

# THE OPLAX LIMIT OF AN ENRICHED CATEGORY

*In memory of our colleague Marta Bunge*

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**ABSTRACT.** We show that 2-categories of the form  $\mathcal{B}\text{-Cat}$  are closed under slicing, provided that we allow  $\mathcal{B}$  to range over bicategories (rather than, say, monoidal categories). That is, for any  $\mathcal{B}$ -category  $\mathbb{X}$ , we define a bicategory  $\mathcal{B}/\mathbb{X}$  such that  $\mathcal{B}\text{-Cat}/\mathbb{X} \cong (\mathcal{B}/\mathbb{X})\text{-Cat}$ . The bicategory  $\mathcal{B}/\mathbb{X}$  is characterized as the oplax limit of  $\mathbb{X}$ , regarded as a lax functor from a chaotic category to  $\mathcal{B}$ , in the 2-category  $\mathbf{BICAT}$  of bicategories, lax functors and icons. We prove this conceptually, through limit-preservation properties of the 2-functor  $\mathbf{BICAT} \rightarrow 2\text{-CAT}$  which maps each bicategory  $\mathcal{B}$  to the 2-category  $\mathcal{B}\text{-Cat}$ . When  $\mathcal{B}$  satisfies a mild local completeness condition, we also show that the isomorphism  $\mathcal{B}\text{-Cat}/\mathbb{X} \cong (\mathcal{B}/\mathbb{X})\text{-Cat}$  restricts to a correspondence between fibrations in  $\mathcal{B}\text{-Cat}$  over  $\mathbb{X}$  on the one hand, and  $\mathcal{B}/\mathbb{X}$ -categories admitting certain powers on the other.

## 1. Introduction

It is well-known that for any monoidal category  $\mathcal{V}$  and monoid  $M = (M, e: I \rightarrow M, m: M \otimes M \rightarrow M)$  therein, the slice category  $\mathcal{V}/M$  has a canonical monoidal structure; the unit is  $e$  and the monoidal product of objects  $(s: S \rightarrow M)$  and  $(t: T \rightarrow M)$  is

$$S \otimes T \xrightarrow{s \otimes t} M \otimes M \xrightarrow{m} M.$$

Moreover, there is a canonical isomorphism of categories

$$\mathbf{Mon}(\mathcal{V}/M) \cong \mathbf{Mon}(\mathcal{V})/M.$$

This paper originated from a natural generalization of this, replacing the notion of monoid in  $\mathcal{V}$  by that of  $\mathcal{V}$ -category. That is, for any  $\mathcal{V}$ -category  $\mathbb{X}$ , there is an appropriate “base”  $\mathcal{V}/\mathbb{X}$  admitting a canonical isomorphism of 2-categories

$$(\mathcal{V}/\mathbb{X})\text{-Cat} \cong \mathcal{V}\text{-Cat}/\mathbb{X}. \tag{1}$$

Here, the “base”  $\mathcal{V}/\mathbb{X}$  is in general not a monoidal category but a bicategory. Enriched category theory over bicategories is developed in, e.g., [BCSW83, Str83]. We recall that, for a bicategory  $\mathcal{B}$ , a  $\mathcal{B}$ -category  $\mathbb{X}$  is given by

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- a set  $\text{ob}(\mathbb{X})$ ;
- a function  $|-|: \text{ob}(\mathbb{X}) \rightarrow \text{ob}(\mathcal{B})$  ( $|x|$  is called the *extent* of  $x$ );
- for all  $x, x' \in \text{ob}(\mathbb{X})$ , a 1-cell  $\mathbb{X}(x, x'): |x| \rightarrow |x'|$  in  $\mathcal{B}$ ;
- for all  $x \in \text{ob}(\mathbb{X})$ , a 2-cell

$$\begin{array}{ccc}
 & 1_{|x|} & \\
 |x| & \begin{array}{c} \curvearrowright \\ \Downarrow j_x \\ \curvearrowleft \end{array} & |x| \\
 & \mathbb{X}(x, x) & 
 \end{array}$$

in  $\mathcal{B}$ , where  $1_{|x|}$  is the identity 1-cell on  $|x|$ ; and

- for all  $x, x', x'' \in \text{ob}(\mathbb{X})$ , a 2-cell

$$\begin{array}{ccccc}
 & \mathbb{X}(x, x') & \rightarrow & |x'| & \xrightarrow{\mathbb{X}(x', x'')} & \\
 |x| & \begin{array}{c} \curvearrowright \\ \Downarrow M_{x, x', x''} \\ \curvearrowleft \end{array} & & & & |x''| \\
 & \mathbb{X}(x, x'') & & & & 
 \end{array}$$

in  $\mathcal{B}$ ,

subject to the associativity and identity laws, generalizing the usual axioms for a category.

Since the isomorphism (1) already forces us to consider enrichment over bicategories, it is natural to wonder whether there is a generalization of the isomorphism involving a bicategory  $\mathcal{B}$  in place of the monoidal category  $\mathcal{V}$ . Indeed this turns out to be the case: for any bicategory  $\mathcal{B}$  and  $\mathcal{B}$ -category  $\mathbb{X}$ , there is a bicategory  $\mathcal{B}/\mathbb{X}$  with a canonical isomorphism of 2-categories  $(\mathcal{B}/\mathbb{X})\text{-Cat} \cong \mathcal{B}\text{-Cat}/\mathbb{X}$ . Thus 2-categories of the form  $\mathcal{B}\text{-Cat}$  are closed under slicing, provided that we allow  $\mathcal{B}$  to range over bicategories.

The construction of  $\mathcal{B}/\mathbb{X}$  is simple enough to carry out at this point; see also Remark 4.8 for a more abstract point of view. We set  $\text{ob}(\mathcal{B}/\mathbb{X}) = \text{ob}(\mathbb{X})$  and, for all  $x, x' \in \text{ob}(\mathcal{B}/\mathbb{X})$ , the hom-category  $(\mathcal{B}/\mathbb{X})(x, x')$  is the slice category  $\mathcal{B}(|x|, |x'|)/\mathbb{X}(x, x')$ . The identity 1-cell at  $x$  is  $j_x$ , and the composite of 1-cells  $(s: S \rightarrow \mathbb{X}(x, x')): x \rightarrow x'$  and  $(t: T \rightarrow \mathbb{X}(x', x'')): x' \rightarrow x''$  is the pasting composite

$$\begin{array}{ccccc}
 & S & & T & \\
 |x| & \begin{array}{c} \curvearrowright \\ \Downarrow s \\ \curvearrowleft \end{array} & \rightarrow & |x'| & \begin{array}{c} \curvearrowright \\ \Downarrow t \\ \curvearrowleft \end{array} & \rightarrow & |x''| \\
 & \mathbb{X}(x, x') & & & \mathbb{X}(x', x'') & & \\
 & & & \Downarrow M_{x, x', x''} & & & \\
 & & & \mathbb{X}(x, x'') & & & 
 \end{array}$$

Of course, when both  $\mathcal{B}$  and  $\mathbb{X}$  have only one object, the construction of  $\mathcal{B}/\mathbb{X}$  reduces to that of the slice of a monoidal category over a monoid.

This observation allows one to view (enriched) functors as (enriched) categories, and suggests new perspectives even on notions which are not directly related to enrichment.

For example, for any **(Set-)**category  $\mathbb{X}$ , there is a bicategory  $\mathbf{Set}/\mathbb{X}$  with an isomorphism  $(\mathbf{Set}/\mathbb{X})\text{-Cat} \cong \mathbf{Cat}/\mathbb{X}$ . Thus we can view functors into  $\mathbb{X}$  as enriched categories (see Example 4.6 below and [Gar14] for a related construction), and we may potentially interpret properties of functors via enriched categorical terms. Indeed, we shall show that a functor  $\mathbb{Y} \rightarrow \mathbb{X}$  is a Grothendieck fibration if and only if the corresponding  $\mathbf{Set}/\mathbb{X}$ -category  $\bar{\mathbb{Y}}$  has powers by a certain class of 1-cells in  $\mathbf{Set}/\mathbb{X}$ , as well as a  $\mathcal{B}$ -enriched version of this result.

The notation  $\mathcal{B}/\mathbb{X}$  is justified by its characterization as the oplax limit of a 1-cell in a suitable 2-category. To explain this, recall that a  $\mathcal{B}$ -category  $\mathbb{X}$  can be given equivalently as a lax functor  $\mathbb{X}: X_c \rightarrow \mathcal{B}$ , where  $X_c$  is the chaotic category with the same set of objects as  $\mathbb{X}$ .<sup>1</sup> Thus we can view the  $\mathcal{B}$ -category  $\mathbb{X}$  as a 1-cell in the 2-category **BICAT** of bicategories, lax functors and icons [Lac10]. The bicategory  $\mathcal{B}/\mathbb{X}$  is the oplax limit of this 1-cell in **BICAT**:

$$\begin{array}{ccc}
 & & X_c \\
 & \nearrow & \downarrow \mathbb{X} \\
 \mathcal{B}/\mathbb{X} & \Uparrow & \mathcal{B}
 \end{array}$$

(Although **BICAT** is not complete, it does have oplax limits of 1-cells [Lac05, LS12].) This generalizes the characterization of the slice monoidal category  $\mathcal{V}/M$  as the oplax limit of the monoid  $M$  in  $\mathcal{V}$ , regarded as a lax monoidal functor from the terminal monoidal category to  $\mathcal{V}$ , in the 2-category of monoidal categories, lax monoidal functors and monoidal natural transformations.

In this paper, we study properties of the 2-functor  $\text{Enr}: \mathbf{BICAT} \rightarrow 2\text{-CAT}$  mapping each bicategory  $\mathcal{B}$  to the 2-category  $\mathcal{B}\text{-Cat}$ , in order to understand the isomorphism  $(\mathcal{B}/\mathbb{X})\text{-Cat} \cong \mathcal{B}\text{-Cat}/\mathbb{X}$  conceptually, as well as to establish further closure properties of 2-categories of the form  $\mathcal{B}\text{-Cat}$ . To this end, it is useful to factorize  $\text{Enr}$  as

$$\begin{array}{ccc}
 \mathbf{BICAT} & \xrightarrow{\text{Enr}} & 2\text{-CAT}, \\
 \text{Enr}_1 \searrow & & \nearrow \text{forgetful} \\
 & 2\text{-CAT}/\text{Enr}(\mathbf{1}) &
 \end{array}$$

where  $\mathbf{1}$  is the terminal bicategory. The 2-functor  $\text{Enr}_1$  maps each bicategory  $\mathcal{B}$  to  $\mathcal{B}\text{-Cat}$  equipped with the 2-functor  $\text{Enr}(!): \mathcal{B}\text{-Cat} \rightarrow \text{Enr}(\mathbf{1})$  induced from the unique lax functor  $!: \mathcal{B} \rightarrow \mathbf{1}$ . The underlying category of  $\text{Enr}(\mathbf{1})$  is **Set**, and  $\text{Enr}(!)$  can be regarded as  $\text{ob}(-)$ , mapping each  $\mathcal{B}$ -category  $\mathbb{X}$  to its set of objects  $\text{ob}(\mathbb{X})$ . (Although  $\text{Enr}$  is usually denoted simply as  $(-)\text{-Cat}$ , we adopted the current notation in order to avoid the potentially misleading expression  $\mathbf{1}\text{-Cat}$ .)

