, A) = (k, \vec{h}(A))$$

where $(k, A) \in Q_k^*$, and $\vec{h}(A)$ is the set-theoretic image of the positive opetopic cardinal A under h .

5.13. THEOREM. ([Zawadowski, 2017], Sections 2.8.) The functor

$$(-)^* : \mathbf{pOpe}_\iota \longrightarrow \omega\mathbf{Cat}$$

is well defined. The objects of \mathbf{pOpe}_ι are sent under $(-)^*$ to positive-to-one polygraphs. $(-)^*$ is faithful, conservative and full on those ω -functors that send generators to either generators or to (possibly iterated) identities on generators of smaller dimensions. In particular, it is full on isomorphisms.

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