In our main theorem (Theorem 2.1), we show that  $\text{Enr}_1: \mathbf{BICAT} \rightarrow 2\text{-CAT}/\text{Enr}(\mathbf{1})$  preserves *any* limit which happens to exist in **BICAT**. This implies that  $\text{Enr}$  preserves

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<sup>1</sup>Lax functors of this form were studied by Bénabou [Bén67] under the name *polyad*; for the connection with enriched categories see [Str83].

any limit which happens to exist in **BICAT** and is preserved by the forgetful 2-functor  $2\text{-CAT}/\text{Enr}(\mathbf{1}) \rightarrow 2\text{-CAT}$ ; the latter condition is satisfied whenever the limit in question is small enough to exist in  $2\text{-CAT}$  and is created by the forgetful 2-functor. In ordinary category theory, the limits created by the forgetful functors from slice categories are precisely the connected limits. In Section 3 we generalize this to 2-categories (or in fact to  $\mathcal{V}$ -categories where  $\mathcal{V}$  is any complete and cocomplete cartesian closed category), introducing the class of **Cat**-connected limits with several characterizations. Thus  $\text{Enr}: \mathbf{BICAT} \rightarrow 2\text{-CAT}$  preserves any **Cat**-connected limit which happens to exist in **BICAT**. This includes Eilenberg–Moore objects of comonads, for example. Although oplax limits of 1-cells are not **Cat**-connected, the isomorphism  $(\mathcal{B}/\mathbb{X})\text{-Cat} \cong \mathcal{B}\text{-Cat}/\mathbb{X}$  is explained via the limit-preservation property of  $\text{Enr}$  and a 2-categorical argument in Section 4.

Finally, in Section 5, we investigate (internal) fibrations in the 2-category  $\mathcal{B}\text{-Cat}$  of  $\mathcal{B}$ -categories. Specifically, we show that (assuming a mild local completeness condition on  $\mathcal{B}$ ) a  $\mathcal{B}$ -functor  $\mathbb{Y} \rightarrow \mathbb{X}$  is a fibration in  $\mathcal{B}\text{-Cat}$  if and only if the corresponding  $\mathcal{B}/\mathbb{X}$ -category  $\bar{\mathbb{Y}}$  admits certain powers.

We intend to revisit the results of this paper in the future, in the context of enrichment over pseudo double categories.

## 2. The limit-preservation theorem

Size does not play a significant role in this paper; nonetheless we make a few comments here about the issues which arise and our approach to them. The typical *monoidal* categories over which one enriches, such as **Set**, **Cat**, or **Ab**, have small hom-sets but are not themselves small. Thus the corresponding bicategories will not even have small hom-categories. We do still need some control of the size of these bicategories, and therefore fix two Grothendieck universes  $\mathcal{U}_0$  and  $\mathcal{U}_1$  with  $\mathcal{U}_0 \in \mathcal{U}_1$ . Sets, categories, etc. in  $\mathcal{U}_0$  and  $\mathcal{U}_1$  are called *small* and *large* respectively.

Let **BICAT** be the 2-category of large bicategories, lax functors and icons [Lac10, Theorem 3.2], and  $2\text{-CAT}$  be the 2-category of large 2-categories, 2-functors and 2-natural transformations. We have a 2-functor  $\text{Enr}: \mathbf{BICAT} \rightarrow 2\text{-CAT}$  sending each bicategory  $\mathcal{B}$  to the 2-category  $\mathcal{B}\text{-Cat}$  of all small  $\mathcal{B}$ -categories,  $\mathcal{B}$ -functors and  $\mathcal{B}$ -natural transformations. It is the limit-preservation properties of this 2-functor  $\text{Enr}$  that is our main focus. The limits in question will be 2-limits weighted by 2-functors of the form  $F: \mathcal{D} \rightarrow \mathbf{CAT}$ , where  $\mathcal{D}$  is a large 2-category and **CAT** is the 2-category of large categories.

The bicategory  $\mathbf{1}$  with a single 2-cell is the terminal object of **BICAT**, and hence  $\text{Enr}$  induces the 2-functor  $\text{Enr}_1: \mathbf{BICAT} \rightarrow 2\text{-CAT}/\text{Enr}(\mathbf{1})$ , where  $2\text{-CAT}/\text{Enr}(\mathbf{1})$  denotes the (strict) slice 2-category of  $2\text{-CAT}$  over  $\text{Enr}(\mathbf{1}) \in 2\text{-CAT}$ . The 2-category  $\text{Enr}(\mathbf{1})$  is the locally chaotic 2-category whose underlying category is **Set**. More precisely, the objects of  $\text{Enr}(\mathbf{1})$  can be identified with the small sets, and for each pair of small sets  $X$  and  $Y$

we have  $\text{Enr}(\mathbf{1})(X, Y) = \mathbf{Set}(X, Y)_c$ , where  $(-)_c$  appears in the string of adjunctions

$$\begin{array}{ccc}
 & \pi_0 & \\
 & \downarrow & \\
 \mathbf{SET} & \xleftarrow{(-)_d} & \mathbf{CAT}_0 \\
 & \downarrow & \\
 & \text{ob} & \\
 & \downarrow & \\
 & (-)_c & 
 \end{array}
 \tag{2}$$

Here,  $\mathbf{SET}$  and  $\mathbf{CAT}_0$  denote the categories of large sets and of large categories respectively. The (finite-product-preserving) functors in (2) induce 2-adjunctions

$$\begin{array}{ccc}
 & (\pi_0)_* & \\
 & \downarrow & \\
 \mathbf{CAT} & \xleftarrow{(-)_{ld}} & \mathbf{2-CAT} \\
 & \downarrow & \\
 & (-)_0 & \\
 & \downarrow & \\
 & (-)_{lc} & 
 \end{array}$$

Thus we shall write the 2-category  $\text{Enr}(\mathbf{1})$  as  $\mathbf{Set}_{lc}$ .

Explicitly, the 2-functor  $\text{Enr}_1: \mathbf{BICAT} \rightarrow \mathbf{2-CAT}/\mathbf{Set}_{lc}$  maps each bicategory  $\mathcal{B}$  to the 2-category  $\mathcal{B}\text{-Cat}$  equipped with the 2-functor  $\text{ob}(-): \mathcal{B}\text{-Cat} \rightarrow \mathbf{Set}_{lc}$  which extracts the set of objects of a  $\mathcal{B}$ -category.

**2.1. THEOREM.** *The 2-functor  $\text{Enr}_1: \mathbf{BICAT} \rightarrow \mathbf{2-CAT}/\mathbf{Set}_{lc}$  preserves all weighted limits which happen to exist in  $\mathbf{BICAT}$ .*

**PROOF.** We shall show the following.

- (a) The set  $\mathcal{G}$  of all objects of  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$  of the form  $(\mathbf{2}_2 \rightarrow \mathbf{Set}_{lc})$ , where  $\mathbf{2}_2$  denotes the free 2-category on a single 2-cell, is a strong generator of the 2-category  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$ .
- (b) For each object  $A \in \mathcal{G}$ , the 2-functor  $\mathbf{2-CAT}/\mathbf{Set}_{lc}(A, \text{Enr}_1(-)): \mathbf{BICAT} \rightarrow \mathbf{CAT}$  is a 2-limit of representable 2-functors, and hence preserves all weighted limits which happen to exist in  $\mathbf{BICAT}$ .

From these, the main claim follows. Indeed, let  $\mathcal{D}$  be a large 2-category,  $F: \mathcal{D} \rightarrow \mathbf{CAT}$  be a 2-functor (the weight) and  $S: \mathcal{D} \rightarrow \mathbf{BICAT}$  be a 2-functor such that the weighted limit  $\{F, S\}$  exists in  $\mathbf{BICAT}$ . Then the weighted limit  $\{F, \text{Enr}_1 \circ S\}$  exists in  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$ , because  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$  has all (large) weighted limits. We have a comparison 1-cell  $M: \text{Enr}_1\{F, S\} \rightarrow \{F, \text{Enr}_1 \circ S\}$  in  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$ . Now for each  $A \in \mathcal{G}$ , the functor

$$\mathbf{2-CAT}/\mathbf{Set}_{lc}(A, M): \mathbf{2-CAT}/\mathbf{Set}_{lc}(A, \text{Enr}_1\{F, S\}) \rightarrow \mathbf{2-CAT}/\mathbf{Set}_{lc}(A, \{F, \text{Enr}_1 \circ S\})$$

is an isomorphism by (b), from which we conclude that  $M$  is an isomorphism by (a).

$\mathcal{G}$  is a strong generator of  $2\text{-CAT}/\mathbf{Set}_{lc}$  because, given any 1-cell  $T: (\mathcal{X} \rightarrow \mathbf{Set}_{lc}) \rightarrow (\mathcal{Y} \rightarrow \mathbf{Set}_{lc})$ , i.e., a 2-functor  $T: \mathcal{X} \rightarrow \mathcal{Y}$  between 2-categories  $\mathcal{X}$  and  $\mathcal{Y}$  over  $\mathbf{Set}_{lc}$ , the condition that  $2\text{-CAT}/\mathbf{Set}_{lc}(A, T)$  be an isomorphism for all  $A \in \mathcal{G}$  means that  $T$  is bijective on 2-cells.

To show (b), observe that a 2-functor  $\mathbf{2} \rightarrow \mathbf{Set}_{lc}$  corresponds to a parallel pair of functions  $f_0, f_1: X \rightarrow Y$ . Such a 2-functor can be seen as an object of  $2\text{-CAT}/\mathbf{Set}_{lc}$ . Given  $((f_0, f_1): \mathbf{2} \rightarrow \mathbf{Set}_{lc})$  where  $f_0, f_1: X \rightarrow Y$ , first consider the category  $\mathbf{2} \times X_c$  where  $\mathbf{2} = \{0 < 1\}$  is the two-element chain. We regard  $\mathbf{2} \times X_c$  as a bicategory as well. We have the projection functor  $\pi: \mathbf{2} \times X_c \rightarrow X_c$  and the functor  $[f_0, f_1]: \mathbf{2} \times X_c \rightarrow Y_c$  defined by  $[f_0, f_1](i, x) = f_i(x)$ ; these can also be regarded as lax functors, i.e., morphisms in  $\mathbf{BICAT}$ . The 2-functor

$$2\text{-CAT}/\mathbf{Set}_{lc}((f_0, f_1), \text{Enr}_1(-)): \mathbf{BICAT} \rightarrow \mathbf{CAT}$$

is the comma object (in  $[\mathbf{BICAT}, \mathbf{CAT}]$ ) as in

$$\begin{array}{ccc} 2\text{-CAT}/\mathbf{Set}_{lc}((f_0, f_1), \text{Enr}_1(-)) & \longrightarrow & \mathbf{BICAT}(X_c, -) \\ \downarrow & \swarrow & \downarrow \mathbf{BICAT}(\pi, -) \\ \mathbf{BICAT}(Y_c, -) & \xrightarrow{\mathbf{BICAT}([f_0, f_1], -)} & \mathbf{BICAT}(\mathbf{2} \times X_c, -). \end{array}$$

Indeed, for any bicategory  $\mathcal{B} \in \mathbf{BICAT}$ , an object of the comma category of the functors  $\mathbf{BICAT}([f_0, f_1], \mathcal{B})$  and  $\mathbf{BICAT}(\pi, \mathcal{B})$  consists of lax functors  $\mathbb{C}: X_c \rightarrow \mathcal{B}$  and  $\mathbb{D}: Y_c \rightarrow \mathcal{B}$  together with an icon

$$\begin{array}{ccc} \mathbf{2} \times X_c & \xrightarrow{\pi} & X_c \\ [f_0, f_1] \downarrow & \swarrow \alpha & \downarrow \mathbb{C} \\ Y_c & \xrightarrow{\mathbb{D}} & \mathcal{B}. \end{array}$$

This corresponds to  $\mathcal{B}$ -categories  $\mathbb{C}$  and  $\mathbb{D}$  with  $\text{ob}(\mathbb{C}) = X$  and  $\text{ob}(\mathbb{D}) = Y$  such that  $|x|_{\mathbb{C}} = |f_i(x)|_{\mathbb{D}}$  for all  $x \in X$  and  $i \in \{0, 1\}$ , together with a 2-cell  $\alpha_{(i,x),(i',x')}: \mathbb{C}(x, x') \rightarrow \mathbb{D}(f_i(x), f_{i'}(x'))$  in  $\mathcal{B}$  for all  $(i, x), (i', x') \in \mathbf{2} \times X_c$  with  $i \leq i'$ , satisfying some equations. These latter data in turn correspond to  $\mathcal{B}$ -functors  $F_0: \mathbb{C} \rightarrow \mathbb{D}$  and  $F_1: \mathbb{C} \rightarrow \mathbb{D}$  (with  $\text{ob}(F_i) = f_i$ ) together with a  $\mathcal{B}$ -natural transformation  $\alpha: F_0 \rightarrow F_1$ . (We record in Lemma 2.2 below an observation which is useful for the verification.)

This gives a bijective correspondence on objects of  $2\text{-CAT}/\mathbf{Set}_{lc}((f_0, f_1), \text{Enr}_1(\mathcal{B}))$  and the comma category of  $\mathbf{BICAT}([f_0, f_1], \mathcal{B})$  and  $\mathbf{BICAT}(\pi, \mathcal{B})$ , which routinely extends to an isomorphism of categories natural in  $\mathcal{B}$ . ■

2.2. LEMMA. Let  $\mathcal{B}$  be a bicategory,  $\mathbb{C}, \mathbb{D}$  be  $\mathcal{B}$ -categories and  $T, S: \mathbb{C} \rightarrow \mathbb{D}$  be  $\mathcal{B}$ -functors. To give a  $\mathcal{B}$ -natural transformation  $\alpha: T \rightarrow S$ , i.e., a family of 2-cells

$$|x| \begin{array}{c} \xrightarrow{1_{|x|}} \\ \Downarrow \alpha_x \\ \xrightarrow{\mathbb{D}(Tx, Sx)} \end{array} |x|$$

in  $\mathcal{B}$  for all  $x \in \mathbb{C}$ , satisfying the naturality axiom saying that for all  $x, x' \in \mathbb{C}$ ,

$$\begin{array}{ccc} 1_{|x'|} \cdot \mathbb{C}(x, x') & \xrightarrow{\alpha_{x'} \cdot T_{x, x'}} & \mathbb{D}(Tx', Sx') \cdot \mathbb{D}(Tx, Tx') \\ \cong \uparrow & & \downarrow M_{Tx, Tx', Sx'}^{\mathbb{D}} \\ \mathbb{C}(x, x') & & \mathbb{D}(Tx, Sx') \\ \cong \downarrow & & \uparrow M_{Tx, Sx, Sx'}^{\mathbb{D}} \\ \mathbb{C}(x, x') \cdot 1_{|x|} & \xrightarrow{S_{x, x'} \cdot \alpha_x} & \mathbb{D}(Sx, Sx') \cdot \mathbb{D}(Tx, Sx) \end{array} \quad (3)$$

commutes, is equivalent to giving a family of 2-cells

$$|x| \begin{array}{c} \xrightarrow{\mathbb{C}(x, x')} \\ \Downarrow \alpha_{x, x'} \\ \xrightarrow{\mathbb{D}(Tx, Sx')} \end{array} |x'|$$

in  $\mathcal{B}$  for all  $x, x' \in \mathbb{C}$ , such that for all  $x, x', x'' \in \mathbb{C}$ ,

$$\begin{array}{ccc} \mathbb{C}(x', x'') \cdot \mathbb{C}(x, x') & \xrightarrow{M_{x, x', x''}^{\mathbb{C}}} & \mathbb{C}(x, x'') \\ S_{x', x''} \cdot \alpha_{x, x'} \downarrow & & \downarrow \alpha_{x, x''} \\ \mathbb{D}(Sx', Sx'') \cdot \mathbb{D}(Tx, Sx') & \xrightarrow{M_{Tx, Sx', Sx''}^{\mathbb{D}}} & \mathbb{D}(Tx, Sx'') \end{array} \quad \text{and}$$

$$\begin{array}{ccc} \mathbb{C}(x', x'') \cdot \mathbb{C}(x, x') & \xrightarrow{M_{x, x', x''}^{\mathbb{C}}} & \mathbb{C}(x, x'') \\ \alpha_{x', x''} \cdot T_{x, x'} \downarrow & & \downarrow \alpha_{x, x''} \\ \mathbb{D}(Tx', Sx'') \cdot \mathbb{D}(Tx, Tx') & \xrightarrow{M_{Tx, Tx', Sx''}^{\mathbb{D}}} & \mathbb{D}(Tx, Sx'') \end{array}$$

commute; the correspondence is given by mapping  $(\alpha_x)$  to  $(\alpha_{x, x'})$  whose component at  $(x, x')$  is the composite (3).

As observed in [Lac10, Section 6.2], the 2-category **BICAT** can be seen as the 2-category of strict algebras, lax morphisms, and algebra 2-cells for a 2-monad  $T$  on a

certain locally presentable 2-category of **CAT**-enriched graphs, and so by [Lac05] has oplax limits, Eilenberg–Moore objects of comonads, and limits of diagrams containing only strict morphisms; this last class includes in particular products and powers. It also has various other sorts of limits where certain parts of the diagram are required to be pseudofunctors. For a more precise characterization see [LS12].

The case of oplax limits of 1-cells is our motivating example, and is formalized in Section 4, specifically in Theorem 4.5. The case of Eilenberg–Moore objects of comonads is treated in Example 3.9. As a final example, we consider products. In this case, Theorem 2.1 says that, for bicategories  $\mathcal{B}$  and  $\mathcal{C}$ , the diagram

$$\begin{array}{ccc}
 (\mathcal{B} \times \mathcal{C})\text{-Cat} & \longrightarrow & \mathcal{C}\text{-Cat} \\
 \downarrow & & \downarrow \text{ob} \\
 \mathcal{B}\text{-Cat} & \xrightarrow{\text{ob}} & \mathbf{Set}_{l_{\mathcal{C}}}
 \end{array}$$

is a pullback of 2-categories. In particular, to give a  $\mathcal{B} \times \mathcal{C}$ -category is equivalent to giving a  $\mathcal{B}$ -category and a  $\mathcal{C}$ -category with the same set of objects.

2.3. REMARK. It is possible to remove any size-related conditions on the notion of weighted limit in Theorem 2.1. That is, for any (possibly larger than “large”) 2-category  $\mathcal{D}$  and a weight  $F: \mathcal{D} \rightarrow \mathbf{CAT}'$ , where  $\mathbf{CAT}'$  is a 2-category of categories in a universe containing  $\mathcal{U}_1$ ,  $\text{Enr}_1$  preserves all  $F$ -weighted limits which happen to exist in **BICAT**. Indeed, let  $S: \mathcal{D} \rightarrow \mathbf{BICAT}$  be a 2-functor such that  $\{F, S\}$  exists in **BICAT**. Then, although a priori we do not know if  $\{F, \text{Enr}_1 \circ S\}$  exists in  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}}$  or not, we can certainly consider a large enough variant  $2\text{-CAT}'/\mathbf{Set}_{l_{\mathcal{C}}}$  in which it does. Then by the above discussion we have  $\text{Enr}_1\{F, S\} \cong \{F, \text{Enr}_1 \circ S\}$  in  $2\text{-CAT}'/\mathbf{Set}_{l_{\mathcal{C}}}$ . Since the fully faithful 2-functor  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}} \rightarrow 2\text{-CAT}'/\mathbf{Set}_{l_{\mathcal{C}}}$  reflects limits, and  $\text{Enr}_1$  does land in  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}}$ , we see that the limit  $\{F, \text{Enr}_1 \circ S\}$  actually exists in  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}}$ .

### 3. Weighted limits created by forgetful 2-functors $\mathcal{K}/A \rightarrow \mathcal{K}$

Theorem 2.1 implies that the 2-functor  $\text{Enr}: \mathbf{BICAT} \rightarrow 2\text{-CAT}$  preserves all weighted limits preserved by the forgetful 2-functor  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}} \rightarrow 2\text{-CAT}$ . We now investigate these.

A large part of this section (until the end of Example 3.7) is devoted to the study of this class of limits, which we shall call **Cat**-connected. Since this notion does not require two separate universes, and since it may be of interest in other contexts, we work with a single universe  $\mathcal{U}$ , whose elements we call *small* sets. (We temporarily ignore  $\mathcal{U}_0$  introduced at the beginning of Section 2.) When we later return to the study of **BICAT** and  $2\text{-CAT}/\mathbf{Set}_{l_{\mathcal{C}}}$ , we apply our results in the case  $\mathcal{U} = \mathcal{U}_1$ , and so speak of **CAT**-connected limits.



In the literature there are (at least) two definitions of creation of limit. Given 2-functors  $F: \mathcal{D} \rightarrow \mathbf{Cat}$ ,  $S: \mathcal{D} \rightarrow \mathcal{A}$ , and  $G: \mathcal{A} \rightarrow \mathcal{B}$ , the phrase “ $G$  creates the  $F$ -weighted limit of  $S$ ” could mean either of the following.

- For any  $F$ -weighted limit  $(\{F, GS\}, \mu: F \rightarrow \mathcal{B}(\{F, GS\}, GS-))$  of  $GS$ , there exists a unique  $F$ -cylinder  $(L, \nu: F \rightarrow \mathcal{A}(L, S-))$  over  $S$  in  $\mathcal{A}$  such that  $\{F, GS\} = GL$  and  $\mu = G_{L, S-} \circ \nu$  hold. Moreover,  $(L, \nu)$  is an  $F$ -weighted limit of  $S$ .
- For any  $F$ -weighted limit  $(\{F, GS\}, \mu)$  of  $GS$ , there exists an  $F$ -cylinder  $(L, \nu)$  over  $S$  in  $\mathcal{A}$  such that the mediating 1-cell  $GL \rightarrow \{F, GS\}$  is an isomorphism. Moreover, such an  $F$ -cylinder  $(L, \nu)$  is always an  $F$ -weighted limit of  $S$ .

These two conditions are equivalent when  $G$  is the forgetful 2-functor  $\mathcal{K}/A \rightarrow \mathcal{K}$  from a slice 2-category, since such 2-functors reflect identities and lift invertible 1-cells.

In the following,  $\mathbf{1}$  and  $\mathbf{2}$  denote the terminal category and the terminal 2-category, respectively.

**3.1. THEOREM.** *Let  $\mathcal{D}$  be a small 2-category and  $F: \mathcal{D} \rightarrow \mathbf{Cat}$  be a 2-functor. Then the following are equivalent.*

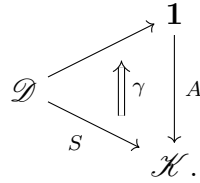
- (1) *All  $F$ -weighted limits are created by the forgetful 2-functor  $\mathcal{K}/A \rightarrow \mathcal{K}$  for any locally small 2-category  $\mathcal{K}$  and  $A \in \mathcal{K}$ .*
- (2) *All  $F$ -weighted limits commute with copowers in  $\mathbf{Cat}$ . In other words,  $F$ -weighted limits are preserved by the 2-functor  $X \times (-): \mathbf{Cat} \rightarrow \mathbf{Cat}$  for any  $X \in \mathbf{Cat}$ .*
- (3) *The  $F$ -weighted limit of the unique 2-functor  $\mathcal{D} \rightarrow \mathbf{1}$  is preserved by any 2-functor  $\mathbf{1} \rightarrow \mathbf{Cat}$ : that is,  $X \cong [\mathcal{D}, \mathbf{Cat}](F, \Delta X)$  for any  $X \in \mathbf{Cat}$ .*
- (4) *The  $F$ -weighted limit of the unique 2-functor  $\mathcal{D} \rightarrow \mathbf{1}$  is preserved by any 2-functor  $\mathbf{1} \rightarrow \mathcal{K}$ : that is,  $A \cong \{F, \Delta A\}$  for any locally small 2-category  $\mathcal{K}$  and  $A \in \mathcal{K}$ .*
- (5)  *$F * (-): [\mathcal{D}^{\text{op}}, \mathbf{Cat}] \rightarrow \mathbf{Cat}$  preserves the terminal object. In other words, the  $F$ -weighted colimit of  $\Delta \mathbf{1}: \mathcal{D}^{\text{op}} \rightarrow \mathbf{Cat}$  is the terminal category:  $F * \Delta \mathbf{1} \cong \mathbf{1}$ .*
- (6) *The (conical) colimit of  $F$  is the terminal category:  $\Delta \mathbf{1} * F \cong \mathbf{1}$ .*

**PROOF.** [(1)  $\implies$  (2)] For any  $X \in \mathbf{Cat}$ , copowers by  $X$  are given by  $X \times (-): \mathbf{Cat} \rightarrow \mathbf{Cat}$ , which is the composite of the right adjoint 2-functor  $X \times (-): \mathbf{Cat} \rightarrow \mathbf{Cat}/X$  and the forgetful 2-functor  $\mathbf{Cat}/X \rightarrow \mathbf{Cat}$ .

[(2)  $\implies$  (3)] Note that we have  $\mathbf{1} \cong \{F, \Delta \mathbf{1}\}$  in  $\mathbf{Cat}$ . Since  $X \times (-): \mathbf{Cat} \rightarrow \mathbf{Cat}$  preserves the  $F$ -weighted limit  $\{F, \Delta \mathbf{1}\}$ , we have  $X \cong \{F, \Delta X\}$ .

[(3)  $\implies$  (4)] For any  $B \in \mathcal{K}$  we have  $\mathcal{K}(B, A) \cong [\mathcal{D}, \mathbf{Cat}](F, \Delta \mathcal{K}(B, A))$ . This shows that  $A \in \mathcal{K}$  is the weighted limit  $\{F, \Delta A\}$ .

[(4)  $\implies$  (1)] Let  $T: \mathcal{D} \rightarrow \mathcal{K}/A$  be a 2-functor, with the corresponding oplax cone



In particular,  $S$  is the composite of  $T$  and the forgetful 2-functor  $\mathcal{K}/A \rightarrow \mathcal{K}$ . Suppose that the weighted limit  $\{F, S\}$  exists in  $\mathcal{K}$ . We have a 1-cell  $\{F, \gamma\}: \{F, S\} \rightarrow \{F, \Delta A\} \cong A$  in  $\mathcal{K}$ . We claim that the object  $(\{F, \gamma\}: \{F, S\} \rightarrow A) \in \mathcal{K}/A$  is the limit  $\{F, T\}$  in  $\mathcal{K}/A$ . For any  $(p: B \rightarrow A) \in \mathcal{K}/A$ , the hom category  $(\mathcal{K}/A)((B, p), (\{F, S\}, \{F, \gamma\}))$  is given by the equalizer

$$(\mathcal{K}/A)((B, p), (\{F, S\}, \{F, \gamma\})) \longrightarrow \mathcal{K}(B, \{F, S\}) \xrightarrow[\Delta p]{\mathcal{K}(B, \{F, \gamma\})} \mathcal{K}(B, A),$$

which is easily seen to be canonically isomorphic to  $[\mathcal{D}, \mathbf{Cat}](F, (\mathcal{K}/A)((B, p), T-))$ .

[(4)  $\implies$  (5)] Applying (4) to  $1: \mathbf{1} \rightarrow \mathbf{Cat}^{\text{op}}$ , we obtain  $F * \Delta 1 \cong 1$  in  $\mathbf{Cat}$ .

[(5)  $\implies$  (3)] For any  $X \in \mathbf{Cat}$ , we have

$$X \cong [1, X] \cong [F * \Delta 1, X] \cong [\mathcal{D}, \mathbf{Cat}](F, [\Delta 1(-), X]) \cong [\mathcal{D}, \mathbf{Cat}](F, \Delta X).$$

[(5)  $\iff$  (6)] By  $F * \Delta 1 \cong \Delta 1 * F$ . ■

A 2-functor  $F: \mathcal{D} \rightarrow \mathbf{Cat}$  is called **Cat-connected** if  $F$  satisfies the equivalent conditions of Theorem 3.1. Similarly, a weighted limit is **Cat-connected** if its weight is so. Note that  $F: \mathcal{D} \rightarrow \mathbf{Cat}$  is connected (in the sense that  $[\mathcal{D}, \mathbf{Cat}](F, -): [\mathcal{D}, \mathbf{Cat}] \rightarrow \mathbf{Cat}$  preserves small coproducts) if and only if  $[\mathcal{D}, \mathbf{Cat}](F, \Delta X) \cong X$  for any small discrete category  $X$ , or equivalently just for  $X = 1 + 1$ ; on the other hand it is **Cat-connected** if this holds for all small categories  $X$ .

3.2. REMARK. Theorem 3.1 can be proved more generally for categories enriched over a complete and cocomplete cartesian closed category  $\mathcal{V}$  in place of  $\mathbf{Cat}$ , indeed the proof carries over essentially word-for-word upon replacing each instance of  $\mathbf{Cat}$  by  $\mathcal{V}$ .

We now give a few simple results about **Cat-connected** weights in order to clarify the scope of the notion.

3.3. PROPOSITION. *If  $\mathcal{D}$  has a terminal object, then  $F: \mathcal{D} \rightarrow \mathbf{Cat}$  is **Cat-connected** if and only if  $F$  preserves the terminal object.*

PROOF. If  $\mathcal{D}$  has a terminal object  $1$  then the colimit of  $F$  is  $F(1)$ . ■

3.4. PROPOSITION. *Let  $\mathcal{C}$  be a small ordinary category, and  $G: \mathcal{C} \rightarrow \mathbf{Set}$  a functor. This determines a 2-functor  $G_d: \mathcal{C}_d \rightarrow \mathbf{Cat}$ , where now  $\mathcal{C}_d$  is regarded as a locally discrete 2-category. This  $G_d$  sends an object  $C$  to the discrete category  $G(C)_d$  with object-set  $G(C)$ . Then  $G_d$  is **Cat-connected** if and only if the corresponding  $G$  is connected.*

PROOF. Since the functor  $(-)_d: \mathbf{Set} \rightarrow \mathbf{Cat}_0$  preserves colimits,  $\text{colim}(G_d) = \text{colim}(G)_d$ . ■

3.5. PROPOSITION.  $\Delta 1: \mathcal{D} \rightarrow \mathbf{Cat}$  is **Cat**-connected if and only if  $\mathcal{D}_0$  is connected.

PROOF. The colimit of  $\Delta 1: \mathcal{D} \rightarrow \mathbf{Cat}$  is the discrete category corresponding to the set of connected components of  $\mathcal{D}_0$ . ■

3.6. EXAMPLE. Equifiers are **Cat**-connected: here it is easiest to verify directly that equifiers in **Cat** commute with copowers. Similarly, one verifies that Eilenberg–Moore objects of monads and of comonads are **Cat**-connected. Equalizers and pullbacks are **Cat**-connected by Proposition 3.5.

3.7. EXAMPLE. Non-trivial products are not **Cat**-connected: they are not even connected. Powers by a category  $X$  are limits weighted by  $X: \mathbf{1} \rightarrow \mathbf{Cat}$ ; since the colimit of such a weight is just  $X$ , powers by  $X$  are **Cat**-connected if and only if  $X = 1$ . Inserters, comma objects and oplax limits of 1-cells are not **Cat**-connected: in particular they are not preserved by the 2-functor  $\mathbb{N}: \mathbf{1} \rightarrow \mathbf{Cat}$  which picks out the additive monoid  $\mathbb{N}$  of natural numbers. More generally, inserters are not preserved by  $X: \mathbf{1} \rightarrow \mathbf{Cat}$  if  $X$  has a non-identity endomorphism, while comma objects and oplax limits of 1-cells are not preserved by  $X: \mathbf{1} \rightarrow \mathbf{Cat}$  unless  $X$  is discrete.

As anticipated at the beginning of the section, we now take  $\mathcal{U}$  to be  $\mathcal{U}_1$ , and use the resulting notion of **CAT**-connected limit, involving a large 2-category  $\mathcal{D}$  and a 2-functor  $F: \mathcal{D} \rightarrow \mathbf{CAT}$  as weight. Since the inclusion  $\mathbf{Cat} \rightarrow \mathbf{CAT}$  preserves small limits and small colimits, **Cat**-connected limits are also **CAT**-connected. As an immediate consequence of Theorems 2.1 and 3.1, we have:

3.8. COROLLARY. The 2-functor  $\text{Enr}: \mathbf{BICAT} \rightarrow 2\text{-CAT}$  preserves all **CAT**-connected limits which happen to exist in **BICAT**.

3.9. EXAMPLE. Eilenberg–Moore objects of comonads are **Cat**-connected (as well as **CAT**-connected), and exist in **BICAT** by the results of [Lac05, LS12], thus they are preserved by  $\text{Enr}$ . In more detail, a comonad  $G$  in **BICAT** on a bicategory  $\mathcal{B}$  consists of a comonad  $G = G_{a,b}$  on each hom-category  $\mathcal{B}(a, b)$ , together with 2-cells  $G_2: Gg.Gf \rightarrow G(gf)$  for all  $f: a \rightarrow b$  and  $g: b \rightarrow c$ , and 2-cells  $G_0: 1_{G_a} \rightarrow G1_a$  for all objects  $a$ , subject to various conditions, which say that the  $G_{a,b}$ , the  $G_2$  and the  $G_0$  can be assembled into an identity-on-objects lax functor  $\mathcal{B} \rightarrow \mathcal{B}$ , in such a way that the counits and comultiplications for the comonads become icons. The Eilenberg–Moore object  $\mathcal{B}^G$  is the bicategory with the same objects as  $\mathcal{B}$ , and with hom-category  $\mathcal{B}^G(a, b)$  given by the Eilenberg–Moore category  $\mathcal{B}(a, b)^{G_{a,b}}$  of  $G_{a,b}$ . Corollary 3.8 then says that  $\mathcal{B}^G\text{-Cat}$  is the Eilenberg–Moore 2-category for the induced 2-comonad on  $\mathcal{B}\text{-Cat}$ .

### 4. Oplax limits and fibrations

A 1-cell  $f: A \rightarrow B$  in a 2-category  $\mathcal{K}$  is called a *fibration*, when  $\mathcal{K}(C, f): \mathcal{K}(C, A) \rightarrow \mathcal{K}(C, B)$  is a Grothendieck fibration for each  $C \in \mathcal{K}$ , and

$$\begin{array}{ccc} \mathcal{K}(C, A) & \xrightarrow{\mathcal{K}(c, A)} & \mathcal{K}(D, A) \\ \mathcal{K}(C, f) \downarrow & & \downarrow \mathcal{K}(D, f) \\ \mathcal{K}(C, B) & \xrightarrow{\mathcal{K}(c, B)} & \mathcal{K}(D, B) \end{array}$$

is a morphism of fibrations for each  $c: D \rightarrow C$  in  $\mathcal{K}$ , in the sense that  $\mathcal{K}(c, A)$  sends cartesian morphisms (with respect to  $\mathcal{K}(C, f)$ ) to cartesian morphisms (with respect to  $\mathcal{K}(D, f)$ ). If  $q: F \rightarrow B$  and  $p: E \rightarrow B$  are fibrations in  $\mathcal{K}$  with the common codomain  $B$ , then a 1-cell  $r: (F, q) \rightarrow (E, p)$  in  $\mathcal{K}/B$  is a *morphism of fibrations* if for each  $C \in \mathcal{K}$ ,  $\mathcal{K}(C, r)$  is a morphism of fibrations, i.e., preserves cartesian morphisms.

As explained by Street [Str74], these notions can be reformulated if the 2-category  $\mathcal{K}$  has oplax limits of 1-cells, as we shall henceforth suppose. Recall that the oplax limit of a 1-cell  $f: A \rightarrow B$  in  $\mathcal{K}$  is the universal diagram

$$\begin{array}{ccc} & & A \\ & u_f \nearrow & \downarrow f \\ B/f & \Uparrow \lambda_f & B \\ & v_f \searrow & \end{array}$$

wherein we often drop the subscripts  $f$  unless multiple oplax limits are being used.

If  $\mathcal{K} = \mathbf{Cat}$ , then these oplax limits are comma categories, as the notation suggests. On the other hand, we have:

4.1. EXAMPLE. Let  $X$  be a small set, seen as a chaotic bicategory  $X_c$  (that is,  $(X_c)_{ld}$  or equivalently  $(X_c)_{lc}$ ). To give an  $X_c$ -enriched category is just to give a set of objects with a map into  $X$ . Similar calculations involving  $X_c$ -enriched functors and natural transformations show that the diagram

$$\begin{array}{ccc} & & \mathbf{1} \\ & \nearrow & \downarrow X \\ X_c\text{-Cat} & \Uparrow \text{ob} & \mathbf{Set}_{lc} \end{array}$$

is an oplax limit in  $2\text{-CAT}$ ; in other words, the 2-category  $X_c\text{-Cat}$  is isomorphic to the slice 2-category  $\mathbf{Set}_{lc}/X$ ; this in turn is isomorphic to  $(\mathbf{Set}/X)_{lc}$ .

The fibrations in  $\mathcal{K}$  with codomain  $B$  can be understood in terms of a 2-monad  $T_B$  on  $\mathcal{K}/B$  whose underlying 2-functor maps  $f: A \rightarrow B$  to  $v_f: B/f \rightarrow B$ ; the component at  $f: A \rightarrow B$  of its unit is the unique map  $d = d_f: A \rightarrow B/f$  with  $ud = 1_A$ ,  $vd = f$ , and  $\lambda d$  equal to the identity 2-cell on  $f$ . This 2-monad is *colax idempotent* (has the dual of the “Kock–Zöberlein property”), and so an object  $f: A \rightarrow B$  of  $\mathcal{K}/B$  admits the structure of a pseudo  $T_B$ -algebra if and only if  $d: (A, f) \rightarrow (B/f, v_f)$  has a right adjoint in  $\mathcal{K}/B$ ; and this in turn is the case if and only if  $f$  is a fibration. See for example [Str74, Proposition 3(a)] and [Web07, Theorem 2.7].

Also, if  $q: F \rightarrow B$  and  $p: E \rightarrow B$  are fibrations in  $\mathcal{K}$ , then a 1-cell  $r: (F, q) \rightarrow (E, p)$  in  $\mathcal{K}/B$  admits the structure of a (pseudo) morphism of pseudo  $T_B$ -algebras if and only if the mate of the identity 2-cell

$$\begin{array}{ccc} (F, q) & \xrightarrow{r} & (E, p) \\ d_q \downarrow & & \downarrow d_p \\ (B/q, v_q) & \xrightarrow{T_B r} & (B/p, v_p) \end{array}$$

is invertible; and this in turn is the case if and only if  $r$  is a morphism of fibrations.

Likewise, the *strict*  $T_B$ -algebras are the *split* fibrations in  $\mathcal{K}$ : those  $f: A \rightarrow B$  for which each  $\mathcal{K}(C, f): \mathcal{K}(C, A) \rightarrow \mathcal{K}(C, B)$  is a split fibration, and each  $\mathcal{K}(c, A): \mathcal{K}(C, A) \rightarrow \mathcal{K}(D, A)$  preserves the *chosen* cartesian lifts.

In particular,  $v: B/f \rightarrow B$  is a split fibration for any  $f: A \rightarrow B$ , and  $d$  exhibits  $v: B/f \rightarrow B$  as the free (split) fibration on  $f$ . Thus if  $p: E \rightarrow B$  is a fibration, and  $g: A \rightarrow E$  defines a morphism  $(A, f) \rightarrow (E, p)$  in  $\mathcal{K}/B$ , there is an essentially unique morphism of fibrations  $r: (B/f, v) \rightarrow (E, p)$  extending  $g$ .

**4.2. PROPOSITION.** *The 2-functor  $\text{Enr}_1: \mathbf{BICAT} \rightarrow \mathbf{2-CAT}/\mathbf{Set}_{lc}$  factors through the locally full sub-2-category of  $\mathbf{2-CAT}/\mathbf{Set}_{lc}$  having*

- *the fibrations in  $\mathbf{2-CAT}$  to  $\mathbf{Set}_{lc}$  as objects, and*
- *the fibration morphisms as 1-cells.*

**PROOF.** First we describe fibrations in  $\mathbf{2-CAT}$  explicitly. Given a 2-functor  $F: \mathcal{Y} \rightarrow \mathcal{X}$  between 2-categories, a 1-cell  $h: y' \rightarrow y$  in  $\mathcal{Y}$  is called *cartesian* (with respect to  $F$ ) if

$$\begin{array}{ccc} \mathcal{Y}(z, y') & \xrightarrow{\mathcal{Y}(z, h)} & \mathcal{Y}(z, y) \\ F_{z, y'} \downarrow & & \downarrow F_{z, y} \\ \mathcal{X}(Fz, Fy') & \xrightarrow{\mathcal{X}(Fz, Fh)} & \mathcal{X}(Fz, Fy) \end{array}$$

is a pullback in **CAT** for each  $z \in \mathcal{Y}$ . Then  $F$  is a fibration if and only if, for each object  $y \in \mathcal{Y}$  and each 1-cell  $g: x \rightarrow Fy$  in  $\mathcal{X}$ , there is a cartesian morphism  $\bar{g}: g^*y \rightarrow y$  in  $\mathcal{Y}$  with  $F\bar{g} = g$ ; such a  $\bar{g}$  is called a *cartesian lifting* of  $g$  to  $y$ . Moreover, given fibrations  $F: \mathcal{Y} \rightarrow \mathcal{X}$  and  $G: \mathcal{Z} \rightarrow \mathcal{X}$  over  $\mathcal{X}$ , a 2-functor  $H: \mathcal{Y} \rightarrow \mathcal{Z}$  satisfying  $F = G \circ H$  is a morphism of fibrations if and only if  $H$  preserves cartesian 1-cells. (This is a special case of Proposition 5.3 below, whose proof does not depend on the current proposition.)

For any  $\mathcal{B} \in \mathbf{BICAT}$ , a  $\mathcal{B}$ -functor  $S: \mathbb{Y}' \rightarrow \mathbb{Y}$  is called *fully faithful* when the 2-cell  $S_{y,y'}: \mathbb{Y}(y, y') \rightarrow \mathbb{Y}'(Sy, Sy')$  in  $\mathcal{B}$  is invertible for all  $y, y' \in \mathbb{Y}$ . It is easy to see that a  $\mathcal{B}$ -functor is cartesian with respect to  $\text{ob}(-): \mathcal{B}\text{-Cat} \rightarrow \mathbf{Set}_{lc}$  if it is fully faithful, and indeed by essential uniqueness of cartesian lifts the reverse implication also holds. The claim follows at once. ■

4.3. REMARK. In the above proposition, we used fibrations in the 2-category **2-CAT**, called *2-categorical fibrations* in [Gra74, I.2.9]. These were also called *2-fibrations* in [Gra74], but for the purposes of this remark we shall save that name for the more restrictive notion studied by Hermida [Her99]; see also [Bak, Buc14]. In general,  $\text{ob}(-): \mathcal{B}\text{-Cat} \rightarrow \mathbf{Set}_{lc}$  is not a 2-fibration in the sense of [Her99]. Indeed, a 2-fibration is a 2-functor which among other things is locally a fibration, but the forgetful functor  $\mathcal{B}\text{-Cat}(\mathbb{X}, \mathbb{Y}) \rightarrow \mathbf{Set}_{lc}(\text{ob}(\mathbb{X}), \text{ob}(\mathbb{Y}))$  induced by  $\text{ob}(-)$  is rarely a fibration of categories.

In general, oplax limits of 1-cells are not preserved by the projection  $\mathcal{K}/B \rightarrow \mathcal{K}$ , but to some extent fibrations can be used to remedy this, as the following result shows.

4.4. PROPOSITION. *Let  $p: A \rightarrow B$  be a fibration in  $\mathcal{K}$ , and consider a morphism  $g$  in  $\mathcal{K}/B$  into  $p$ , and the (essentially unique) induced morphism  $r$  of fibrations, as below*

$$\begin{array}{ccc}
 C & \xrightarrow{pg} & B \\
 g \downarrow & \searrow & \uparrow p \\
 A & & A
 \end{array}
 \qquad
 \begin{array}{ccc}
 B/pg & \xrightarrow{v_{pg}} & B \\
 r \downarrow & \searrow & \uparrow p \\
 A & & A
 \end{array}$$

*Then the oplax limit of  $g$  in  $\mathcal{K}$  is the oplax limit of  $r$  in  $\mathcal{K}/B$ .*

PROOF. As usual we write  $A/g$  for the oplax limit of  $g$  in  $\mathcal{K}$ . We also write  $(A, p)/r$  for the oplax limit of  $r$  in  $\mathcal{K}/B$ .

A morphism  $D \rightarrow A/g$  consists of morphisms  $a: D \rightarrow A$ ,  $c: D \rightarrow C$ , and a 2-cell  $\alpha: a \rightarrow gc$ .

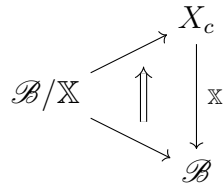
A morphism  $D \rightarrow B/pg$  consists of morphisms  $b: D \rightarrow B$ ,  $c: D \rightarrow C$ , and a 2-cell  $\beta: b \rightarrow pgc$ , and composing with  $r$  gives the domain of the cartesian lifting  $\bar{\beta}: \beta^*gc \rightarrow gc$  of  $\beta$ . A morphism  $(D, b) \rightarrow (A, p)/r$  in  $\mathcal{K}/B$  consists of  $(b, c, \beta): D \rightarrow B/pg$ ,  $a: D \rightarrow A$ , and a 2-cell  $\alpha': a \rightarrow \beta^*gc$  with  $p\alpha'$  equal to the identity on  $pa = b$ . But by the fibration property of  $p$ , to give such an  $\alpha'$  is equivalently to give  $\alpha: a \rightarrow gc$  with  $p\alpha = \beta$ .

This shows that the one-dimensional aspect of the universal properties of  $A/g$  and  $(A, p)/r$  agree, and similarly the two-dimensional aspects also agree. ■

We can use this to prove the following key result, already stated in the introduction.

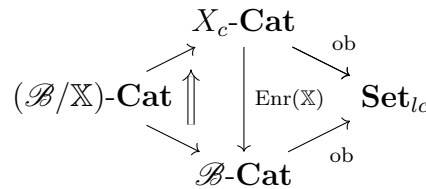
4.5. **THEOREM.** *Let  $\mathcal{B}$  be a bicategory and  $\mathbb{X}$  a  $\mathcal{B}$ -category. Then the slice 2-category  $\mathcal{B}\text{-Cat}/\mathbb{X}$  is isomorphic to  $(\mathcal{B}/\mathbb{X})\text{-Cat}$  for a bicategory  $\mathcal{B}/\mathbb{X}$ .*

**PROOF.** If we regard  $\mathbb{X}$  as a lax functor  $\mathbb{X}: X_c \rightarrow \mathcal{B}$ , where  $X = \text{ob}(\mathbb{X})$ , we may take its oplax limit



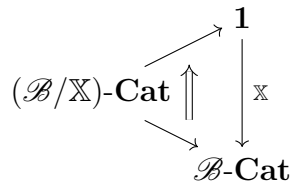
in **BICAT**. Explicitly,  $\text{ob}(\mathcal{B}/\mathbb{X}) = \text{ob}(\mathbb{X}) = X$ , while the  $\text{hom}(\mathcal{B}/\mathbb{X})(x, x')$  is given by the slice category  $\mathcal{B}(|x|, |x'|)/\mathbb{X}(x, x')$  for all  $x, x' \in X$ .

It follows by Theorem 2.1 that  $(\mathcal{B}/\mathbb{X})\text{-Cat}$  is the oplax limit



in  $2\text{-CAT}/\mathbf{Set}_{lc}$ .

Now  $\text{ob}(-): X_c\text{-Cat} \rightarrow \mathbf{Set}_{lc}$  is the free fibration on  $X: \mathbf{1} \rightarrow \mathbf{Set}_{lc}$  by Example 4.1, while  $\text{Enr}(\mathbb{X})$  is the morphism of fibrations induced by  $\mathbb{X}: \mathbf{1} \rightarrow \mathcal{B}\text{-Cat}$  by Proposition 4.2, and so by Proposition 4.4 the diagram

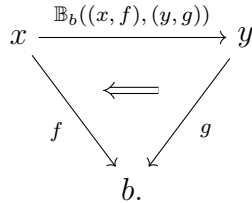


is an oplax limit in  $2\text{-CAT}$ . But this says precisely that  $(\mathcal{B}/\mathbb{X})\text{-Cat} \cong \mathcal{B}\text{-Cat}/\mathbb{X}$ . ■

4.6. **EXAMPLE.** In particular, when  $\mathcal{B}$  is the cartesian monoidal category **Set** regarded as a one-object bicategory, we have for each (**Set**-)category  $\mathbb{X}$  the bicategory  $\mathbf{Set}/\mathbb{X}$  whose set of objects is  $\text{ob}(\mathbb{X})$  and whose hom-category  $(\mathbf{Set}/\mathbb{X})(x, x')$  is the slice category  $\mathbf{Set}/\mathbb{X}(x, x')$ . Each functor  $F: \mathbb{Y} \rightarrow \mathbb{X}$  corresponds to a  $\mathbf{Set}/\mathbb{X}$ -category  $\overline{\mathbb{Y}}$  given as follows: the objects of  $\overline{\mathbb{Y}}$  are the same as those of  $\mathbb{Y}$ , the extent of  $y$  in  $\overline{\mathbb{Y}}$  is  $Fy$ , and the  $\text{hom} \overline{\mathbb{Y}}(y, y')$  is  $F_{y,y'}: \mathbb{Y}(y, y') \rightarrow \mathbb{X}(Fy, Fy')$ . Note that since  $\mathbf{Set}/\mathbb{X}(x, x') \simeq \mathbf{Set}^{\mathbb{X}(x,x')}$ ,  $\mathbf{Set}/\mathbb{X}$  is (biequivalent to) the free local cocompletion of  $\mathbb{X}$  regarded as a locally discrete bicategory, as pointed out to us by Ross Street.

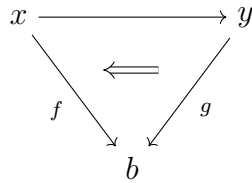
A variant of  $\mathbf{Set}/\mathbb{X}$  is the free quantaloid  $\mathcal{P}\mathbb{X}$  over  $\mathbb{X}$ . Specifically,  $\mathcal{P}\mathbb{X}$  is also a bicategory with the same objects as  $\mathbb{X}$ , but whose hom-category  $(\mathcal{P}\mathbb{X})(x, x')$  is the powerset lattice  $\mathcal{P}(\mathbb{X}(x, x'))$ , which is equivalent to the full subcategory of the slice category  $\mathbf{Set}/\mathbb{X}(x, x')$  consisting of the injections to  $\mathbb{X}(x, x')$ . Accordingly, the  $\mathcal{P}\mathbb{X}$ -categories correspond to the *faithful* functors  $\mathbb{Y} \rightarrow \mathbb{X}$  [Gar14, Proposition 3.5].

4.7. **EXAMPLE.** Let  $\mathcal{B}$  be a bicategory with all right liftings. Then for each  $b \in \mathcal{B}$ , we have a  $\mathcal{B}$ -category  $\mathbb{B}_b$  whose objects are the 1-cells  $f: x \rightarrow b$  in  $\mathcal{B}$  with codomain  $b$ , with extent  $|(x, f)| = x$ , and whose hom  $\mathbb{B}_b((x, f), (y, g)): x \rightarrow y$  is the right lifting of  $f$  along  $g$ :



(See [GP97, Section 2] for the dual construction.) Given a  $\mathcal{B}$ -category  $\mathbb{X}$ , the  $\mathcal{B}$ -functors  $\mathbb{X} \rightarrow \mathbb{B}_b$  correspond to the  $\mathcal{B}$ -presheaves on  $\mathbb{X}$  with extent  $b$ . Hence if we consider the bicategory  $\mathcal{B}/\mathbb{B}_b$ , then a  $\mathcal{B}/\mathbb{B}_b$ -category can be identified with a  $\mathcal{B}$ -category equipped with a  $\mathcal{B}$ -presheaf with extent  $b$ .

By the universality of right liftings, the bicategory  $\mathcal{B}/\mathbb{B}_b$  is canonically isomorphic to the *lax slice* bicategory  $\mathcal{B}//b$ : this has 1-cells with codomain  $b$  as objects, and diagrams of the form



as 1-cells from  $f$  to  $g$ . Unlike  $\mathbb{B}_b$ , this lax slice bicategory  $\mathcal{B}//b$  can be defined even when  $\mathcal{B}$  does not have right liftings, and it is true in general that a  $\mathcal{B}//b$ -category corresponds to a  $\mathcal{B}$ -category equipped with a  $\mathcal{B}$ -presheaf with extent  $b$ . (For a general bicategory  $\mathcal{B}$ , the notion of  $\mathcal{B}$ -presheaf can be defined in terms of actions; see [Str83] for a definition of the more general notion of module.)

4.8. **REMARK.** The bicategory  $\mathcal{B}/\mathbb{X}$  can be obtained from  $\mathbb{X}$  via a change-of-base process for bicategories enriched in a tricategory. Since the theory of tricategory-enriched bicategories, let alone change-of-base for them, has not really been developed in detail, we merely sketch the details. (See [GS16, Section 13] for change-of-base for bicategories enriched over monoidal bicategories.)

We regard  $\mathcal{B}$  as a tricategory with no non-identity 3-cells, and we regard the cartesian monoidal 2-category  $\mathbf{Cat}$  as a one-object tricategory  $\Sigma(\mathbf{Cat})$ . There is a lax morphism of tricategories  $\Theta: \mathcal{B} \rightarrow \Sigma(\mathbf{Cat})$  sending each object  $b \in \mathcal{B}$  to the unique object of  $\Sigma(\mathbf{Cat})$ , and sending a 1-cell  $f: b \rightarrow b'$  in  $\mathcal{B}$  to the category  $\mathcal{B}(b, b')/f$ . Composition with  $\Theta$



then sends each  $\mathcal{B}$ -enriched bicategory to a  $\Sigma(\mathbf{Cat})$ -enriched bicategory. Since  $\mathcal{B}$  has no non-identity 3-cells, a  $\mathcal{B}$ -enriched bicategory is just a  $\mathcal{B}$ -enriched category; on the other hand, a  $\Sigma(\mathbf{Cat})$ -enriched bicategory is just a bicategory in the ordinary sense. Applying this to the  $\mathcal{B}$ -category  $\mathbb{X}$  gives the bicategory  $\mathcal{B}/\mathbb{X}$ .

### 5. Variation through enrichment

In the paper [BCSW83], the authors showed how fibrations with codomain  $\mathbb{X}$  can be seen as certain categories enriched over a bicategory  $\mathcal{W}(\mathbb{X})$  depending on the category  $\mathbb{X}$ . In this section we give a result of the same type, although it differs in several important respects. The bicategory we use is  $\mathbf{Set}/\mathbb{X}$  (see Example 4.6), which is like  $\mathcal{W}(\mathbb{X})$  in having as objects the objects of  $\mathbb{X}$ : see Remark 5.1 below for the relationship between the two bicategories. Then we show that fibrations over  $\mathbb{X}$  can be identified with  $\mathbf{Set}/\mathbb{X}$ -categories which have certain powers.

5.1. REMARK. Given objects  $x, x' \in \mathbb{X}$ , a 1-cell in  $\mathcal{W}(\mathbb{X})$  from  $x$  to  $x'$  consists of a presheaf  $E$  on  $\mathbb{X}$  equipped with maps to  $\mathbb{X}(-, x)$  and  $\mathbb{X}(-, x')$ ; in other words, it consists of a *span* of presheaves from  $\mathbb{X}(-, x)$  to  $\mathbb{X}(-, x')$ . Now a 1-cell  $S \rightarrow \mathbb{X}(x, x')$  in  $\mathbf{Set}/\mathbb{X}$  from  $x$  to  $x'$  determines, via Yoneda, a map  $S \cdot \mathbb{X}(-, x) \rightarrow \mathbb{X}(-, x')$  of presheaves, where  $S \cdot \mathbb{X}(-, x)$  denotes the copower of  $\mathbb{X}(-, x)$  by  $S$ : the coproduct of  $S$  copies of  $\mathbb{X}(-, x)$ . On the other hand there is the codiagonal  $S \cdot \mathbb{X}(-, x) \rightarrow \mathbb{X}(-, x)$ , and so we obtain a span

$$\mathbb{X}(-, x) \longleftarrow S \cdot \mathbb{X}(-, x) \longrightarrow \mathbb{X}(-, x')$$

of presheaves; that is, a 1-cell in  $\mathcal{W}(\mathbb{X})$  from  $x$  to  $x'$ . This defines the 1-cell part of a homomorphism of bicategories  $\mathbf{Set}/\mathbb{X} \rightarrow \mathcal{W}(\mathbb{X})$  which is the identity on objects and locally fully faithful. Just as we characterize fibrations over  $\mathbb{X}$  as  $\mathbf{Set}/\mathbb{X}$ -categories with certain limits, so in [BCSW83] these fibrations are seen as  $\mathcal{W}(\mathbb{X})$ -categories with certain limits; one key difference is that in the case of  $\mathcal{W}(\mathbb{X})$  the limits in question are absolute.

In fact we work not just with fibrations of ordinary categories, but rather fibrations in the 2-category  $\mathcal{B}\text{-Cat}$  of  $\mathcal{B}$ -enriched categories, as in Section 4. One recovers the case of ordinary categories upon taking  $\mathcal{B}$  to be the one-object bicategory  $\Sigma(\mathbf{Set})$ . We have seen in Theorem 4.5 that, for a  $\mathcal{B}$ -category  $\mathbb{X}$ ,  $\mathcal{B}$ -functors with codomain  $\mathbb{X}$  correspond to  $\mathcal{B}/\mathbb{X}$ -enriched categories. We shall see in this section that a  $\mathcal{B}$ -functor  $F: \mathbb{Y} \rightarrow \mathbb{X}$  is a fibration in  $\mathcal{B}\text{-Cat}$  if and only if the corresponding  $\mathcal{B}/\mathbb{X}$ -category  $\overline{\mathbb{Y}}$  has certain powers.

First, however, we give an elementary characterization of fibrations in  $\mathcal{B}\text{-Cat}$ . To do this, we start with the fact that every  $\mathcal{B}$ -category  $\mathbb{X}$  has an underlying ordinary category  $\mathbb{X}_0$  with the same objects; a morphism  $x \rightarrow x'$  in  $\mathbb{X}_0$  can exist only if  $x$  and  $x'$  have the same extent ( $|x| = |x'|$ ), in which case it amounts to a 2-cell  $1_{|x|} \rightarrow \mathbb{X}(x, x')$  in  $\mathcal{B}$ .<sup>2</sup> We

---

<sup>2</sup>The assignment  $\mathbb{X} \mapsto \mathbb{X}_0$  is the object-part of a 2-functor  $\mathcal{B}\text{-Cat} \rightarrow \mathbf{Cat}$ , arising via change-of-base with respect to a lax functor from  $\mathcal{B}$  to the cartesian monoidal category  $\mathbf{Set}$ , seen as a one-object bicategory. The lax functor sends each object  $b$  to this unique object; it sends a 1-cell  $f: b \rightarrow c$  to the set  $\mathcal{B}(b, c)(1_b, f)$  if  $b = c$  and the empty set otherwise; with the evident action on 2-cells.

shall sometimes refer to such morphisms in  $\mathbb{X}_0$  simply as morphisms in  $\mathbb{X}$ . If  $f: x' \rightarrow x''$  is a morphism in  $\mathbb{X}$  and  $x$  is an object, there is an induced 2-cell  $\mathbb{X}(x, f): \mathbb{X}(x, x') \rightarrow \mathbb{X}(x, x'')$  defined by pasting  $f: 1_{|x'|} \rightarrow \mathbb{X}(x', x'')$  together with the composition 2-cell  $M_{x, x', x''}: \mathbb{X}(x', x'').\mathbb{X}(x, x') \rightarrow \mathbb{X}(x, x'')$ .

5.2. DEFINITION. Let  $F: \mathbb{Y} \rightarrow \mathbb{X}$  be a  $\mathcal{B}$ -functor. A morphism  $h: y' \rightarrow y$  in  $\mathbb{Y}_0$  is said to be cartesian with respect to  $F$  if the square

$$\begin{array}{ccc} \mathbb{Y}(z, y') & \xrightarrow{\mathbb{Y}(z, h)} & \mathbb{Y}(z, y) \\ F_{z, y'} \downarrow & & \downarrow F_{z, y} \\ \mathbb{X}(Fz, Fy') & \xrightarrow{\mathbb{X}(Fz, Fh)} & \mathbb{X}(Fz, Fy) \end{array}$$

is a pullback in  $\mathcal{B}(|z|, |y|)$  for all objects  $z$  in  $\mathbb{Y}$ .

This implies in particular that  $h$  is cartesian with respect to the ordinary functor  $F_0: \mathbb{Y}_0 \rightarrow \mathbb{X}_0$ , but in general is stronger than this.

5.3. PROPOSITION. Suppose that the bicategory  $\mathcal{B}$  has pullbacks in each hom-category  $\mathcal{B}(a, b)$ . A  $\mathcal{B}$ -functor  $F: \mathbb{Y} \rightarrow \mathbb{X}$  is a fibration in  $\mathcal{B}\text{-Cat}$  if and only if, for each object  $y \in \mathbb{Y}$  and each morphism  $g: x \rightarrow Fy$  in  $\mathbb{X}$  there is a cartesian morphism  $\bar{g}: g^*y \rightarrow y$  in  $\mathbb{Y}$  with  $F\bar{g} = g$ . Given fibrations  $F: \mathbb{Y} \rightarrow \mathbb{X}$  and  $G: \mathbb{Z} \rightarrow \mathbb{X}$ , a  $\mathcal{B}$ -functor  $H: \mathbb{Y} \rightarrow \mathbb{Z}$  with  $F = G \circ H$  is a morphism of fibrations if and only if  $H: \mathbb{Y} \rightarrow \mathbb{Z}$  preserves cartesian morphisms.

PROOF. The pullbacks in the hom-categories of  $\mathcal{B}$  can be used to construct oplax limits in  $\mathcal{B}\text{-Cat}$ , as we shall now show. Let  $F: \mathbb{Y} \rightarrow \mathbb{X}$  be a  $\mathcal{B}$ -functor; then the oplax limit  $\mathbb{L} = \mathbb{X}/F$  has:

- objects given by pairs  $(g, y)$ , with  $y \in \mathbb{Y}$  and  $g: x \rightarrow Fy$  in  $\mathbb{X}_0$
- the extent of  $(g, y)$  equal to the extent of  $y$  (which is also the extent of  $x$ )
- homs given by pullbacks as in

$$\begin{array}{ccc} \mathbb{L}((g', y'), (g, y)) & \xrightarrow{U_{(g', y'), (g, y)}} & \mathbb{Y}(y', y) \\ \downarrow V_{(g', y'), (g, y)} & & \downarrow F_{y', y} \\ & & \mathbb{X}(Fy', Fy) \\ & & \downarrow \mathbb{X}(g', Fy) \\ \mathbb{X}(x', x) & \xrightarrow{\mathbb{X}(x', g)} & \mathbb{X}(x', Fy) \end{array}$$

- projections  $V: \mathbb{L} \rightarrow \mathbb{X}$  and  $U: \mathbb{L} \rightarrow \mathbb{Y}$  sending an object  $(g, y)$  to  $x$  and to  $y$ , and defined on homs as in the diagram above.

The diagonal  $\mathcal{B}$ -functor  $D: \mathbb{Y} \rightarrow \mathbb{L}$  sends an object  $z \in \mathbb{Y}$  to  $(1_{Fz}, z) \in \mathbb{L}$ . Taking  $(g', y') = Dz$  in the above diagram gives a pullback

$$\begin{array}{ccc}
 \mathbb{L}(Dz, (g, y)) & \xrightarrow{U} & \mathbb{Y}(z, y) \\
 \downarrow V & & \downarrow F_{z,y} \\
 \mathbb{X}(Fz, x) & \xrightarrow{\mathbb{X}(Fz, g)} & \mathbb{X}(Fz, Fy).
 \end{array}$$

Now  $F$  is a fibration just when  $D$  has a right adjoint in  $\mathcal{B}\text{-Cat}/\mathbb{X}$ . Such an adjoint is given on objects by a lifting of  $g: Fx \rightarrow y$  to some  $\bar{g}: g^*y \rightarrow y$ , and the universal property says that this lifting is cartesian. ■

We now turn to the characterization of fibrations of  $\mathcal{B}$ -categories in terms of  $\mathcal{B}/\mathbb{X}$ -categories. First recall that if  $\mathcal{W}$  is a bicategory and  $\mathbb{Z}$  is a  $\mathcal{W}$ -category then powers in  $\mathbb{Z}$  involve an object  $y$  of  $\mathbb{Z}$  and a 1-cell  $v: x \rightarrow |y|$  in  $\mathcal{W}$  with codomain the extent of  $y$ . The power of  $y$  by  $v$  consists of an object  $v \pitchfork y$  of  $\mathbb{Z}$  with extent  $|v \pitchfork y| = x$ , together with a 2-cell

$$\begin{array}{ccc}
 & v & \\
 |v \pitchfork y| & \xrightarrow{\quad} & |y| \\
 & \Downarrow \eta & \\
 & \mathbb{Z}(v \pitchfork y, y) & 
 \end{array}$$

such that for all  $z \in \mathbb{Z}$  and all

$$\begin{array}{ccc}
 & |v \pitchfork y| & \\
 b \nearrow & & \searrow v \\
 |z| & \xrightarrow{\mathbb{Z}(z, y)} & |y| \\
 & \Downarrow \alpha & 
 \end{array}$$

there exists a unique  $\gamma$  making the pasting composite

$$\begin{array}{ccc}
 & |v \pitchfork y| & \\
 b \nearrow & & \searrow v \\
 |z| & \xrightarrow{\mathbb{Z}(z, v \pitchfork y)} & |v \pitchfork y| \\
 & \Downarrow \gamma & \\
 |z| & \xrightarrow{\mathbb{Z}(z, y)} & |y| \\
 & \Downarrow M & \\
 |z| & \xrightarrow{\mathbb{Z}(z, y)} & |y| \\
 & \Downarrow \eta & \\
 |z| & \xrightarrow{\mathbb{Z}(z, y)} & |y| \\
 & \Downarrow \alpha & 
 \end{array}$$

equal to  $\alpha$ . (In other words, the pasting of  $\eta$  and  $M$  exhibits  $\mathbb{Z}(z, v \pitchfork y)$  as the right lifting of  $\mathbb{Z}(z, y)$  along  $v$ .)

We consider this in the case where  $\mathcal{W} = \mathcal{B}/\mathbb{X}$  and  $\mathbb{Z}$  is the  $\mathcal{B}/\mathbb{X}$ -category  $\overline{\mathbb{Y}}$  corresponding to a  $\mathcal{B}$ -functor  $F: \mathbb{Y} \rightarrow \mathbb{X}$ . Then an object  $y$  of  $\overline{\mathbb{Y}}$  is just an object of  $\mathbb{Y}$ , and the extent of  $y$ , as an object of  $\mathcal{B}/\mathbb{X}$ , is the object  $Fy$  of  $\mathbb{X}$ . A general 1-cell  $x \rightarrow Fy$  in  $\mathcal{B}/\mathbb{X}$  has the form

$$|x| \begin{array}{c} \xrightarrow{v} \\ \Downarrow w \\ \xrightarrow{\mathbb{X}(x, Fy)} \end{array} |Fy|,$$

but we shall only consider the special case where  $|x| = |Fy|$  and  $v = 1_{|x|}$ , so that in fact we are dealing with a morphism  $w: x \rightarrow Fy$  in  $\mathbb{X}_0$ . In general, we call a 1-cell  $(w: v \rightarrow \mathbb{X}(x, x')): x \rightarrow x'$  in  $\mathcal{B}/\mathbb{X}$  a *singleton* 1-cell if  $|x| = |x'|$  and  $v = 1_{|x|}$ . Note that the category  $\mathbb{X}_0$  can be regarded as a sub-bicategory of  $\mathcal{B}/\mathbb{X}$  whose 1-cells are the singleton 1-cells. When  $\mathcal{B} = \mathbf{Set}$ , a 1-cell  $x \rightarrow x'$  in  $\mathbf{Set}/\mathbb{X}$  corresponds to a set  $v$  equipped with a function  $w: v \rightarrow \mathbb{X}(x, x')$ ; in this case, the singleton 1-cells in  $\mathbf{Set}/\mathbb{X}$  can be identified with those 1-cells with  $v$  a singleton, whence the name singleton.

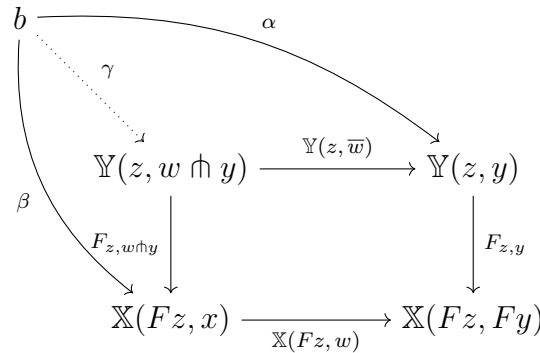
A power of  $y$  by  $w: 1 \rightarrow \mathbb{X}(x, Fy)$  then consists of an object  $w \pitchfork y$  of  $\mathbb{Y}$  with  $F(w \pitchfork y) = x$  together with a morphism  $\bar{w}: w \pitchfork y \rightarrow y$  in  $\mathbb{Y}_0$  with  $F\bar{w} = w$  — that is, a lifting  $\bar{w}$  of  $w$  — subject to the universal property stating that for all  $z \in \mathbb{Y}$ ,  $b: |z| \rightarrow |y|$ ,  $\alpha$ , and  $\beta$  making

$$\begin{array}{ccc} \begin{array}{c} |x| \\ \Downarrow \alpha \\ \mathbb{Y}(z, y) \\ \Downarrow F_{z, y} \\ |z| \xrightarrow{\quad} |y| \\ \mathbb{X}(Fz, Fy) \end{array} & = & \begin{array}{c} |x| \\ \Downarrow \beta \quad \Downarrow w \\ \mathbb{X}(Fz, x) \quad \mathbb{X}(x, Fy) \\ \Downarrow M \\ |z| \xrightarrow{\quad} |y| \\ \mathbb{X}(Fz, Fy) \end{array} \end{array}$$

there exists a unique  $\gamma$  making the pasting composites

$$\begin{array}{ccc} \begin{array}{c} |w \pitchfork y| \\ \Downarrow \gamma \\ \mathbb{Y}(z, w \pitchfork y) \\ \Downarrow F_{z, w \pitchfork y} \\ |z| \xrightarrow{\quad} |y| \\ \mathbb{X}(Fz, x) \end{array} & & \begin{array}{c} |w \pitchfork y| \\ \Downarrow \gamma \quad \Downarrow \bar{w} \\ \mathbb{Y}(z, v \pitchfork y) \quad \mathbb{Y}(w \pitchfork y, y) \\ \Downarrow M \\ |z| \xrightarrow{\quad} |y| \\ \mathbb{Y}(z, y) \end{array} \end{array}$$

equal respectively to  $\beta$  and  $\alpha$ . But this says exactly that if the exterior of the diagram



in  $\mathcal{B}(|z|, |y|)$  commutes, then there is a unique  $\gamma$  making the triangular regions commute; in other words, that the internal square is a pullback. This in turn says that  $\bar{w}$  is a cartesian lifting of  $w$ . This now proves:

5.4. PROPOSITION. *Let  $\mathcal{B}$  be a bicategory in which each hom-category has pullbacks. A  $\mathcal{B}$ -functor  $F: \mathbb{Y} \rightarrow \mathbb{X}$  is a fibration if and only if the corresponding  $\mathcal{B}/\mathbb{X}$ -category  $\bar{\mathbb{Y}}$  has powers by morphisms in  $\mathbb{X}_0$ ; that is, powers by singleton 1-cells.*

We conclude by strengthening this correspondence to an isomorphism between suitable 2-categories. Let  $\mathcal{W}$  be a bicategory and  $H: \mathbb{Z} \rightarrow \mathbb{Z}'$  a  $\mathcal{W}$ -functor. Suppose that the power  $v \pitchfork y$  of  $y \in \mathbb{Z}$  by  $v: x \rightarrow |y|$  exists in  $\mathbb{Z}$ , with the associated 2-cell  $\eta: v \rightarrow \mathbb{Z}(v \pitchfork y, y)$ . Then  $H$  is said to *preserve the power  $v \pitchfork y$*  if the 2-cell  $H_{v \pitchfork y, y} \circ \eta: v \rightarrow \mathbb{Z}'(H(v \pitchfork y), Hy)$  exhibits  $H(v \pitchfork y)$  as the power  $v \pitchfork Hy$  in  $\mathbb{Z}'$ .

5.5. THEOREM. *Let  $\mathcal{B}$  be a bicategory in which each hom-category has pullbacks. The canonical isomorphism of 2-categories  $(\mathcal{B}/\mathbb{X})\text{-Cat} \cong \mathcal{B}\text{-Cat}/\mathbb{X}$  restricts to an isomorphism between the locally full sub-2-category of  $(\mathcal{B}/\mathbb{X})\text{-Cat}$  having*

- the  $\mathcal{B}/\mathbb{X}$ -categories with powers by singleton 1-cells as objects, and
- the  $\mathcal{B}/\mathbb{X}$ -functors preserving these powers as 1-cells,

and the locally full sub-2-category of  $\mathcal{B}\text{-Cat}/\mathbb{X}$  having

- the fibrations to  $\mathbb{X}$  as objects, and
- the fibration morphisms as 1-cells.

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